

**UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF FLORIDA**

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

**KINETIC INVESTMENT GROUP, LLC and
MICHAEL SCOTT WILLIAMS,**

CASE NO.: 8:20-cv-394

Defendants, and

**KINETIC FUNDS I, LLC,
KCL SERVICES, LLC d/b/a LENDACY,
SCIPIO, LLC, LF 42, LLC, EL MORRO
FINANCIAL GROUP, LLC, and KIH, INC.,
f/k/a KINETIC INTERNATIONAL, LLC,**

Relief Defendants.

**DECLARATION OF MARCIE BOUR IN SUPPORT OF RECEIVER'S MOTION
TO (i) APPROVE DETERMINATION OF MARGIN ACCOUNT OBLIGATIONS;
(ii) PARTIALLY LIQUIDATE INVESTOR ACCOUNTS TO SATISFY MARGIN
OBLIGATIONS; (iii) REPAY REMAINING MARGIN BALANCE; AND (iv)
TRANSFER MAJORITY OF REMAINING RECEIVERSHIP
FUNDS TO FIDUCIARY BANK ACCOUNTS**

I, Marcie Bour, say and declare as follows:

1. I am a Partner at Yip Associates, a forensic accounting and financial investigations firm with offices in Miami, Fort Lauderdale, Boca Raton, Tampa, New York,

and New Jersey.

2. I am a Certified Public Accountant licensed by the State of Florida since 1993 with over 30 years of professional experience. Prior to becoming licensed in Florida, I was licensed as a Certified Public Accountant in Pennsylvania in 1986. I also hold the following professional designations: Certified Fraud Examiner (CFE), Master Analyst in Financial Forensics (MAFF), Certified Valuation Analyst (CVA), Accredited in Business Valuation (ABV), Accredited in Business Appraisal Review (ABAR), and Certified in Distressed Business Valuation (CDBV). My experience for the past 25 years has been in the areas of forensic accounting, economic losses, and business valuation.

3. With the Court's approval, The Receiver, Mark A. Kornfeld, retained Yip Associates to provide forensic accounting services in this case.

4. I make this declaration based on information personally known to me and gathered at my direction in support of the Receiver's Motion to (i) Approve Determination of Brokerage Account Margin Obligation; (ii) Partially Liquidate Investor Accounts to Satisfy Margin Obligation; (iii) Repay Remaining Margin Balance; and (iv) Transfer Majority of Remaining Receivership Funds to Fiduciary Bank Accounts (the "Motion") filed on July 22, 2020.

5. As part of its duties, Yip Associates obtained banking and brokerage records for various accounts maintained by or on behalf of Receivership Entities Kinetic Funds I, LLC ("Kinetic Funds"). This included Kinetic Funds' bank statements from BMO Harris Bank ("BMO Harris") for the time period from January 1, 2013 to February 29, 2020 and brokerage statements at Interactive Brokers for the time period from May 1, 2014 to May 31, 2020.

6. We also were provided with brokerage statements for the period from January 1, 2013 to December 31, 2014 for accounts maintained by Kinetic Securities Trading, LLC (“KST”) at Bank of America/Merrill Lynch (“BOA/ML”).

7. Attached hereto as **Exhibit 1** is a copy of a brokerage account statement held by KST at BOA/ML with an account number ending in x73320 (the “J&J Account”) dated January 1, 2013. That statement shows that the only securities position in the J&J Account as of January 1, 2013 was 62,928 shares of Johnson and Johnson (“J&J”) stock. As of January 1, 2013, J&J Account had a negative cash position of -\$4,626,985.57.

8. Attached hereto as **Exhibit 2** is a copy of a brokerage account statement held by KST at BOA/ML with an account number ending in x73321 (the “Jon Fogarty Account”) dated January 1, 2013. That statement shows that the only securities position in the Jon Fogarty Account during the statement period was 11,547 shares of Gainsco stock. As of January 1, 2013, the Jon Fogarty Account had a negative cash position of -\$93,472.87.

9. Attached hereto as **Exhibit 3** are copies of brokerage account statements for the J&J Account and Jon Fogarty Account for the period from January 1, 2013 to June 30, 2014. According to those statements, the J&J Account had a negative cash position (margin loan) of -\$5,704,279.62 and the Jon Fogarty Account had a negative cash position (margin loan) of -\$81,898.90 as of June 1, 2014.

10. From January 1, 2013 to June 4, 2014, the margin loan balance in the J&J Account increased from -\$4,626,985.57 to -\$5,704,279.62.¹ A review of the monthly

¹ The ACAT entry from the BOA/ML margin accounts to the Interactive Brokerage accounts is dated June 4, 2014 on the Interactive Brokerage statements and June 5, 2014 on the BOA/ML statements. However, the actual payoff of the BOA/ML margin accounts is

statements for the J&J Account during this period shows that the margin loan balance increase was solely attributable to numerous options purchases, interest charged on the margin account and monthly transfers to another sub-account of what appear to be management fees. As dividends were received on the J&J stock and any proceeds were realized from option trading, they were applied to reduce the margin balance.

11. On or around June 4, 2014, KST transferred all sub-accounts (including the J&J Account and the Jon Fogarty Account) to accounts held by Relief Defendant Kinetic Funds I, LLC (“Kinetic Funds”) at Interactive Brokers. This was a transfer of total securities positions totaling \$14.59 million and a net cash balance (net margin loan) of -\$11,840,625.97 to a master sub-account within Kinetic Funds’ Interactive Brokers account structure with an account number ending in x8796 (the “8796 Account”).² A copy of the June 2014 monthly statement for the 8796 Account is attached hereto as **Exhibit 4**.

12. The securities positions totaling \$14.59 million and a net cash balance (net margin loan) of -\$11,840,625.97 (the “2014 Margin”) transferred by KST from BOA/ML represented the total securities positions and margin balances across the various sub-accounts at KST including the J&J Account and the Jon Fogarty Account as of the dates of transfer.³

13. From the date of transfer to the 8796 Account of the \$14.59 million in

reflected as of September 3, 2014. I have no corresponding records reflecting the transfer of the actual payment from Interactive Brokerage to BOA/ML.

² The net margin loan transferred was from the BOA/ML sub accounts ending x3315, x3316, x3317, x3318, x3319, x3320 (the J&J Account”), x3321 (the Jon Fogarty Account), and x3821.

³ On or about June 4, 2014, a transfer of the margin balance of -\$11,893,650.69 was made from the BOA/ML account to the Interactive Brokerage account. Then on or about June 24, 2014, an additional transfer was recorded in the amount of \$53,024.72. The net of these two ACAT amounts transferred is -\$11,840,625.97.

securities positions and the date of transfer out to the KF Sub-Funds, the value of the securities positions increased by a net of \$82,924.80. The market value of the securities positions transferred to separate KF Sub-Funds was approximately \$8.13 million for the KF Sub-Funds with account numbers ending in x4161, x4162, x4165, and x4166. Copies of the respective June 2014 monthly statements for these sub-accounts which show the transfers are attached hereto as **Exhibit 5**.

14. The remaining securities positions totaling roughly \$6.54 million, almost entirely comprised of the 62,928 J&J shares from the J&J Account, were transferred to a sub-account with an account number ending in x4167 (the “4167 Account”) and a sub-account with an account number ending in x4170 (the “4170 Account”).⁴ Copies of the respective June 2014 monthly statements for these sub-accounts which show the transfers are attached hereto as **Exhibit 6**.

15. The 2014 Margin was never re-allocated to any sub-accounts at Interactive Brokers. Periodically, Kinetic Funds caused some amounts to be transferred to the 8796 Account.⁵ On or around December 29, 2016, Kinetic Funds caused a payment of \$5 million to be made from its bank account ending in x4255 at BMO Harris Bank in which investor funds were deposited (the “KF Bank Account”) to the 8796 Account. This \$5 million transfer reduced the outstanding balance of the 2014 Margin to -\$7,241,208.41.⁶ Copies of the

⁴ Also transferred to the 4167 Account were two option positions and 11,547 shares of stock in Gainsco, Inc.

⁵ Kinetic Funds caused payments to be transferred from account x4255 at BMO Harris Bank to the 8796 Account: on April 10, 2015, \$400,000 was transferred; May 10, 2016 \$200,000 was transferred; and on November 30, 2016, \$300,000 was transferred.

⁶ The Margin Account balance of 7,235,813.69 plus accrued interest of \$5,394.72.

respective December 2016 monthly statements for these accounts which show the transfers are attached hereto as **Exhibit 7**.

16. The \$5 million transferred from the KF Bank Account to the 8796 Account on December 29, 2016 partially paid down the 2014 Margin. This was accomplished using investor funds. As of November 1, 2016, the balance of the KF Bank Account was \$6,578.09. It was only after total investor deposits of \$17,725,000 in November 2016 and December 2016 that the KF Bank Account had sufficient funds to make the \$5 million transfer to the 8796 Account on December 29, 2016. Copies of the October 2016 through December 2016 monthly statements for the KF Bank Account are attached hereto as **Exhibit 8**.

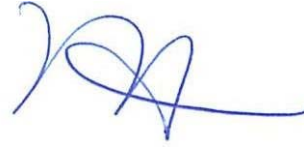
17. Other than the accrual of margin interest, no further activity took place in the 8796 Account from December 29, 2016 until June 25, 2018. On that date, a sub-account owned by Kinetic Funds at Interactive Brokers ending in x2028 (the “2028 Account”) generated a new margin loan of -\$7,346,265.03 which was used to pay off the 2014 Margin in 8796 Account. The funds from this new margin loan enabled Kinetic Funds to fully pay down the margin loan balance in the 8796 Account of -\$7,336,638.53 as of May 31, 2018 and the interest for May of \$9,626.50. The 2028 Account’s margin balance has continued to grow since being generated to repay the remaining margin balance in the 8796 Account. As of May 31, 2020, the balance of the 2028 Account was -\$7,687,423.38 and has continued to accrue interest.⁷ Copies of monthly statements for the 8796 Account and 2028 Account for the period from June 2018 to May 2020 are attached hereto as **Exhibit 9**.

18. The 4167 Account had a \$0 margin balance as of June 4, 2014.

⁷ The May 31, 2020 balance includes accrued interest of \$4,753.68.

19. I declare under penalty of perjury that the foregoing is true and correct.

Executed on this 22 day of July, 2020.

A handwritten signature in blue ink, appearing to be 'M.D. Bour', with a stylized, cursive script.

MARCIE D. BOUR

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on this 22nd day of July, 2020, I electronically filed the foregoing with the Clerk of the Court by using the CM/ECF system which will send a Notice of Electronic Filing to the following counsel of record:

Christine Nestor, Esq.
Stephanie N. Moot, Esq.
John T. Houchin, Esq.
Barbara Viniestra, Esq.
Securities and Exchange Commission
801 Brickell Avenue, Suite 1950
Miami, FL 33131
nestorc@sec.gov
moots@sec.gov
houchinj@sec.gov
viniestrab@sec.gov
Counsel for Plaintiff

Timothy W. Schulz, Esq.
Timothy W. Schulz, P.A.
224 Datura Street, Suite 815
West Palm Beach, FL 33401
e-service@twslegal.com

Jon A. Jacobson, Esq.
Jacobson Law, P.A.
224 Datura Street, Suite 812
West Palm Beach, FL 33401
jjacobson@jlpa.com
e-service@jlpa.com
Counsel for Defendant Michael Williams

I FURTHER CERTIFY that on this 22nd day of July, 2020, I served a copy of the foregoing by U.S. Mail and e-mail to the following:

Maria S. Bellafronto, Esq.
Hopkins & Carley
70 South First Street
San Jose, CA 95113
mbellafr@hopkinscarley.com
Counsel for Jon Fogarty and the Fogarty Trust

/s/ Jordan D. Maglich
Attorney

EXHIBIT 1

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 01/01/2013 through 01/31/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Account Summary

Monthly Account Change

Account Value, 12/31/2012	(93,992.77)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,624.80)
PURCHASES AND SALES	(42,320.11)
CHANGE IN PORTFOLIO HOLDINGS	131,444.96
BOOKKEEPING CHANGES	(2,560.00)
Account Value, 01/31/2013	(10,052.72)

Account Composition

Cash Balances	12/31/2012	Monthly Changes	01/31/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(4,626,985.57)	(47,504.91)	(4,674,490.48)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	4,532,992.80	131,444.96	4,664,437.76
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(93,992.77)	83,940.05	(10,052.72)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(4,626,985.57)	0.00	0.00
PURCHASES	0.00	(46,968.40)	0.00	0.00
SALES	0.00	4,648.29	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,624.80)	0.00	0.00
BOOKKEEPING	0.00	(2,560.00)	0.00	0.00
Closing Balance	0.00	(4,674,490.48)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,624.80)	(2,624.80)
Total	(2,624.80)	(2,624.80)

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	73.92	4,651,637.76
Total Equities Long:					4,651,637.76
Options Long					
PUT JNJ 02/16/13 00072.500	JNJ 130216P00072500	MARGIN	640	0.20	12,800.00
Total Options Long:					12,800.00
Total Positions Long:					4,664,437.76
Total Portfolio Positions:					4,664,437.76

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
01/15/13	01/14/13	SELL	PUT JNJ 01/19/13 00072.500 CLOSE PUT	JNJ 130119P00072500	(11)	0.2073		224.52	MARGIN
01/15/13	01/14/13	BUY	PUT JNJ 02/16/13 00072.500 OPEN PUT	JNJ 130216P00072500	71	0.8234		(5,868.70)	MARGIN
01/16/13	01/15/13	SELL	PUT JNJ 01/19/13 00072.500 CLOSE PUT	JNJ 130119P00072500	(50)	0.4952		2,460.04	MARGIN

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
01/16/13	01/15/13	BUY	PUT JUN 02/16/13 00072.500 OPEN PUT	JNJ 130216P00072500	50	1.0892		(5,461.90)	MARGIN
01/17/13	01/16/13	SELL	PUT JUN 01/19/13 00072.500 CLOSE PUT	JNJ 130119P00072500	(20)	0.1845		362.64	MARGIN
01/17/13	01/16/13	BUY	PUT JUN 02/16/13 00072.500 OPEN PUT	JNJ 130216P00072500	170	0.7635		(13,033.52)	MARGIN
01/18/13	01/17/13	BUY	CALL JUN 01/19/13 00072.500 CLOSE CALL	JNJ 130119C00072500	5	0.36		(181.60)	MARGIN
01/18/13	01/17/13	SELL	CALL JUN 01/19/13 00072.500 OPEN CALL	JNJ 130119C00072500	(5)	0.36		178.39	MARGIN
01/18/13	01/17/13	SELL	PUT JUN 01/19/13 00072.500 CLOSE PUT	JNJ 130119P00072500	(130)	0.065		803.66	MARGIN
01/18/13	01/17/13	BUY	CALL JUN 02/16/13 00072.500 OPEN CALL	JNJ 130216C00072500	5	0.98		(491.60)	MARGIN
01/18/13	01/17/13	SELL	CALL JUN 02/16/13 00072.500 CLOSE CALL	JNJ 130216C00072500	(5)	0.96		478.38	MARGIN
01/18/13	01/17/13	BUY	PUT JUN 02/16/13 00072.500 OPEN PUT	JNJ 130216P00072500	130	0.6704		(8,756.52)	MARGIN
01/22/13	01/18/13	SELL	PUT JUN 01/19/13 00070.000 A/C EXPIRED LONG PUT	JNJ 130119P00070000	(200)	0.00		0.00	MARGIN
01/22/13	01/18/13	SELL	PUT JUN 01/19/13 00072.500 CLOSE PUT	JNJ 130119P00072500	(119)	0.015		140.66	MARGIN
01/22/13	01/18/13	SELL	PUT JUN 01/19/13 00072.500 A/C EXPIRED LONG PUT	JNJ 130119P00072500	(110)	0.00		0.00	MARGIN
01/22/13	01/18/13	BUY	PUT JUN 02/16/13 00072.500 OPEN PUT	JNJ 130216P00072500	219	0.5984		(13,174.56)	MARGIN

Total Purchases: (46,968.40)
 Total Sales: 4,648.29
 Net: (42,320.11)

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	01/31/13	INTE			(2,624.80)

Net Income and Expense Detail:

(2,624.80)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
TRF TO 83473821D9	01/14/13	JE		MARGIN		(2,560.00)

Net Bookkeeping Activity:

(2,560.00)



It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://fir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.

Certain Transaction Types	AGN	Assigned Options	INTI	Interest Credit
	CFEE	Certificate Fee on ADR's	JE	Journal Entry
	CHK	Check Issued	JEX	Journal Entry
	CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
	CPI	Bond Interest	LTCG	Long-term Capital Gain
	CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
	DEL	Delivery of Securities	MTM	Mark to Market
	DIV	Cash Dividend	NRD	NRA Tax on Dividend
	DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
	DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
	EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
	EXP	Rights, Warrants or Options Expired	RCK	Check Issued
	EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
	FDV	Dividend - Foreign	RDP	Redemption of Securities
	FIN	Interest - Foreign	REC	Securities Received into Your Account
	FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
	FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
	FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
	FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
	INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
	INTE	Interest Charge	WCK	Funds Wired

EXHIBIT 2

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 01/01/2013 through 01/31/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Account Summary

Monthly Account Change

Account Value, 12/31/2012	(93,472.87)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(52.83)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 01/31/2013	(93,525.70)

Account Composition

Cash Balances	12/31/2012	Monthly Changes	01/31/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(93,472.87)	(52.83)	(93,525.70)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(93,472.87)	(52.83)	(93,525.70)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(93,472.87)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(52.83)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(93,525.70)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(52.83)	(52.83)
Total	(52.83)	(52.83)



Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY1-100-06-01
 New York, NY 10036
 646-743-1295

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
<i>Equities Long</i>					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
<i>Total Equities Long:</i> 0.00					
<i>Total Positions Long:</i> 0.00					
<i>Total Portfolio Positions:</i> 0.00					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	01/31/13	INTE			(52.83)

Net Income and Expense Detail:

(52.83)

Deposit and Withdrawal Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
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There is no Bookkeeping activity to report for this statement period.



It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.

Certain Transaction Types	AGN	Assigned Options	INTI	Interest Credit
	CFEE	Certificate Fee on ADR's	JE	Journal Entry
	CHK	Check Issued	JEX	Journal Entry
	CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
	CPI	Bond Interest	LTCG	Long-term Capital Gain
	CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
	DEL	Delivery of Securities	MTM	Mark to Market
	DIV	Cash Dividend	NRD	NRA Tax on Dividend
	DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
	DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
	EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
	EXP	Rights, Warrants or Options Expired	RCK	Check Issued
	EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
	FDV	Dividend - Foreign	RDP	Redemption of Securities
	FIN	Interest - Foreign	REC	Securities Received into Your Account
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	FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
	FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
	FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
	INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
	INTE	Interest Charge	WCK	Funds Wired

EXHIBIT 3

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 01/01/2013 through 01/31/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 12/31/2012	(93,992.77)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,624.80)
PURCHASES AND SALES	(42,320.11)
CHANGE IN PORTFOLIO HOLDINGS	131,444.96
BOOKKEEPING CHANGES	(2,560.00)
Account Value, 01/31/2013	(10,052.72)

Account Composition

Cash Balances	12/31/2012	Monthly Changes	01/31/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(4,626,985.57)	(47,504.91)	(4,674,490.48)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	4,532,992.80	131,444.96	4,664,437.76
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(93,992.77)	83,940.05	(10,052.72)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(4,626,985.57)	0.00	0.00
PURCHASES	0.00	(46,968.40)	0.00	0.00
SALES	0.00	4,648.29	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,624.80)	0.00	0.00
BOOKKEEPING	0.00	(2,560.00)	0.00	0.00
Closing Balance	0.00	(4,674,490.48)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,624.80)	(2,624.80)
Total	(2,624.80)	(2,624.80)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	73.92	4,651,637.76
Total Equities Long:					4,651,637.76
Options Long					
PUT JNJ 02/16/13 00072.500	JNJ 130216P00072500	MARGIN	640	0.20	12,800.00
Total Options Long:					12,800.00
Total Positions Long:					4,664,437.76
Total Portfolio Positions:					4,664,437.76

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
01/15/13	01/14/13	SELL	PUT JNJ 01/19/13 00072.500 CLOSE PUT	JNJ 130119P00072500	(11)	0.2073		224.52	MARGIN
01/15/13	01/14/13	BUY	PUT JNJ 02/16/13 00072.500 OPEN PUT	JNJ 130216P00072500	71	0.8234		(5,868.70)	MARGIN
01/16/13	01/15/13	SELL	PUT JNJ 01/19/13 00072.500 CLOSE PUT	JNJ 130119P00072500	(50)	0.4952		2,460.04	MARGIN

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
01/16/13	01/15/13	BUY	PUT JUN 02/16/13 00072.500 OPEN PUT	JNJ 130216P00072500	50	1.0892		(5,461.90)	MARGIN
01/17/13	01/16/13	SELL	PUT JUN 01/19/13 00072.500 CLOSE PUT	JNJ 130119P00072500	(20)	0.1845		362.64	MARGIN
01/17/13	01/16/13	BUY	PUT JUN 02/16/13 00072.500 OPEN PUT	JNJ 130216P00072500	170	0.7635		(13,033.52)	MARGIN
01/18/13	01/17/13	BUY	CALL JUN 01/19/13 00072.500 CLOSE CALL	JNJ 130119C00072500	5	0.36		(181.60)	MARGIN
01/18/13	01/17/13	SELL	CALL JUN 01/19/13 00072.500 OPEN CALL	JNJ 130119C00072500	(5)	0.36		178.39	MARGIN
01/18/13	01/17/13	SELL	PUT JUN 01/19/13 00072.500 CLOSE PUT	JNJ 130119P00072500	(130)	0.065		803.66	MARGIN
01/18/13	01/17/13	BUY	CALL JUN 02/16/13 00072.500 OPEN CALL	JNJ 130216C00072500	5	0.98		(491.60)	MARGIN
01/18/13	01/17/13	SELL	CALL JUN 02/16/13 00072.500 CLOSE CALL	JNJ 130216C00072500	(5)	0.96		478.38	MARGIN
01/18/13	01/17/13	BUY	PUT JUN 02/16/13 00072.500 OPEN PUT	JNJ 130216P00072500	130	0.6704		(8,756.52)	MARGIN
01/22/13	01/18/13	SELL	PUT JUN 01/19/13 00070.000 A/C EXPIRED LONG PUT	JNJ 130119P00070000	(200)	0.00		0.00	MARGIN
01/22/13	01/18/13	SELL	PUT JUN 01/19/13 00072.500 CLOSE PUT	JNJ 130119P00072500	(119)	0.015		140.66	MARGIN
01/22/13	01/18/13	SELL	PUT JUN 01/19/13 00072.500 A/C EXPIRED LONG PUT	JNJ 130119P00072500	(110)	0.00		0.00	MARGIN
01/22/13	01/18/13	BUY	PUT JUN 02/16/13 00072.500 OPEN PUT	JNJ 130216P00072500	219	0.5984		(13,174.56)	MARGIN

Total Purchases: (46,968.40)
 Total Sales: 4,648.29
 Net: (42,320.11)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	01/31/13	INTE			(2,624.80)
Net Income and Expense Detail:					(2,624.80)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
TRF TO 83473821D9	01/14/13	JE		MARGIN		(2,560.00)
Net Bookkeeping Activity:						(2,560.00)



Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

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MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.

Certain
Transaction
Types

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 02/01/2013 through 02/28/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Account Summary

Monthly Account Change

Account Value, 01/31/2013	(10,052.72)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,402.15)
PURCHASES AND SALES	(46,782.16)
CHANGE IN PORTFOLIO HOLDINGS	146,132.32
BOOKKEEPING CHANGES	(1,980.00)
Account Value, 02/28/2013	84,915.29

Account Composition

Cash Balances	01/31/2013	Monthly Changes	02/28/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(4,674,490.48)	(51,164.31)	(4,725,654.79)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	4,664,437.76	146,132.32	4,810,570.08
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(10,052.72)	94,968.01	84,915.29

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(4,674,490.48)	0.00	0.00
PURCHASES	0.00	(46,782.16)	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,402.15)	0.00	0.00
BOOKKEEPING	0.00	(1,980.00)	0.00	0.00
Closing Balance	0.00	(4,725,654.79)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,402.15)	(5,026.95)
Total	(2,402.15)	(5,026.95)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	76.11	4,789,450.08
Total Equities Long:					4,789,450.08

Options Long

PUT JNJ 03/16/13 00075.000	JNJ 130316P00075000	MARGIN	640	0.33	21,120.00
Total Options Long:					21,120.00
Total Positions Long:					4,810,570.08
Total Portfolio Positions:					4,810,570.08

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
02/12/13	02/11/13	BUY	PUT JNJ 03/16/13 00075.000 OPEN PUT	JNJ 130316P00075000	30	0.9967		(2,999.65)	MARGIN
02/13/13	02/12/13	BUY	PUT JNJ 03/16/13 00075.000 OPEN PUT	JNJ 130316P00075000	60	0.80		(4,819.06)	MARGIN
02/14/13	02/13/13	BUY	PUT JNJ 03/16/13 00075.000 OPEN PUT	JNJ 130316P00075000	20	0.745		(1,496.35)	MARGIN

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
02/15/13	02/14/13	BUY	PUT JNJ 03/16/13 00075.000 OPEN PUT	JNJ 130316P00075000	200	0.687		(13,803.54)	MARGIN
02/19/13	02/15/13	SELL	PUT JNJ 02/16/13 00072.500 A/C EXPIRED LONG PUT	JNJ 130216P00072500	(640)	0.00		0.00	MARGIN
02/19/13	02/15/13	BUY	PUT JNJ 03/16/13 00075.000 OPEN PUT	JNJ 130316P00075000	330	0.7139		(23,663.56)	MARGIN

Total Purchases: (46,782.16)
 Total Sales: 0.00
 Net: (46,782.16)

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	02/28/13		INTE		(2,402.15)

Net Income and Expense Detail: (2,402.15)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
There is no Deposit and Withdrawal activity to report for this statement period.				

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	01/31/13	P/L		MARGIN		(2,613.90)
P/L BOOKING	01/31/13	P/L		MARGIN		2,613.90
P/L BOOKING	01/31/13	P/L	JNJ	MARGIN		(237,892.60)
P/L BOOK JNJ	01/31/13	P/L	JNJ	MARGIN		237,892.60

Bookkeeping Activity (cont'd)

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK JNJ 130119C00072500	01/31/13	P/L	JNJ 130119C00072500	MARGIN		(3.21)
P/L BOOKING	01/31/13	P/L	JNJ 130119C00072500	MARGIN		3.21
P/L BOOK JNJ 130119P00070000	01/31/13	P/L	JNJ 130119P00070000	MARGIN		(12,407.01)
P/L BOOKING	01/31/13	P/L	JNJ 130119P00070000	MARGIN		12,407.01
P/L BOOK JNJ 130119P00072500	01/31/13	P/L	JNJ 130119P00072500	MARGIN		(105,409.21)
P/L BOOKING	01/31/13	P/L	JNJ 130119P00072500	MARGIN		105,409.21
P/L BOOK JNJ 130216C00072500	01/31/13	P/L	JNJ 130216C00072500	MARGIN		(13.22)
P/L BOOKING	01/31/13	P/L	JNJ 130216C00072500	MARGIN		13.22
P/L BOOK JNJ 130216P00072500	01/31/13	P/L	JNJ 130216P00072500	MARGIN		(33,506.00)
P/L BOOKING	01/31/13	P/L	JNJ 130216P00072500	MARGIN		33,506.00
TRF TO 83473821D9	02/12/13	JE		MARGIN		(1,980.00)

Net Bookkeeping Activity:

(1,980.00)



It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
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KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 03/01/2013 through 03/31/2013
 Base Currency : US\$
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Through the courtesy of:
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Account Summary

Monthly Account Change	
Account Value, 02/28/2013	84,915.29
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	35,956.95
PURCHASES AND SALES	(39,696.68)
CHANGE IN PORTFOLIO HOLDINGS	328,269.76
BOOKKEEPING CHANGES	(1,250.00)
Account Value, 03/31/2013	408,195.32

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(4,725,654.79)	0.00	0.00
PURCHASES	0.00	(39,696.68)	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	38,386.08	0.00	0.00
INTEREST	0.00	(2,429.13)	0.00	0.00
BOOKKEEPING	0.00	(1,250.00)	0.00	0.00
Closing Balance	0.00	(4,730,644.52)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	38,386.08	38,386.08
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,429.13)	(7,456.08)
Total	35,956.95	30,930.00

Account Composition

	02/28/2013	Monthly Changes	03/31/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(4,725,654.79)	(4,989.73)	(4,730,644.52)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	4,810,570.08	328,269.76	5,138,839.84
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	84,915.29	323,280.03	408,195.32

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	81.53	5,130,519.84
Total Equities Long:					
					5,130,519.84
Options Long					
PUT JNJ 04/20/13 00077.500	JNJ 130420P00077500	MARGIN	640	0.13	8,320.00
Total Options Long:					
					8,320.00
Total Positions Long:					
					5,138,839.84
Total Portfolio Positions:					
					5,138,839.84

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
03/12/13	03/11/13	BUY	PUT JNJ 04/20/13 00077.500 OPEN PUT	JNJ 130420P00077500	150	0.7447		(11,218.17)	MARGIN
03/13/13	03/12/13	BUY	PUT JNJ 04/20/13 00077.500 OPEN PUT	JNJ 130420P00077500	120	0.6583		(7,937.72)	MARGIN
03/14/13	03/13/13	BUY	PUT JNJ 04/20/13 00077.500 OPEN PUT	JNJ 130420P00077500	190	0.6145		(11,735.88)	MARGIN

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
03/15/13	03/14/13	BUY	PUT JNJ 04/20/13 00077.500 OPEN PUT	JNJ 130420P00077500	110	0.5036		(5,574.56)	MARGIN
03/18/13	03/15/13	SELL	PUT JNJ 03/16/13 00075.000 A/C EXPIRED LONG PUT	JNJ 130316P00075000	(640)	0.00		0.00	MARGIN
03/18/13	03/15/13	BUY	PUT JNJ 04/20/13 00077.500 OPEN PUT	JNJ 130420P00077500	70	0.4583		(3,230.35)	MARGIN

Total Purchases: (39,696.68)
 Total Sales: 0.00
 Net: (39,696.68)

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
DIV ON 62,928 SHARES JOHNSON AND JOHNSON COM	03/12/13	DIV	JNJ	MARGIN	38,386.08
CONSOLIDATED INTEREST ENTRIES	03/31/13	INTE			(2,429.13)

Net Income and Expense Detail: 35,956.95

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
There is no Deposit and Withdrawal activity to report for this statement period.				

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	02/28/13	P/L		MARGIN		(1,985.72)
P/L BOOKING	02/28/13	P/L		MARGIN		1,985.72

Bookkeeping Activity (cont'd)

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOKING	02/28/13	P/L	JNJ	MARGIN		(173,818.31)
P/L BOOK JNJ	02/28/13	P/L	JNJ	MARGIN		173,818.31
P/L BOOK JNJ 130216P00072500	02/28/13	P/L	JNJ 130216P00072500	MARGIN		(12,806.55)
P/L BOOKING	02/28/13	P/L	JNJ 130216P00072500	MARGIN		12,806.55
P/L BOOK JNJ 130316P00075000	02/28/13	P/L	JNJ 130316P00075000	MARGIN		(25,671.95)
P/L BOOKING	02/28/13	P/L	JNJ 130316P00075000	MARGIN		25,671.95
TRF TO 83473821D9	03/19/13	JE		MARGIN		(1,250.00)

Net Bookkeeping Activity:

(1,250.00)



It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://fir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.

Certain Transaction Types	AGN	Assigned Options	INTI	Interest Credit
	CFEE	Certificate Fee on ADR's	JE	Journal Entry
	CHK	Check Issued	JEX	Journal Entry
	CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
	CPI	Bond Interest	LTCG	Long-term Capital Gain
	CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
	DEL	Delivery of Securities	MTM	Mark to Market
	DIV	Cash Dividend	NRD	NRA Tax on Dividend
	DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
	DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
	EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
	EXP	Rights, Warrants or Options Expired	RCK	Check Issued
	EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
	FDV	Dividend - Foreign	RDP	Redemption of Securities
	FIN	Interest - Foreign	REC	Securities Received into Your Account
	FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
	FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
	FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
	FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
	INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
	INTE	Interest Charge	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 04/01/2013 through 04/30/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 03/31/2013	408,195.32
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,883.50)
PURCHASES AND SALES	(203,123.42)
CHANGE IN PORTFOLIO HOLDINGS	281,388.60
BOOKKEEPING CHANGES	(1,280.00)
Account Value, 04/30/2013	482,297.00

Account Composition

Cash Balances	03/31/2013	Monthly Changes	04/30/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(4,730,644.52)	(207,286.92)	(4,937,931.44)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	5,138,839.84	281,388.60	5,420,228.44
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	408,195.32	74,101.68	482,297.00

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(4,730,644.52)	0.00	0.00
PURCHASES	0.00	(207,182.12)	0.00	0.00
SALES	0.00	4,058.70	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,883.50)	0.00	0.00
BOOKKEEPING	0.00	(1,280.00)	0.00	0.00
Closing Balance	0.00	(4,937,931.44)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	38,386.08
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,883.50)	(10,339.58)
Total	(2,883.50)	28,046.50

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	85.23	5,363,353.44
Total Equities Long:					5,363,353.44

Options Long

PUT JNJ 05/18/13 00085.000	JNJ 130518P00085000	MARGIN	650	0.875	56,875.00
Total Options Long:					56,875.00
Total Positions Long:					5,420,228.44
Total Portfolio Positions:					5,420,228.44

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
04/05/13	04/04/13	SELL	PUT JNJ 04/20/13 00077.500 CLOSE PUT	JNJ 130420P00077500	(617)	0.0689		4,058.70	MARGIN
04/05/13	04/04/13	BUY	PUT JNJ 05/18/13 00085.000 OPEN PUT	JNJ 130518P00085000	650	3.1843		(207,182.12)	MARGIN
04/22/13	04/19/13	SELL	PUT JNJ 04/20/13 00077.500 A/C EXPIRED LONG PUT	JNJ 130420P00077500	(23)	0.00		0.00	MARGIN

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
				Total Purchases:				(207,182.12)	
				Total Sales:				4,058.70	
				Net:				(203,123.42)	

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	04/30/13	INTE		(2,883.50)
Net Income and Expense Detail:				(2,883.50)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit

There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	03/28/13	P/L	MARGIN		(1,186.83)
P/L BOOKING	03/28/13	P/L	MARGIN		1,186.83
P/L BOOKING	03/28/13	P/L JNJ	MARGIN		(338,598.73)
P/L BOOK JNJ	03/28/13	P/L JNJ	MARGIN		338,598.73
P/L BOOK JNJ 130316P00075000	03/28/13	P/L JNJ 130316P00075000	MARGIN		(21,130.85)
P/L BOOKING	03/28/13	P/L JNJ 130316P00075000	MARGIN		21,130.85
P/L BOOK JNJ 130420P00077500	03/28/13	P/L JNJ 130420P00077500	MARGIN		(31,387.10)
P/L BOOKING	03/28/13	P/L JNJ 130420P00077500	MARGIN		31,387.10

Bookkeeping Activity (cont'd)

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
TRF TO 83473821D9	04/12/13	JE		MARGIN		(1,280.00)

Net Bookkeeping Activity:

(1,280.00)



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CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 05/01/2013 through 05/31/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Account Summary

Monthly Account Change

Account Value, 04/30/2013	482,297.00
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,648.05)
PURCHASES AND SALES	(63,311.42)
CHANGE IN PORTFOLIO HOLDINGS	19,930.60
BOOKKEEPING CHANGES	(2,734.00)
Account Value, 05/31/2013	433,534.13

Account Composition

Cash Balances	04/30/2013	Monthly Changes	05/31/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(4,937,931.44)	(68,693.47)	(5,006,624.91)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	5,420,228.44	19,930.60	5,440,159.04
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	482,297.00	(48,762.87)	433,534.13

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(4,937,931.44)	0.00	0.00
PURCHASES	0.00	(63,824.85)	0.00	0.00
SALES	0.00	513.43	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,648.05)	0.00	0.00
BOOKKEEPING	0.00	(2,734.00)	0.00	0.00
Closing Balance	0.00	(5,006,624.91)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	38,386.08
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,648.05)	(12,987.63)
Total	(2,648.05)	25,398.45

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	84.18	5,297,279.04
Total Equities Long:					5,297,279.04
Options Long					
PUT JNJ 06/22/13 00085.000	JNJ 130622P00085000	MARGIN	470	1.765	82,955.00
PUT JNJ 06/22/13 00087.500	JNJ 130622P00087500	MARGIN	170	3.525	59,925.00
Total Options Long:					142,880.00
Total Positions Long:					5,440,159.04
Total Portfolio Positions:					5,440,159.04

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
05/15/13	05/14/13	SELL	PUT JNJ 05/18/13 00085.000 CLOSE PUT	JNJ 130518P00085000	(60)	0.0558		315.73	MARGIN
05/15/13	05/14/13	BUY	PUT JNJ 06/22/13 00085.000 OPEN PUT	JNJ 130622P00085000	60	0.9025		(5,434.06)	MARGIN

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
05/16/13	05/15/13	SELL	PUT JNJ 05/18/13 00085.000 CLOSE PUT	JNJ 130518P00085000	(60)	0.0168		81.73	MARGIN
05/16/13	05/15/13	BUY	PUT JNJ 06/22/13 00085.000 OPEN PUT	JNJ 130622P00085000	60	0.7585		(4,570.06)	MARGIN
05/16/13	05/15/13	BUY	PUT JNJ 06/22/13 00087.500 OPEN PUT	JNJ 130622P00087500	120	1.705		(20,498.12)	MARGIN
05/17/13	05/16/13	SELL	PUT JNJ 05/18/13 00085.000 CLOSE PUT	JNJ 130518P00085000	(170)	0.01		115.97	MARGIN
05/17/13	05/16/13	BUY	PUT JNJ 06/22/13 00085.000 OPEN PUT	JNJ 130622P00085000	200	0.7963		(15,989.54)	MARGIN
05/17/13	05/16/13	BUY	PUT JNJ 06/22/13 00087.500 OPEN PUT	JNJ 130622P00087500	10	1.68		(1,683.19)	MARGIN
05/20/13	05/17/13	SELL	PUT JNJ 05/18/13 00085.000 A/C EXPIRED LONG PUT	JNJ 130518P00085000	(360)	0.00		0.00	MARGIN
05/20/13	05/17/13	BUY	PUT JNJ 06/22/13 00085.000 OPEN PUT	JNJ 130622P00085000	150	0.6513		(9,817.17)	MARGIN
05/20/13	05/17/13	BUY	PUT JNJ 06/22/13 00087.500 OPEN PUT	JNJ 130622P00087500	40	1.455		(5,832.71)	MARGIN

Total Purchases: (63,824.85)
 Total Sales: 513.43
 Net: (63,311.42)

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	05/31/13	INTE		(2,648.05)
Net Income and Expense Detail:				(2,648.05)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	04/30/13	P/L		MARGIN		(1,039.57)
P/L BOOKING	04/30/13	P/L		MARGIN		1,039.57
P/L BOOKING	04/30/13	P/L	JNJ	MARGIN		(229,806.60)
P/L BOOK JNJ	04/30/13	P/L	JNJ	MARGIN		229,806.60
P/L BOOK JNJ 130420P00077500	04/30/13	P/L	JNJ 130420P00077500	MARGIN		(4,264.37)
P/L BOOKING	04/30/13	P/L	JNJ 130420P00077500	MARGIN		4,264.37
P/L BOOK JNJ 130518P00085000	04/30/13	P/L	JNJ 130518P00085000	MARGIN		(150,400.98)
P/L BOOKING	04/30/13	P/L	JNJ 130518P00085000	MARGIN		150,400.98
TRF TO 83473821D9	05/02/13	JE		MARGIN		(200.00)
TRF TO 83473821D9	05/02/13	JE		MARGIN		(2,534.00)

Net Bookkeeping Activity:

(2,734.00)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://fir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.



Merrill Lynch Professional Clearing Corp.

Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY1-100-06-01
 New York, NY 10036
 646-743-1295

CLIENT STATEMENT

Certain Transaction Types

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
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FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
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INTE	Interest Charge	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 06/01/2013 through 06/30/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change	
Account Value, 05/31/2013	433,534.13
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	39,240.66
PURCHASES AND SALES	(59,263.38)
CHANGE IN PORTFOLIO HOLDINGS	53,699.04
BOOKKEEPING CHANGES	(1,860.00)
Account Value, 06/30/2013	465,350.45

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,006,624.91)	0.00	0.00
PURCHASES	0.00	(160,094.19)	0.00	0.00
SALES	0.00	100,830.81	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	41,532.48	0.00	0.00
INTEREST	0.00	(2,291.82)	0.00	0.00
BOOKKEEPING	0.00	(1,860.00)	0.00	0.00
Closing Balance	0.00	(5,028,507.63)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	34,043.46	72,429.54
SUBSTITUTE PAYMENT	7,489.02	7,489.02
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,291.82)	(15,279.45)
Total	39,240.66	64,639.11

Account Composition

	05/31/2013	Monthly Changes	06/30/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,006,624.91)	(21,882.72)	(5,028,507.63)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	5,440,159.04	53,699.04	5,493,858.08
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	433,534.13	31,816.32	465,350.45

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	85.86	5,402,998.08
Total Equities Long:					5,402,998.08
Options Long					
PUT JNJ 07/20/13 00085.000	JNJ 130720P00085000	MARGIN	420	0.99	41,580.00
PUT JNJ 07/20/13 00087.500	JNJ 130720P00087500	MARGIN	220	2.24	49,280.00
Total Options Long:					90,860.00
Total Positions Long:					5,493,858.08
Total Portfolio Positions:					5,493,858.08

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
06/19/13	06/18/13	SELL	PUT JNJ 06/22/13 00085.000 CLOSE PUT	JNJ 130622P00085000	(60)	0.1958		1,155.71	MARGIN
06/19/13	06/18/13	BUY	PUT JNJ 07/20/13 00085.000 OPEN PUT	JNJ 130720P00085000	60	1.0958		(6,593.86)	MARGIN

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
06/20/13	06/19/13	SELL	PUT JNJ 06/22/13 00085.000 CLOSE PUT	JNJ 130622P000085000	(70)	0.1229		838.03	MARGIN
06/20/13	06/19/13	SELL	PUT JNJ 06/22/13 00087.500 CLOSE PUT	JNJ 130622P000087500	(130)	1.7318		22,471.68	MARGIN
06/20/13	06/19/13	BUY	PUT JNJ 07/20/13 00085.000 OPEN PUT	JNJ 130720P000085000	70	0.98		(6,882.25)	MARGIN
06/20/13	06/19/13	BUY	PUT JNJ 07/20/13 00087.500 OPEN PUT	JNJ 130720P000087500	130	2.4472		(31,854.92)	MARGIN
06/21/13	06/20/13	SELL	PUT JNJ 06/22/13 00085.000 CLOSE PUT	JNJ 130622P000085000	(260)	1.835		47,626.56	MARGIN
06/21/13	06/20/13	SELL	PUT JNJ 06/22/13 00087.500 CLOSE PUT	JNJ 130622P000087500	(30)	3.4057		10,207.37	MARGIN
06/21/13	06/20/13	BUY	PUT JNJ 07/20/13 00085.000 OPEN PUT	JNJ 130720P000085000	210	2.7138		(57,056.53)	MARGIN
06/21/13	06/20/13	BUY	PUT JNJ 07/20/13 00087.500 OPEN PUT	JNJ 130720P000087500	80	3.9911		(31,954.22)	MARGIN
06/24/13	06/21/13	SELL	PUT JNJ 06/22/13 00085.000 CLOSE PUT	JNJ 130622P000085000	(80)	1.7763		14,184.73	MARGIN
06/24/13	06/21/13	SELL	PUT JNJ 06/22/13 00087.500 CLOSE PUT	JNJ 130622P000087500	(10)	4.35		4,346.73	MARGIN
06/24/13	06/21/13	BUY	PUT JNJ 07/20/13 00085.000 OPEN PUT	JNJ 130720P000085000	80	2.6381		(21,130.22)	MARGIN
06/24/13	06/21/13	BUY	PUT JNJ 07/20/13 00087.500 OPEN PUT	JNJ 130720P000087500	10	4.619		(4,622.19)	MARGIN

Total Purchases: (160,094.19)
 Total Sales: 100,830.81
 Net: (59,263.38)

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
DIV ON 51,581 SHARES JOHNSON AND JOHNSON COM	06/11/13	DIV	JNJ	MARGIN	34,043.46

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity (cont'd)

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
SUB DIV 11,347 SHARES JOHNSON AND JOHNSON COM	06/11/13	MDIV	JNJ	MARGIN	7,489.02
CONSOLIDATED INTEREST ENTRIES	06/30/13	INTE			(2,291.82)

Net Income and Expense Detail:
39,240.66

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	05/31/13	P/L		MARGIN		(2,478.25)
P/L BOOKING	05/31/13	P/L		MARGIN		2,478.25
P/L BOOK JNJ	05/31/13	P/L	JNJ	MARGIN		(27,400.88)
P/L BOOKING	05/31/13	P/L	JNJ	MARGIN		27,400.88
P/L BOOK JNJ 130518P00085000	05/31/13	P/L	JNJ 130518P00085000	MARGIN		(56,391.75)
P/L BOOKING	05/31/13	P/L	JNJ 130518P00085000	MARGIN		56,391.75
P/L BOOKING	05/31/13	P/L	JNJ 130622P00085000	MARGIN		(47,136.08)
P/L BOOK JNJ 130622P00085000	05/31/13	P/L	JNJ 130622P00085000	MARGIN		47,136.08
P/L BOOKING	05/31/13	P/L	JNJ 130622P00087500	MARGIN		(31,904.41)
P/L BOOK JNJ 130622P00087500	05/31/13	P/L	JNJ 130622P00087500	MARGIN		31,904.41
TRF TO 83473821D9	06/14/13	JE		MARGIN		(1,860.00)

Net Bookkeeping Activity:
(1,860.00)

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.

Certain
Transaction
Types

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 07/01/2013 through 07/31/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Account Summary

Monthly Account Change

Account Value, 06/30/2013	465,350.45
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,742.37)
PURCHASES AND SALES	(76,339.90)
CHANGE IN PORTFOLIO HOLDINGS	402,069.92
BOOKKEEPING CHANGES	(4,796.00)
Account Value, 07/31/2013	783,542.10

Account Composition

Cash Balances	06/30/2013	Monthly Changes	07/31/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,028,507.63)	(83,878.27)	(5,112,385.90)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	5,493,858.08	402,069.92	5,895,928.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	465,350.45	318,191.65	783,542.10

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,028,507.63)	0.00	0.00
PURCHASES	0.00	(77,171.16)	0.00	0.00
SALES	0.00	831.26	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,742.37)	0.00	0.00
BOOKKEEPING	0.00	(4,796.00)	0.00	0.00
Closing Balance	0.00	(5,112,385.90)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	72,429.54
SUBSTITUTE PAYMENT	0.00	7,489.02
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,742.37)	(18,021.82)
Total	(2,742.37)	61,896.74

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	93.50	5,883,768.00
Total Equities Long:					5,883,768.00

Options Long

PUT JNJ 08/17/13 00090.000	JNJ 130817P00090000	MARGIN	640	0.19	12,160.00
Total Options Long:					12,160.00
Total Positions Long:					5,895,928.00
Total Portfolio Positions:					5,895,928.00

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

07/16/13	07/15/13	SELL	PUT JNJ 07/20/13 00085.000 CLOSE PUT	JNJ 130720P00085000	(180)	0.0178		263.20	MARGIN
07/16/13	07/15/13	SELL	PUT JNJ 07/20/13 00087.500 CLOSE PUT	JNJ 130720P00087500	(20)	0.135		263.64	MARGIN
07/16/13	07/15/13	BUY	PUT JNJ 08/17/13 00090.000 OPEN PUT	JNJ 130817P00090000	200	1.4508		(29,079.54)	MARGIN

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
07/17/13	07/16/13	SELL	PUT JNJ 07/20/13 00085.000 CLOSE PUT	JNJ 130720P00085000	(20)	0.015		23.64	MARGIN
07/17/13	07/16/13	BUY	PUT JNJ 08/17/13 00090.000 OPEN PUT	JNJ 130817P00090000	20	1.22		(2,446.35)	MARGIN
07/18/13	07/17/13	SELL	PUT JNJ 07/20/13 00085.000 CLOSE PUT	JNJ 130720P00085000	(40)	0.0105		29.28	MARGIN
07/18/13	07/17/13	BUY	PUT JNJ 08/17/13 00090.000 OPEN PUT	JNJ 130817P00090000	40	1.078		(4,324.71)	MARGIN
07/19/13	07/18/13	SELL	PUT JNJ 07/20/13 00085.000 CLOSE PUT	JNJ 130720P00085000	(60)	0.01		40.93	MARGIN
07/19/13	07/18/13	SELL	PUT JNJ 07/20/13 00087.500 CLOSE PUT	JNJ 130720P00087500	(70)	0.0243		147.84	MARGIN
07/19/13	07/18/13	BUY	PUT JNJ 08/17/13 00090.000 OPEN PUT	JNJ 130817P00090000	130	1.1446		(14,921.12)	MARGIN
07/22/13	07/19/13	SELL	PUT JNJ 07/20/13 00085.000 CLOSE PUT	JNJ 130720P00085000	(30)	0.01		20.44	MARGIN
07/22/13	07/19/13	SELL	PUT JNJ 07/20/13 00085.000 A/C EXPIRED LONG PUT	JNJ 130720P00085000	(90)	0.00		0.00	MARGIN
07/22/13	07/19/13	SELL	PUT JNJ 07/20/13 00087.500 CLOSE PUT	JNJ 130720P00087500	(62)	0.01		42.29	MARGIN
07/22/13	07/19/13	SELL	PUT JNJ 07/20/13 00087.500 A/C EXPIRED LONG PUT	JNJ 130720P00087500	(68)	0.00		0.00	MARGIN
07/22/13	07/19/13	BUY	PUT JNJ 08/17/13 00090.000 OPEN PUT	JNJ 130817P00090000	250	1.0528		(26,399.44)	MARGIN
Total Purchases:								(77,171.16)	
Total Sales:								831.26	
Net:								(76,339.90)	

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	07/31/13	INTE			(2,742.37)
Net Income and Expense Detail:					(2,742.37)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	06/28/13	P/L		MARGIN		(1,642.56)
P/L BOOKING	06/28/13	P/L		MARGIN		1,642.56
P/L BOOKING	06/28/13	P/L	JNJ	MARGIN		(103,282.18)
P/L BOOK JNJ	06/28/13	P/L	JNJ	MARGIN		103,282.18
P/L BOOK JNJ 130622P00085000	06/28/13	P/L	JNJ 130622P00085000	MARGIN		(19,181.36)
P/L BOOKING	06/28/13	P/L	JNJ 130622P00085000	MARGIN		19,181.36
P/L BOOK JNJ 130622P00087500	06/28/13	P/L	JNJ 130622P00087500	MARGIN		(22,922.32)
P/L BOOKING	06/28/13	P/L	JNJ 130622P00087500	MARGIN		22,922.32
P/L BOOK JNJ 130720P00085000	06/28/13	P/L	JNJ 130720P00085000	MARGIN		(50,092.65)
P/L BOOKING	06/28/13	P/L	JNJ 130720P00085000	MARGIN		50,092.65
P/L BOOK JNJ 130720P00087500	06/28/13	P/L	JNJ 130720P00087500	MARGIN		(19,159.45)
P/L BOOKING	06/28/13	P/L	JNJ 130720P00087500	MARGIN		19,159.45
TRF TO 83473821D9	07/10/13	JE		MARGIN		(2,236.00)
TRF TO 83473821D9	07/10/13	JE		MARGIN		(2,560.00)

Net Bookkeeping Activity:

(4,796.00)

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2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
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6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
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15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.

Certain Transaction Types

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 08/01/2013 through 08/31/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change	
Account Value, 07/31/2013	783,542.10
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,572.63)
PURCHASES AND SALES	(98,105.92)
CHANGE IN PORTFOLIO HOLDINGS	(169,994.52)
BOOKKEEPING CHANGES	(7,123.08)
Account Value, 08/31/2013	505,745.95

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,112,385.90)	0.00	0.00
PURCHASES	0.00	(137,534.86)	0.00	0.00
SALES	0.00	39,428.94	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,572.63)	0.00	0.00
BOOKKEEPING	0.00	(7,123.08)	0.00	0.00
Closing Balance	0.00	(5,220,187.53)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	72,429.54
SUBSTITUTE PAYMENT	0.00	7,489.02
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,572.63)	(20,594.45)
Total	(2,572.63)	59,324.11

Account Composition

	07/31/2013	Monthly Changes	08/31/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,112,385.90)	(107,801.63)	(5,220,187.53)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	5,895,928.00	(169,994.52)	5,725,933.48
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	783,542.10	(277,796.15)	505,745.95

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	86.41	5,437,608.48
Total Equities Long:					5,437,608.48
Options Long					
PUT JNJ 09/21/13 00090.000	JNJ 130921P00090000	MARGIN	450	3.80	171,000.00
PUT JNJ 09/21/13 00092.500	JNJ 130921P00092500	MARGIN	190	6.175	117,325.00
Total Options Long:					288,325.00
Total Positions Long:					5,725,933.48
Total Portfolio Positions:					5,725,933.48

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
08/13/13	08/12/13	SELL	PUT JNJ 08/17/13 00090.000 CLOSE PUT	JNJ 130817P00090000	(5)	0.06		28.38	MARGIN
08/13/13	08/12/13	BUY	PUT JNJ 09/21/13 00092.500 OPEN PUT	JNJ 130921P00092500	5	1.81		(906.61)	MARGIN

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
08/14/13	08/13/13	SELL	PUT JNJ 08/17/13 00090.000 CLOSE PUT	JNJ 130817P000900000	(185)	0.0218		344.31	MARGIN
08/14/13	08/13/13	BUY	PUT JNJ 09/21/13 00092.500 OPEN PUT	JNJ 130921P00092500	185	1.6089		(29,823.63)	MARGIN
08/15/13	08/14/13	SELL	PUT JNJ 08/17/13 00090.000 CLOSE PUT	JNJ 130817P000900000	(10)	0.073		69.79	MARGIN
08/15/13	08/14/13	BUY	PUT JNJ 09/21/13 00090.000 OPEN PUT	JNJ 130921P000900000	10	1.143		(1,146.20)	MARGIN
08/16/13	08/15/13	SELL	PUT JNJ 08/17/13 00090.000 CLOSE PUT	JNJ 130817P000900000	(63)	0.3283		2,048.17	MARGIN
08/16/13	08/15/13	BUY	PUT JNJ 09/21/13 00090.000 OPEN PUT	JNJ 130921P000900000	63	1.8263		(11,525.77)	MARGIN
08/19/13	08/16/13	SELL	PUT JNJ 08/17/13 00090.000 CLOSE PUT	JNJ 130817P000900000	(377)	0.983		36,938.29	MARGIN
08/19/13	08/16/13	BUY	PUT JNJ 09/21/13 00090.000 OPEN PUT	JNJ 130921P000900000	377	2.4937		(94,132.65)	MARGIN

Total Purchases: (137,534.86)
 Total Sales: 39,428.94
 Net: (98,105.92)

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	08/31/13	INTE			(2,572.63)

Net Income and Expense Detail: (2,572.63)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit

There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	07/31/13	P/L		MARGIN		(4,545.48)
P/L BOOKING	07/31/13	P/L		MARGIN		4,545.48
P/L BOOKING	07/31/13	P/L	JNJ	MARGIN		(477,841.80)
P/L BOOK JNJ	07/31/13	P/L	JNJ	MARGIN		477,841.80
P/L BOOK JNJ 130720P00085000	07/31/13	P/L	JNJ 130720P00085000	MARGIN		(41,224.96)
P/L BOOKING	07/31/13	P/L	JNJ 130720P00085000	MARGIN		41,224.96
P/L BOOK JNJ 130720P00087500	07/31/13	P/L	JNJ 130720P00087500	MARGIN		(48,852.82)
P/L BOOKING	07/31/13	P/L	JNJ 130720P00087500	MARGIN		48,852.82
P/L BOOK JNJ 130817P00090000	07/31/13	P/L	JNJ 130817P00090000	MARGIN		(65,026.89)
P/L BOOKING	07/31/13	P/L	JNJ 130817P00090000	MARGIN		65,026.89
TRF TO 83473821D9	08/09/13	JE		MARGIN		(4,879.08)
TRF TO 83473821D9	08/09/13	JE		MARGIN		(2,244.00)

Net Bookkeeping Activity:

(7,123.08)



It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://fir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
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Merrill Lynch Professional Clearing Corp.

Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY1-100-06-01
 New York, NY 10036
 646-743-1295

CLIENT STATEMENT

Certain Transaction Types	AGN	Assigned Options	INTI	Interest Credit
	CFEE	Certificate Fee on ADR's	JE	Journal Entry
	CHK	Check Issued	JEX	Journal Entry
	CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
	CPI	Bond Interest	LTCG	Long-term Capital Gain
	CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
	DEL	Delivery of Securities	MTM	Mark to Market
	DIV	Cash Dividend	NRD	NRA Tax on Dividend
	DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
	DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
	EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
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	EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
	FDV	Dividend - Foreign	RDP	Redemption of Securities
	FIN	Interest - Foreign	REC	Securities Received into Your Account
	FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
	FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
	FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
	FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
	INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
	INTE	Interest Charge	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 09/01/2013 through 09/30/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
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Account Summary

Monthly Account Change

Account Value, 08/31/2013	505,745.95
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	38,887.18
PURCHASES AND SALES	(83,698.16)
CHANGE IN PORTFOLIO HOLDINGS	48,384.84
BOOKKEEPING CHANGES	(7,333.18)
Account Value, 09/30/2013	501,986.63

Account Composition

Cash Balances	08/31/2013	Monthly Changes	09/30/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,220,187.53)	(52,144.16)	(5,272,331.69)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	5,725,933.48	48,384.84	5,774,318.32
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	505,745.95	(3,759.32)	501,986.63

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,220,187.53)	0.00	0.00
PURCHASES	0.00	(164,977.78)	0.00	0.00
SALES	0.00	81,279.62	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	41,532.48	0.00	0.00
INTEREST	0.00	(2,645.30)	0.00	0.00
BOOKKEEPING	0.00	(7,333.18)	0.00	0.00
Closing Balance	0.00	(5,272,331.69)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	41,532.48	113,962.02
SUBSTITUTE PAYMENT	0.00	7,489.02
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,645.30)	(23,239.75)
Total	38,887.18	98,211.29

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	86.69	5,455,228.32
Total Equities Long:					5,455,228.32

Options Long

PUT JNJ 10/19/13 00087.500	JNJ 131019P00087500	MARGIN	40	1.735	6,940.00
PUT JNJ 10/19/13 00090.000	JNJ 131019P00090000	MARGIN	180	3.575	64,350.00
PUT JNJ 10/19/13 00092.500	JNJ 131019P00092500	MARGIN	420	5.90	247,800.00
Total Options Long:					319,090.00

Total Positions Long:

5,774,318.32

Total Portfolio Positions:

5,774,318.32

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

09/17/13	09/16/13	SELL	PUT JNJ 09/21/13 00092.500 CLOSE PUT	JNJ 130921P00092500	(20)	3.455		6,903.56	MARGIN
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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
09/17/13	09/16/13	BUY	PUT JNJ 10/19/13 00092.500 OPEN PUT	JNJ 131019P00092500	20	3.805		(7,616.31)	MARGIN
09/18/13	09/17/13	SELL	PUT JNJ 09/21/13 00090.000 CLOSE PUT	JNJ 130921P00090000	(40)	1.3703		5,468.47	MARGIN
09/18/13	09/17/13	SELL	PUT JNJ 09/21/13 00092.500 CLOSE PUT	JNJ 130921P00092500	(90)	3.6722		33,020.80	MARGIN
09/18/13	09/17/13	BUY	PUT JNJ 10/19/13 00090.000 OPEN PUT	JNJ 131019P00090000	40	2.1778		(8,723.83)	MARGIN
09/18/13	09/17/13	BUY	PUT JNJ 10/19/13 00092.500 OPEN PUT	JNJ 131019P00092500	90	3.9789		(35,838.52)	MARGIN
09/19/13	09/18/13	SELL	PUT JNJ 09/21/13 00090.000 CLOSE PUT	JNJ 130921P00090000	(90)	1.5042		13,509.14	MARGIN
09/19/13	09/18/13	SELL	PUT JNJ 09/21/13 00092.500 CLOSE PUT	JNJ 130921P00092500	(10)	3.776		3,772.77	MARGIN
09/19/13	09/18/13	SELL	PUT JNJ 09/21/13 00092.500 CLOSE PUT	JNJ 130921P00092500	(2)	4.03		805.33	MARGIN
09/19/13	09/18/13	BUY	PUT JNJ 10/19/13 00090.000 OPEN PUT	JNJ 131019P00090000	90	2.2687		(20,446.72)	MARGIN
09/19/13	09/18/13	BUY	PUT JNJ 10/19/13 00092.500 OPEN PUT	JNJ 131019P00092500	10	4.056		(4,059.16)	MARGIN
09/19/13	09/18/13	BUY	PUT JNJ 10/19/13 00092.500 OPEN PUT	JNJ 131019P00092500	2	4.27		(854.65)	MARGIN
09/23/13	09/20/13	SELL	PUT JNJ 09/21/13 00090.000 CLOSE PUT	JNJ 130921P00090000	(320)	0.0657		2,001.37	MARGIN
09/23/13	09/20/13	BUY	PUT JNJ 09/21/13 00092.500 CLOSE PUT	JNJ 130921P00092500	10	2.03		(2,033.16)	MARGIN
09/23/13	09/20/13	SELL	PUT JNJ 09/21/13 00092.500 CLOSE PUT	JNJ 130921P00092500	(78)	2.0286		15,798.18	MARGIN
09/23/13	09/20/13	BUY	PUT JNJ 10/19/13 00087.500 OPEN PUT	JNJ 131019P00087500	40	0.53		(2,132.63)	MARGIN
09/23/13	09/20/13	BUY	PUT JNJ 10/19/13 00090.000 OPEN PUT	JNJ 131019P00090000	50	1.3738		(6,884.79)	MARGIN
09/23/13	09/20/13	BUY	PUT JNJ 10/19/13 00092.500 OPEN PUT	JNJ 131019P00092500	298	2.5602		(76,388.01)	MARGIN

09/01/2013 THROUGH 09/30/2013

ACCOUNT 834-73320

Total Purchases: (164,977.78)

Total Sales: 81,296.62

Net: (83,681.16)

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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
DIV ON 62,928 SHARES JOHNSON AND JOHNSON COM	09/10/13	DIV	JNJ	MARGIN	41,532.48
CONSOLIDATED INTEREST ENTRIES	09/30/13	INTE			(2,645.30)

Net Income and Expense Detail:

38,887.18

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	08/30/13	P/L		MARGIN		(6,734.90)
P/L BOOKING	08/30/13	P/L		MARGIN		6,734.90
P/L BOOK JNJ	08/30/13	P/L	JNJ	MARGIN		(407,560.76)
P/L BOOKING	08/30/13	P/L	JNJ	MARGIN		407,560.76
P/L BOOKING	08/30/13	P/L	JNJ 130817P000900000	MARGIN		(27,270.21)
P/L BOOK JNJ 130817P000900000	08/30/13	P/L	JNJ 130817P000900000	MARGIN		27,270.21
P/L BOOKING	08/30/13	P/L	JNJ 130921P000900000	MARGIN		(64,175.21)
P/L BOOK JNJ 130921P000900000	08/30/13	P/L	JNJ 130921P000900000	MARGIN		64,175.21
P/L BOOKING	08/30/13	P/L	JNJ 130921P00092500	MARGIN		(86,586.57)
P/L BOOK JNJ 130921P00092500	08/30/13	P/L	JNJ 130921P00092500	MARGIN		86,586.57
TRF TO 83473821D9	09/12/13	JE		MARGIN		(4,773.18)
TRF TO 83473821D9	09/12/13	JE		MARGIN		(2,560.00)

Net Bookkeeping Activity:

(7,333.18)

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://fir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.

CLIENT STATEMENT

**Certain
Transaction
Types**

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 10/01/2013 through 10/31/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Account Summary

Monthly Account Change

Account Value, 09/30/2013	501,986.63
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,713.48)
PURCHASES AND SALES	(74,736.78)
CHANGE IN PORTFOLIO HOLDINGS	101,053.76
BOOKKEEPING CHANGES	(5,843.83)
Account Value, 10/31/2013	519,746.30

Account Composition

Cash Balances	09/30/2013	Monthly Changes	10/31/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,272,331.69)	(83,294.09)	(5,355,625.78)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	5,774,318.32	101,053.76	5,875,372.08
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	501,986.63	17,759.67	519,746.30

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,272,331.69)	0.00	0.00
PURCHASES	0.00	(140,425.24)	0.00	0.00
SALES	0.00	65,688.46	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,713.48)	0.00	0.00
BOOKKEEPING	0.00	(5,843.83)	0.00	0.00
Closing Balance	0.00	(5,355,625.78)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	113,962.02
SUBSTITUTE PAYMENT	0.00	7,489.02
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,713.48)	(25,953.23)
Total	(2,713.48)	95,497.81

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	92.61	5,827,762.08
Total Equities Long:					5,827,762.08

Options Long

PUT JNJ 11/16/13 00090.000	JNJ 131116P00090000	MARGIN	50	0.20	1,000.00
PUT JNJ 11/16/13 00092.500	JNJ 131116P00092500	MARGIN	590	0.79	46,610.00
Total Options Long:					47,610.00
Total Positions Long:					5,875,372.08
Total Portfolio Positions:					5,875,372.08

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

10/16/13	10/15/13	SELL	PUT JNJ 10/19/13 00090.000 CLOSE PUT	JNJ 131019P00090000	(20)	0.31		613.67	MARGIN
10/16/13	10/15/13	SELL	PUT JNJ 10/19/13 00092.500 CLOSE PUT	JNJ 131019P00092500	(230)	2.009		46,133.59	MARGIN

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
10/16/13	10/15/13	BUY	PUT JUN 11/16/13 00090.000 OPEN PUT	JUN 131116P00090000	20	1.225		(2,456.31)	MARGIN
10/16/13	10/15/13	BUY	PUT JUN 11/16/13 00092.500 OPEN PUT	JUN 131116P00092500	230	2.6882		(61,901.20)	MARGIN
10/17/13	10/16/13	SELL	PUT JUN 10/19/13 00090.000 CLOSE PUT	JUN 131019P00090000	(140)	0.1854		2,551.36	MARGIN
10/17/13	10/16/13	BUY	PUT JUN 11/16/13 00092.500 OPEN PUT	JUN 131116P00092500	140	2.4382		(34,178.99)	MARGIN
10/18/13	10/17/13	SELL	PUT JUN 10/19/13 00092.500 CLOSE PUT	JUN 131019P00092500	(60)	1.139		6,814.95	MARGIN
10/18/13	10/17/13	BUY	PUT JUN 11/16/13 00090.000 OPEN PUT	JUN 131116P00090000	30	0.8133		(2,449.38)	MARGIN
10/18/13	10/17/13	BUY	PUT JUN 11/16/13 00092.500 OPEN PUT	JUN 131116P00092500	90	1.9404		(17,492.02)	MARGIN
10/21/13	10/18/13	SELL	PUT JUN 10/19/13 00087.500 A/C EXPIRED LONG PUT	JUN 131019P00087500	(40)	0.00		0.00	MARGIN
10/21/13	10/18/13	SELL	PUT JUN 10/19/13 00090.000 A/C EXPIRED LONG PUT	JUN 131019P00090000	(20)	0.00		0.00	MARGIN
10/21/13	10/18/13	SELL	PUT JUN 10/19/13 00092.500 CLOSE PUT	JUN 131019P00092500	(130)	0.7397		9,574.89	MARGIN
10/21/13	10/18/13	BUY	PUT JUN 11/16/13 00092.500 OPEN PUT	JUN 131116P00092500	130	1.6851		(21,947.34)	MARGIN
Total Purchases:								(140,425.24)	
Total Sales:								65,688.46	
Net:								(74,736.78)	

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	10/31/13	INTE			(2,713.48)
Net Income and Expense Detail:					(2,713.48)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	09/30/13	P/L		MARGIN		(7,057.91)
P/L BOOKING	09/30/13	P/L		MARGIN		7,057.91
P/L BOOKING	09/30/13	P/L	JNJ	MARGIN		(14,855.83)
P/L BOOK JNJ	09/30/13	P/L	JNJ	MARGIN		14,855.83
P/L BOOK JNJ 130921P00090000	09/30/13	P/L	JNJ 130921P00090000	MARGIN		(150,103.97)
P/L BOOKING	09/30/13	P/L	JNJ 130921P00090000	MARGIN		150,103.97
P/L BOOK JNJ 130921P00092500	09/30/13	P/L	JNJ 130921P00092500	MARGIN		(59,106.63)
P/L BOOKING	09/30/13	P/L	JNJ 130921P00092500	MARGIN		59,106.63
P/L BOOKING	09/30/13	P/L	JNJ 131019P00087500	MARGIN		(4,807.37)
P/L BOOK JNJ 131019P00087500	09/30/13	P/L	JNJ 131019P00087500	MARGIN		4,807.37
P/L BOOKING	09/30/13	P/L	JNJ 131019P00090000	MARGIN		(28,288.47)
P/L BOOK JNJ 131019P00090000	09/30/13	P/L	JNJ 131019P00090000	MARGIN		28,288.47
P/L BOOKING	09/30/13	P/L	JNJ 131019P00092500	MARGIN		(123,025.04)
P/L BOOK JNJ 131019P00092500	09/30/13	P/L	JNJ 131019P00092500	MARGIN		123,025.04
TRF TO 83473821D9	10/09/13	JE		MARGIN		(4,807.83)
TRF TO 83473821D9	10/09/13	JE		MARGIN		(1,036.00)

Net Bookkeeping Activity:

(5,843.83)

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://fir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

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CLIENT STATEMENT

Certain Transaction Types

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 11/01/2013 through 11/30/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Account Summary

Monthly Account Change

Account Value, 10/31/2013	519,746.30
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,526.35)
PURCHASES AND SALES	(64,897.07)
CHANGE IN PORTFOLIO HOLDINGS	104,072.40
BOOKKEEPING CHANGES	(7,414.91)
Account Value, 11/30/2013	548,980.37

Account Composition

Cash Balances	10/31/2013	Monthly Changes	11/30/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,355,625.78)	(74,838.33)	(5,430,464.11)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	5,875,372.08	104,072.40	5,979,444.48
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	519,746.30	29,234.07	548,980.37

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,355,625.78)	0.00	0.00
PURCHASES	0.00	(66,193.36)	0.00	0.00
SALES	0.00	1,296.29	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,526.35)	0.00	0.00
BOOKKEEPING	0.00	(7,414.91)	0.00	0.00
Closing Balance	0.00	(5,430,464.11)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	113,962.02
SUBSTITUTE PAYMENT	0.00	7,489.02
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,526.35)	(28,479.58)
Total	(2,526.35)	92,971.46

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	94.66	5,956,764.48
Total Equities Long:					
					5,956,764.48
Options Long					
PUT JNJ 12/21/13 00092.500	JNJ 131221P00092500	MARGIN	630	0.36	22,680.00
Total Options Long:					
					22,680.00
Total Positions Long:					
					5,979,444.48
Total Portfolio Positions:					
					5,979,444.48

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
11/13/13	11/12/13	SELL	PUT JNJ 11/16/13 00092.500 CLOSE PUT	JNJ 131116P00092500	(50)	0.1628		798.20	MARGIN
11/13/13	11/12/13	BUY	PUT JNJ 12/21/13 00092.500 OPEN PUT	JNJ 131221P00092500	50	1.3088		(6,559.78)	MARGIN
11/14/13	11/13/13	SELL	PUT JNJ 11/16/13 00092.500 CLOSE PUT	JNJ 131116P00092500	(13)	0.1608		204.92	MARGIN

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
11/14/13	11/13/13	BUY	PUT JUN 12/21/13 00092.500 OPEN PUT	JUN 131221P000092500	13	1.3562		(1,767.17)	MARGIN
11/15/13	11/14/13	SELL	PUT JUN 11/16/13 00092.500 CLOSE PUT	JUN 131116P000092500	(80)	0.0398		293.17	MARGIN
11/15/13	11/14/13	BUY	PUT JUN 12/21/13 00092.500 OPEN PUT	JUN 131221P000092500	280	1.0446		(29,337.08)	MARGIN
11/18/13	11/15/13	SELL	PUT JUN 11/16/13 00090.000 A/C EXPIRED LONG PUT	JUN 131116P000090000	(50)	0.00		0.00	MARGIN
11/18/13	11/15/13	SELL	PUT JUN 11/16/13 00092.500 A/C EXPIRED LONG PUT	JUN 131116P000092500	(447)	0.00		0.00	MARGIN
11/18/13	11/15/13	BUY	PUT JUN 12/21/13 00092.500 OPEN PUT	JUN 131221P000092500	287	0.9909		(28,529.33)	MARGIN

Total Purchases: (66,193.36)
 Total Sales: 1,296.29
 Net: (64,897.07)

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	11/30/13	INTE		(2,526.35)

Net Income and Expense Detail:

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit

There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	10/31/13	P/L		MARGIN		(5,589.39)
P/L BOOKING	10/31/13	P/L		MARGIN		5,589.39
P/L BOOKING	10/31/13	P/L	JNJ	MARGIN		(369,745.54)
P/L BOOK JNJ	10/31/13	P/L	JNJ	MARGIN		369,745.54
P/L BOOK JNJ 131019P00087500	10/31/13	P/L	JNJ 131019P00087500	MARGIN		(6,943.54)
P/L BOOKING	10/31/13	P/L	JNJ 131019P00087500	MARGIN		6,943.54
P/L BOOK JNJ 131019P000900000	10/31/13	P/L	JNJ 131019P000900000	MARGIN		(61,217.12)
P/L BOOKING	10/31/13	P/L	JNJ 131019P000900000	MARGIN		61,217.12
P/L BOOK JNJ 131019P00092500	10/31/13	P/L	JNJ 131019P00092500	MARGIN		(185,388.68)
P/L BOOKING	10/31/13	P/L	JNJ 131019P00092500	MARGIN		185,388.68
P/L BOOK JNJ 131116P000900000	10/31/13	P/L	JNJ 131116P000900000	MARGIN		(3,906.82)
P/L BOOKING	10/31/13	P/L	JNJ 131116P000900000	MARGIN		3,906.82
P/L BOOK JNJ 131116P00092500	10/31/13	P/L	JNJ 131116P00092500	MARGIN		(88,940.32)
P/L BOOKING	10/31/13	P/L	JNJ 131116P00092500	MARGIN		88,940.32
TRF TO 83473821D9	11/12/13	JE		MARGIN		(4,974.91)
TRF TO 83473821D9	11/12/13	JE		MARGIN		(2,440.00)

Net Bookkeeping Activity:

(7,414.91)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
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CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 12/01/2013 through 12/31/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 11/30/2013	548,980.37
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	38,734.23
PURCHASES AND SALES	(38,117.99)
CHANGE IN PORTFOLIO HOLDINGS	(149,703.96)
BOOKKEEPING CHANGES	(6,492.67)
Account Value, 12/31/2013	393,399.98

Account Composition

Cash Balances	11/30/2013	Monthly Changes	12/31/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,430,464.11)	(5,876.43)	(5,436,340.54)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	5,979,444.48	(149,703.96)	5,829,740.52
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	548,980.37	(155,580.39)	393,399.98

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,430,464.11)	0.00	0.00
PURCHASES	0.00	(105,027.43)	0.00	0.00
SALES	0.00	66,909.44	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	41,532.48	0.00	0.00
INTEREST	0.00	(2,798.25)	0.00	0.00
BOOKKEEPING	0.00	(6,492.67)	0.00	0.00
Closing Balance	0.00	(5,436,340.54)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	41,532.48	155,494.50
SUBSTITUTE PAYMENT	0.00	7,489.02
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,798.25)	(31,277.83)
Total	38,734.23	131,705.69

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	91.59	5,763,575.52
Total Equities Long:					5,763,575.52
Options Long					
PUT JNJ 01/18/14 00090.000	JNJ 140118P00090000	MARGIN	240	0.425	10,200.00
PUT JNJ 01/18/14 00092.500	JNJ 140118P00092500	MARGIN	390	1.435	55,965.00
Total Options Long:					66,165.00
Total Positions Long:					5,829,740.52
Total Portfolio Positions:					5,829,740.52

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
There is no Unsettled Trade activity to report for this statement period.									

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
12/17/13	12/16/13	SELL	PUT JNJ 12/21/13 00092.500 CLOSE PUT	JNJ 131221P00092500	(90)	1.2282		11,025.21	MARGIN
12/17/13	12/16/13	BUY	PUT JNJ 01/18/14 00092.500 OPEN PUT	JNJ 140118P00092500	90	2.0071		(18,092.29)	MARGIN

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
12/18/13	12/17/13	SELL	PUT JNJ 12/21/13 00092.500 CLOSE PUT	JNJ 131221P000092500	(160)	1.8184		29,043.45	MARGIN
12/18/13	12/17/13	SELL	PUT JNJ 12/21/13 00092.500 CLOSE PUT	JNJ 131221P000092500	(9)	2.016666		1,812.10	MARGIN
12/18/13	12/17/13	BUY	PUT JNJ 01/18/14 00092.500 OPEN PUT	JNJ 140118P000092500	160	2.5019		(40,080.84)	MARGIN
12/18/13	12/17/13	BUY	PUT JNJ 01/18/14 00092.500 OPEN PUT	JNJ 140118P000092500	9	2.626666		(2,366.86)	MARGIN
12/19/13	12/18/13	SELL	PUT JNJ 12/21/13 00092.500 CLOSE PUT	JNJ 131221P000092500	(110)	2.0345		22,344.42	MARGIN
12/19/13	12/18/13	BUY	PUT JNJ 01/18/14 00092.500 OPEN PUT	JNJ 140118P000092500	110	2.6439		(29,117.59)	MARGIN
12/23/13	12/20/13	SELL	PUT JNJ 12/21/13 00092.500 CLOSE PUT	JNJ 131221P000092500	(261)	0.106		2,684.26	MARGIN
12/23/13	12/20/13	BUY	PUT JNJ 01/18/14 00090.000 OPEN PUT	JNJ 140118P000090000	240	0.5224		(12,613.28)	MARGIN
12/23/13	12/20/13	BUY	PUT JNJ 01/18/14 00092.500 OPEN PUT	JNJ 140118P000092500	21	1.3095		(2,756.57)	MARGIN

Total Purchases: (105,027.43)
 Total Sales: 66,909.44
 Net: (38,117.99)

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
DIV ON 62,928 SHARES JOHNSON AND JOHNSON COM	12/10/13	DIV JNJ	MARGIN	41,532.48
CONSOLIDATED INTEREST ENTRIES	12/31/13	INTE		(2,798.25)
Net Income and Expense Detail:				38,734.23

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	11/29/13	P/L		MARGIN		(7,173.26)
P/L BOOKING	11/29/13	P/L		MARGIN		7,173.26
P/L BOOK JNJ	11/29/13	P/L	JNJ	MARGIN		167,802.31
P/L BOOKING	11/29/13	P/L	JNJ	MARGIN		(167,802.31)
P/L BOOK JNJ	11/29/13	P/L	JNJ	MARGIN		(1,000.00)
P/L BOOKING	11/29/13	P/L	JNJ	MARGIN		(45,335.25)
P/L BOOKING	11/29/13	P/L	JNJ	MARGIN		1,000.00
P/L BOOKING	11/29/13	P/L	JNJ	MARGIN		45,335.25
P/L BOOK JNJ	11/29/13	P/L	JNJ	MARGIN		(43,527.25)
P/L BOOKING	11/29/13	P/L	JNJ	MARGIN		43,527.25
TRF TO 83473821D9	12/06/13	JE		MARGIN		(4,946.67)
TRF TO 83473821D9	12/06/13	JE		MARGIN		(1,546.00)
P/L BOOK	12/31/13	P/L		MARGIN		(6,190.53)
P/L BOOKING	12/31/13	P/L		MARGIN		6,190.53
P/L BOOK JNJ	12/31/13	P/L	JNJ	MARGIN		(196,270.67)
P/L BOOKING	12/31/13	P/L	JNJ	MARGIN		196,270.67
P/L BOOK JNJ	12/31/13	P/L	JNJ	MARGIN		44,231.40
P/L BOOKING	12/31/13	P/L	JNJ	MARGIN		(44,231.40)
P/L BOOK JNJ	12/31/13	P/L	JNJ	MARGIN		(2,414.91)
P/L BOOKING	12/31/13	P/L	JNJ	MARGIN		(36,468.16)
P/L BOOKING	12/31/13	P/L	JNJ	MARGIN		2,414.91
P/L BOOKING	12/31/13	P/L	JNJ	MARGIN		36,468.16

Net Bookkeeping Activity:

(6,492.67)

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://fir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.



Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY 10036
 New York, NY 10036
 646-743-1295

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

**Certain
Transaction
Types**

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 01/01/2014 through 01/31/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 12/31/2013	393,399.98
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,703.75)
PURCHASES AND SALES	(49,961.05)
CHANGE IN PORTFOLIO HOLDINGS	41,474.64
BOOKKEEPING CHANGES	(7,466.67)
Account Value, 01/31/2014	374,743.15

Account Composition

Cash Balances	12/31/2013	Monthly Changes	01/31/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,436,340.54)	(60,131.47)	(5,496,472.01)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	5,829,740.52	41,474.64	5,871,215.16
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	393,399.98	(18,656.83)	374,743.15

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,436,340.54)	0.00	0.00
PURCHASES	0.00	(49,961.05)	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,703.75)	0.00	0.00
BOOKKEEPING	0.00	(7,466.67)	0.00	0.00
Closing Balance	0.00	(5,496,472.01)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,703.75)	(2,703.75)
Total	(2,703.75)	(2,703.75)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	88.47	5,567,240.16
Total Equities Long:					5,567,240.16

Options Long

PUT JNJ 02/22/14 00092.500	JNJ 1402222P00092500	MARGIN	630	4.825	303,975.00
Total Options Long:					303,975.00
Total Positions Long:					5,871,215.16
Total Portfolio Positions:					5,871,215.16

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
01/16/14	01/15/14	BUY	PUT JNJ 02/22/14 00092.500 OPEN PUT	JNJ 1402222P00092500	30	0.79		(2,379.59)	MARGIN
01/17/14	01/16/14	BUY	PUT JNJ 02/22/14 00092.500 OPEN PUT	JNJ 1402222P00092500	100	0.831		(8,341.91)	MARGIN
01/21/14	01/17/14	SELL	PUT JNJ 01/18/14 00090.000 A/C EXPIRED LONG PUT	JNJ 140118P000900000	(240)	0.00		0.00	MARGIN

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
01/21/14	01/17/14	SELL	PUT JNJ 01/18/14 00092.500 A/C EXPIRED LONG PUT	JNJ 140118P00092500	(390)	0.00	0.00	0.00	MARGIN
01/21/14	01/17/14	BUY	PUT JNJ 02/22/14 00092.500 OPEN PUT	JNJ 140222P00092500	500	0.7816	(39,239.55)	(39,239.55)	MARGIN

Total Purchases: (49,961.05)
 Total Sales: 0.00
 Net: (49,961.05)

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	01/31/14	INTE			(2,703.75)

Net Income and Expense Detail: (2,703.75)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
There is no Deposit and Withdrawal activity to report for this statement period.				

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
TRF TO 83473821D9 KDIV	01/09/14	JE		MARGIN		(2,520.00)
TRF TO 83473821D9 KDIV	01/09/14	JE		MARGIN		(4,946.67)

Net Bookkeeping Activity: (7,466.67)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
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CLIENT STATEMENT

Certain Transaction Types

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 02/01/2014 through 02/28/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 01/31/2014	374,743.15
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,413.32)
PURCHASES AND SALES	(64,300.59)
CHANGE IN PORTFOLIO HOLDINGS	11,272.20
BOOKKEEPING CHANGES	(6,169.90)
Account Value, 02/28/2014	313,131.54

Account Composition

Cash Balances	01/31/2014	Monthly Changes	02/28/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,496,472.01)	(72,883.81)	(5,569,355.82)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	5,871,215.16	11,272.20	5,882,487.36
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	374,743.15	(61,611.61)	313,131.54

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,496,472.01)	0.00	0.00
PURCHASES	0.00	(111,153.98)	0.00	0.00
SALES	0.00	46,853.39	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,413.32)	0.00	0.00
BOOKKEEPING	0.00	(6,169.90)	0.00	0.00
Closing Balance	0.00	(5,569,355.82)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,413.32)	(5,117.07)
Total	(2,413.32)	(5,117.07)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	92.12	5,796,927.36
Total Equities Long:					5,796,927.36

Options Long

PUT JNJ 03/22/14 00090.000	JNJ 140322P00090000	MARGIN	260	0.415	10,790.00
PUT JNJ 03/22/14 00092.500	JNJ 140322P00092500	MARGIN	220	1.285	28,270.00
PUT JNJ 03/22/14 00095.000	JNJ 140322P00095000	MARGIN	150	3.10	46,500.00
Total Options Long:					85,560.00

Total Positions Long:

5,882,487.36

Total Portfolio Positions:

5,882,487.36

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

02/19/14	02/18/14	SELL	PUT JNJ 02/22/14 00092.500 CLOSE PUT	JNJ 140222P00092500	(40)	1.095		4,367.13	MARGIN
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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
02/19/14	02/18/14	BUY	PUT JNJ 03/22/14 00092.500 OPEN PUT	JNJ 140322P000092500	40	1.965		(7,872.79)	MARGIN
02/20/14	02/19/14	SELL	PUT JNJ 02/22/14 00092.500 CLOSE PUT	JNJ 140222P000092500	(80)	1.3479		10,757.44	MARGIN
02/20/14	02/19/14	BUY	PUT JNJ 03/22/14 00092.500 OPEN PUT	JNJ 140322P000092500	80	2.1641		(17,338.37)	MARGIN
02/21/14	02/20/14	SELL	PUT JNJ 02/22/14 00092.500 CLOSE PUT	JNJ 140222P000092500	(100)	0.8957		8,924.88	MARGIN
02/21/14	02/20/14	BUY	PUT JNJ 03/22/14 00090.000 OPEN PUT	JNJ 140322P000090000	60	0.715		(4,309.17)	MARGIN
02/21/14	02/20/14	BUY	PUT JNJ 03/22/14 00092.500 OPEN PUT	JNJ 140322P000092500	20	2.3385		(4,683.39)	MARGIN
02/21/14	02/20/14	BUY	PUT JNJ 03/22/14 00095.000 OPEN PUT	JNJ 140322P000095000	50	3.3768		(16,900.01)	MARGIN
02/24/14	02/21/14	SELL	PUT JNJ 02/22/14 00092.500 CLOSE PUT	JNJ 140222P000092500	(410)	0.5594		22,803.94	MARGIN
02/24/14	02/21/14	BUY	PUT JNJ 03/22/14 00090.000 OPEN PUT	JNJ 140322P000090000	200	0.6129		(12,321.92)	MARGIN
02/24/14	02/21/14	BUY	PUT JNJ 03/22/14 00092.500 OPEN PUT	JNJ 140322P000092500	80	1.7486		(14,014.37)	MARGIN
02/24/14	02/21/14	BUY	PUT JNJ 03/22/14 00095.000 OPEN PUT	JNJ 140322P000095000	100	3.3682		(33,713.96)	MARGIN
Total Purchases:								(111,153.98)	
Total Sales:								46,853.39	
Net:								(64,300.59)	

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	02/28/14		INTE		(2,413.32)
Net Income and Expense Detail:					(2,413.32)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	01/31/14	P/L		MARGIN		(7,274.44)
P/L BOOKING	01/31/14	P/L		MARGIN		7,274.44
P/L BOOK JNJ	01/31/14	P/L	JNJ	MARGIN		(199,189.94)
P/L BOOKING	01/31/14	P/L	JNJ	MARGIN		199,189.94
P/L BOOK JNJ 140118P00090000	01/31/14	P/L	JNJ 140118P00090000	MARGIN		(10,205.05)
P/L BOOKING	01/31/14	P/L	JNJ 140118P00090000	MARGIN		10,205.05
P/L BOOK JNJ 140118P00092500	01/31/14	P/L	JNJ 140118P00092500	MARGIN		(55,992.72)
P/L BOOKING	01/31/14	P/L	JNJ 140118P00092500	MARGIN		55,992.72
P/L BOOKING	01/31/14	P/L	JNJ 140222P00092500	MARGIN		(254,005.32)
P/L BOOK JNJ 140222P00092500	01/31/14	P/L	JNJ 140222P00092500	MARGIN		254,005.32
TRF TO 83473821D9	02/12/14	JE		MARGIN		(4,909.90)
TRF TO 83473821D9	02/12/14	JE		MARGIN		(1,260.00)

Net Bookkeeping Activity:

(6,169.90)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
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CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 03/01/2014 through 03/31/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
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Account Summary

Monthly Account Change

Account Value, 02/28/2014	313,131.54
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	38,781.57
PURCHASES AND SALES	(46,650.07)
CHANGE IN PORTFOLIO HOLDINGS	309,780.08
BOOKKEEPING CHANGES	(7,452.00)
Account Value, 03/31/2014	607,591.12

Account Composition

Cash Balances	02/28/2014	Monthly Changes	03/31/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,569,355.82)	(15,320.50)	(5,584,676.32)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	5,882,487.36	309,780.08	6,192,267.44
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	313,131.54	294,459.58	607,591.12

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,569,355.82)	0.00	0.00
PURCHASES	0.00	(63,925.09)	0.00	0.00
SALES	0.00	17,275.02	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	41,532.48	0.00	0.00
INTEREST	0.00	(2,750.91)	0.00	0.00
BOOKKEEPING	0.00	(7,452.00)	0.00	0.00
Closing Balance	0.00	(5,584,676.32)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	10,408.20	10,408.20
SUBSTITUTE PAYMENT	31,124.28	31,124.28
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,750.91)	(7,867.98)
Total	38,781.57	33,664.50

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	98.23	6,181,417.44
Total Equities Long:					6,181,417.44

Options Long

PUT JNJ 04/19/14 00092.500	JNJ 140419P00092500	MARGIN	490	0.125	6,125.00
PUT JNJ 04/19/14 00095.000	JNJ 140419P00095000	MARGIN	150	0.315	4,725.00
Total Options Long:					10,850.00
Total Positions Long:					6,192,267.44
Total Portfolio Positions:					6,192,267.44

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

03/18/14	03/17/14	SELL	PUT JNJ 03/22/14 00092.500 CLOSE PUT	JNJ 140322P00092500	(40)	0.151		591.19	MARGIN
03/18/14	03/17/14	BUY	PUT JNJ 04/19/14 00092.500 OPEN PUT	JNJ 140419P00092500	40	0.936		(3,756.79)	MARGIN

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
03/19/14	03/18/14	SELL	PUT JNJ 03/22/14 00090.000 CLOSE PUT	JNJ 140322P00090000	(30)	0.011		23.37	MARGIN
03/19/14	03/18/14	SELL	PUT JNJ 03/22/14 00092.500 CLOSE PUT	JNJ 140322P00092500	(37)	0.08		284.17	MARGIN
03/19/14	03/18/14	BUY	PUT JNJ 04/19/14 00092.500 OPEN PUT	JNJ 140419P00092500	67	0.8309		(5,588.45)	MARGIN
03/20/14	03/19/14	SELL	PUT JNJ 03/22/14 00090.000 CLOSE PUT	JNJ 140322P00090000	(40)	0.01		27.20	MARGIN
03/20/14	03/19/14	SELL	PUT JNJ 03/22/14 00092.500 CLOSE PUT	JNJ 140322P00092500	(143)	0.0832		1,144.03	MARGIN
03/20/14	03/19/14	BUY	PUT JNJ 04/19/14 00092.500 OPEN PUT	JNJ 140419P00092500	183	0.8345		(15,329.83)	MARGIN
03/21/14	03/20/14	SELL	PUT JNJ 03/22/14 00090.000 CLOSE PUT	JNJ 140322P00090000	(3)	0.01		2.01	MARGIN
03/21/14	03/20/14	SELL	PUT JNJ 03/22/14 00095.000 CLOSE PUT	JNJ 140322P00095000	(89)	1.5562		13,821.41	MARGIN
03/21/14	03/20/14	BUY	PUT JNJ 04/19/14 00092.500 OPEN PUT	JNJ 140419P00092500	60	0.75		(4,519.17)	MARGIN
03/21/14	03/20/14	BUY	PUT JNJ 04/19/14 00095.000 OPEN PUT	JNJ 140419P00095000	89	2.2243		(19,824.73)	MARGIN
03/24/14	03/21/14	SELL	PUT JNJ 03/22/14 00090.000 A/C EXPIRED LONG PUT	JNJ 140322P00090000	(187)	0.00		0.00	MARGIN
03/24/14	03/21/14	SELL	PUT JNJ 03/22/14 00095.000 CLOSE PUT	JNJ 140322P00095000	(61)	0.2297		1,381.64	MARGIN
03/24/14	03/21/14	BUY	PUT JNJ 04/19/14 00092.500 OPEN PUT	JNJ 140419P00092500	140	0.4829		(6,805.35)	MARGIN
03/24/14	03/21/14	BUY	PUT JNJ 04/19/14 00095.000 OPEN PUT	JNJ 140419P00095000	61	1.3248		(8,100.77)	MARGIN
								Total Purchases:	(63,925.09)
								Total Sales:	17,275.02
								Net:	(46,650.07)

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
DIV ON 15,770 SHARES JOHNSON AND JOHNSON COM	03/11/14	DIV	JNJ	MARGIN	10,408.20
SUB DIV 47,158 SHARES JOHNSON AND JOHNSON COM	03/11/14	MDIV	JNJ	MARGIN	31,124.28
CONSOLIDATED INTEREST ENTRIES	03/31/14	INTE			(2,750.91)
Net Income and Expense Detail:					38,781.57

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	02/28/14	P/L		MARGIN		(6,007.38)
P/L BOOKING	02/28/14	P/L		MARGIN		6,007.38
P/L BOOKING	02/28/14	P/L	JNJ	MARGIN		(268,782.46)
P/L BOOK JNJ	02/28/14	P/L	JNJ	MARGIN		268,782.46
P/L BOOK JNJ 140222P00092500	02/28/14	P/L	JNJ 140222P00092500	MARGIN		(257,250.25)
P/L BOOKING	02/28/14	P/L	JNJ 140222P00092500	MARGIN		257,250.25
P/L BOOK JNJ 140322P000900000	02/28/14	P/L	JNJ 140322P000900000	MARGIN		(5,842.34)
P/L BOOKING	02/28/14	P/L	JNJ 140322P000900000	MARGIN		5,842.34
P/L BOOK JNJ 140322P00092500	02/28/14	P/L	JNJ 140322P00092500	MARGIN		(15,643.64)
P/L BOOKING	02/28/14	P/L	JNJ 140322P00092500	MARGIN		15,643.64
P/L BOOK JNJ 140322P00095000	02/28/14	P/L	JNJ 140322P00095000	MARGIN		(4,117.98)
P/L BOOKING	02/28/14	P/L	JNJ 140322P00095000	MARGIN		4,117.98
TRF TO 83473821D9	03/05/14	JE		MARGIN		(4,932.00)

Bookkeeping Activity (cont'd)

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
TRF TO 83473821D9	03/05/14	JE		MARGIN		(2,520.00)

Net Bookkeeping Activity:

(7,452.00)



Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.



Merrill Lynch Professional Clearing Corp.

Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY1-100-06-01
 New York, NY 10036
 646-743-1295

CLIENT STATEMENT

**Certain
Transaction
Types**

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 04/01/2014 through 04/30/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Account Summary

Monthly Account Change

Account Value, 03/31/2014	607,591.12
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,703.94)
PURCHASES AND SALES	(53,635.54)
CHANGE IN PORTFOLIO HOLDINGS	194,354.68
BOOKKEEPING CHANGES	(7,337.67)
Account Value, 04/30/2014	738,268.65

Account Composition

Cash Balances	03/31/2014	Monthly Changes	04/30/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,584,676.32)	(63,677.15)	(5,648,353.47)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	6,192,267.44	194,354.68	6,386,622.12
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	607,591.12	130,677.53	738,268.65

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,584,676.32)	0.00	0.00
PURCHASES	0.00	(57,298.49)	0.00	0.00
SALES	0.00	3,662.95	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,703.94)	0.00	0.00
BOOKKEEPING	0.00	(7,337.67)	0.00	0.00
Closing Balance	0.00	(5,648,353.47)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	10,408.20
SUBSTITUTE PAYMENT	0.00	31,124.28
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,703.94)	(10,571.92)
Total	(2,703.94)	30,960.56

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	101.29	6,373,977.12
Total Equities Long:					6,373,977.12
Options Long					
PUT JNJ 05/17/14 00095.000	JNJ 140517P00095000	MARGIN	270	0.115	3,105.00
PUT JNJ 05/17/14 00097.500	JNJ 140517P00097500	MARGIN	360	0.265	9,540.00
Total Options Long:					12,645.00
Total Positions Long:					6,386,622.12
Total Portfolio Positions:					6,386,622.12

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
04/15/14	04/14/14	SELL	PUT JNJ 04/19/14 00092.500 CLOSE PUT	JNJ 140419P00092500	(80)	0.0531		397.58	MARGIN
04/15/14	04/14/14	SELL	PUT JNJ 04/19/14 00095.000 CLOSE PUT	JNJ 140419P00095000	(117)	0.2825		3,265.37	MARGIN

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
04/15/14	04/14/14	BUY	PUT JNJ 05/17/14 00095.000 OPEN PUT	JNJ 140517P00095000	197	1.0072		(19,908.85)	MARGIN
04/16/14	04/15/14	BUY	PUT JNJ 05/17/14 00095.000 OPEN PUT	JNJ 140517P00095000	73	0.5386		(3,956.60)	MARGIN
04/16/14	04/15/14	BUY	PUT JNJ 05/17/14 00097.500 OPEN PUT	JNJ 140517P00097500	120	1.1325		(13,630.81)	MARGIN
04/17/14	04/16/14	BUY	PUT JNJ 05/17/14 00097.500 OPEN PUT	JNJ 140517P00097500	100	0.82		(8,234.01)	MARGIN
04/21/14	04/17/14	SELL	PUT JNJ 04/19/14 00092.500 A/C EXPIRED LONG PUT	JNJ 140419P00092500	(410)	0.00		0.00	MARGIN
04/21/14	04/17/14	SELL	PUT JNJ 04/19/14 00095.000 A/C EXPIRED LONG PUT	JNJ 140419P00095000	(33)	0.00		0.00	MARGIN
04/21/14	04/17/14	BUY	PUT JNJ 05/17/14 00097.500 OPEN PUT	JNJ 140517P00097500	140	0.8229		(11,568.22)	MARGIN

Total Purchases: (57,298.49)
 Total Sales: 3,662.95
 Net: (53,635.54)

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	04/30/14	INTE		(2,703.94)
Net Income and Expense Detail:				(2,703.94)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit

There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	03/31/14	P/L		MARGIN		(7,279.74)
P/L BOOKING	03/31/14	P/L		MARGIN		7,279.74
P/L BOOKING	03/31/14	P/L	JNJ	MARGIN		(381,616.62)
P/L BOOK JNJ	03/31/14	P/L	JNJ	MARGIN		381,616.62
P/L BOOK JNJ 140322P00090000	03/31/14	P/L	JNJ 140322P00090000	MARGIN		(10,742.73)
P/L BOOKING	03/31/14	P/L	JNJ	MARGIN		10,742.73
P/L BOOK JNJ 140322P00092500	03/31/14	P/L	JNJ 140322P00092500	MARGIN		(26,264.23)
P/L BOOKING	03/31/14	P/L	JNJ	MARGIN		26,264.23
P/L BOOK JNJ 140322P00095000	03/31/14	P/L	JNJ 140322P00095000	MARGIN		(31,317.60)
P/L BOOKING	03/31/14	P/L	JNJ	MARGIN		31,317.60
P/L BOOK JNJ 140419P00092500	03/31/14	P/L	JNJ 140419P00092500	MARGIN		(29,880.63)
P/L BOOKING	03/31/14	P/L	JNJ	MARGIN		29,880.63
P/L BOOK JNJ 140419P00095000	03/31/14	P/L	JNJ 140419P00095000	MARGIN		(23,204.59)
P/L BOOKING	03/31/14	P/L	JNJ	MARGIN		23,204.59
TRF TO 83473821D9	04/07/14	JE		MARGIN		(5,171.67)
TRF TO 83473821D9	04/07/14	JE		MARGIN		(2,166.00)

Net Bookkeeping Activity:

(7,337.67)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

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2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://fir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

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Certain Transaction Types

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 05/01/2014 through 05/31/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 04/30/2014	738,268.65
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,712.20)
PURCHASES AND SALES	(46,322.95)
CHANGE IN PORTFOLIO HOLDINGS	11,077.76
BOOKKEEPING CHANGES	(6,891.00)
Account Value, 05/31/2014	693,420.26

Account Composition

Cash Balances	04/30/2014	Monthly Changes	05/31/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,648,353.47)	(55,926.15)	(5,704,279.62)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	6,386,622.12	11,077.76	6,397,699.88
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	738,268.65	(44,848.39)	693,420.26

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,648,353.47)	0.00	0.00
PURCHASES	0.00	(46,322.95)	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,712.20)	0.00	0.00
BOOKKEEPING	0.00	(6,891.00)	0.00	0.00
Closing Balance	0.00	(5,704,279.62)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	10,408.20
SUBSTITUTE PAYMENT	0.00	31,124.28
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,712.20)	(13,284.12)
Total	(2,712.20)	28,248.36

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
JOHNSON AND JOHNSON COM	JNJ	MARGIN	62,928	101.46	6,384,674.88
Total Equities Long:					6,384,674.88

Options Long

PUT JNJ 06/21/14 00097.500	JNJ 140621P00097500	MARGIN	580	0.175	10,150.00
PUT JNJ 06/21/14 00100.000	JNJ 140621P00100000	MARGIN	50	0.575	2,875.00
Total Options Long:					13,025.00
Total Positions Long:					6,397,699.88
Total Portfolio Positions:					6,397,699.88

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
05/15/14	05/14/14	BUY	PUT JNJ 06/21/14 00097.500 OPEN PUT	JNJ 140621P00097500	110	0.6027		(6,667.14)	MARGIN
05/15/14	05/14/14	BUY	PUT JNJ 06/21/14 00100.000 OPEN PUT	JNJ 140621P00100000	30	1.4033		(4,220.14)	MARGIN

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
05/16/14	05/15/14	BUY	PUT JNJ 06/21/14 00097.500 OPEN PUT	JNJ 140621P000097500	100	0.65		(6,534.01)	MARGIN
05/16/14	05/15/14	BUY	PUT JNJ 06/21/14 00100.000 OPEN PUT	JNJ 140621P001000000	20	1.49		(2,986.80)	MARGIN
05/19/14	05/16/14	SELL	PUT JNJ 05/17/14 00095.000 A/C EXPIRED LONG PUT	JNJ 140517P000095000	(270)	0.00		0.00	MARGIN
05/19/14	05/16/14	SELL	PUT JNJ 05/17/14 00097.500 A/C EXPIRED LONG PUT	JNJ 140517P000097500	(360)	0.00		0.00	MARGIN
05/19/14	05/16/14	BUY	PUT JNJ 06/21/14 00097.500 OPEN PUT	JNJ 140621P000097500	370	0.697		(25,914.86)	MARGIN

Total Purchases: (46,322.95)
 Total Sales: 0.00
 Net: (46,322.95)

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	05/31/14	INTE		(2,712.20)

Net Income and Expense Detail: (2,712.20)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit

There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	04/30/14	P/L		MARGIN		(7,047.73)
P/L BOOKING	04/30/14	P/L		MARGIN		7,047.73
P/L BOOKING	04/30/14	P/L	JNJ	MARGIN		(189,582.30)
P/L BOOK JNJ	04/30/14	P/L	JNJ	MARGIN		189,582.30
P/L BOOK JNJ 140419P00092500	04/30/14	P/L	JNJ 140419P00092500	MARGIN		(5,730.26)
P/L BOOKING	04/30/14	P/L	JNJ 140419P00092500	MARGIN		5,730.26
P/L BOOK JNJ 140419P00095000	04/30/14	P/L	JNJ 140419P00095000	MARGIN		(1,461.11)
P/L BOOKING	04/30/14	P/L	JNJ 140419P00095000	MARGIN		1,461.11
P/L BOOK JNJ 140517P00095000	04/30/14	P/L	JNJ 140517P00095000	MARGIN		(20,766.15)
P/L BOOKING	04/30/14	P/L	JNJ 140517P00095000	MARGIN		20,766.15
P/L BOOK JNJ 140517P00097500	04/30/14	P/L	JNJ 140517P00097500	MARGIN		(23,899.52)
P/L BOOKING	04/30/14	P/L	JNJ 140517P00097500	MARGIN		23,899.52
TRF TO 83473821D9	05/06/14	JE		MARGIN		(5,237.00)
TRF TO 83473821D9	05/06/14	JE		MARGIN		(1,654.00)

Net Bookkeeping Activity:

(6,891.00)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
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CLIENT STATEMENT

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	CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
	DEL	Delivery of Securities	MTM	Mark to Market
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	DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
	DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
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	FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
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	FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
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	INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
	INTE	Interest Charge	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 06/01/2014 through 06/30/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 05/31/2014	693,420.26
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	43,036.47
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	(6,397,699.88)
BOOKKEEPING CHANGES	0.00
Account Value, 06/30/2014	(5,661,243.15)

Account Composition

Cash Balances	05/31/2014	Monthly Changes	06/30/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,704,279.62)	43,036.47	(5,661,243.15)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	6,397,699.88	(6,397,699.88)	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	693,420.26	(6,354,663.41)	(5,661,243.15)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,704,279.62)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	44,049.60	0.00	0.00
INTEREST	0.00	(1,013.13)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(5,661,243.15)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	44,049.60	54,457.80
SUBSTITUTE PAYMENT	0.00	31,124.28
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(1,013.13)	(14,297.25)
Total	43,036.47	71,284.83

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
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There are no Portfolio Holdings to report for this statement period.

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
06/04/14	06/04/14	SELL	PUT JNJ 06/21/14 00097.500 CLOSE PUT	JNJ 140621P00097500	(580)	0.00		0.00	MARGIN
06/04/14	06/04/14	SELL	PUT JNJ 06/21/14 00100.000 CLOSE PUT	JNJ 140621P00100000	(50)	0.00		0.00	MARGIN

Total Purchases: 0.00
 Total Sales: 0.00
 Net: 0.00

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
DIV ON 62,928 SHARES JOHNSON AND JOHNSON COM	06/10/14	DIV	JNJ	MARGIN	44,049.60
CONSOLIDATED INTEREST ENTRIES	06/30/14	INTE			(1,013.13)

Net Income and Expense Detail:

43,036.47

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	05/30/14	P/L		MARGIN		(6,540.71)
P/L BOOKING	05/30/14	P/L		MARGIN		6,540.71
P/L BOOKING	05/30/14	P/L	JNJ	MARGIN		(51,700.25)
P/L BOOK JNJ	05/30/14	P/L	JNJ	MARGIN		51,700.25
P/L BOOK JNJ 140517P00095000	05/30/14	P/L	JNJ 140517P00095000	MARGIN		(3,106.48)
P/L BOOKING	05/30/14	P/L	JNJ 140517P00095000	MARGIN		3,106.48
P/L BOOK JNJ 140517P00097500	05/30/14	P/L	JNJ 140517P00097500	MARGIN		(9,544.55)
P/L BOOKING	05/30/14	P/L	JNJ 140517P00097500	MARGIN		9,544.55
P/L BOOK JNJ 140621P00097500	05/30/14	P/L	JNJ 140621P00097500	MARGIN		(28,973.67)
P/L BOOKING	05/30/14	P/L	JNJ 140621P00097500	MARGIN		28,973.67
P/L BOOK JNJ 140621P00100000	05/30/14	P/L	JNJ 140621P00100000	MARGIN		(4,333.63)
P/L BOOKING	05/30/14	P/L	JNJ 140621P00100000	MARGIN		4,333.63
JOHNSON AND JOHNSON COM ACATS 0534 U1358796 20141530028667-000001	06/05/14	JE	JNJ	MARGIN	(62,928)	0.00

Net Bookkeeping Activity:

0.00

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://fir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.



Merrill Lynch Professional Clearing Corp.

Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY1-100-06-01
 New York, NY 10036
 646-743-1295

CLIENT STATEMENT

**Certain
Transaction
Types**

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 07/01/2014 through 07/31/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 06/30/2014	(5,661,243.15)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,855.79)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 07/31/2014	(5,664,098.94)

Account Composition

Cash Balances	06/30/2014	Monthly Changes	07/31/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,661,243.15)	(2,855.79)	(5,664,098.94)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(5,661,243.15)	(2,855.79)	(5,664,098.94)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,661,243.15)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,855.79)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(5,664,098.94)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	54,457.80
SUBSTITUTE PAYMENT	0.00	31,124.28
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,855.79)	(17,153.04)
Total	(2,855.79)	68,429.04

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
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There are no Portfolio Holdings to report for this statement period.

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
-----------------	------------	------------------	-------------	------------	----------	-------	---------------------------	----------------	--------------

There is no Settled Trade activity to report for this statement period.

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	07/31/14	INTE		(2,855.79)
Net Income and Expense Detail:				(2,855.79)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOKING	06/30/14	P/L		MARGIN		(131.52)
P/L BOOK	06/30/14	P/L		MARGIN		131.52
P/L BOOK JNJ	06/30/14	P/L	JNJ	MARGIN		(6,385,817.73)
P/L BOOKING	06/30/14	P/L	JNJ	MARGIN		6,385,817.73
P/L BOOK JNJ 140621P00097500	06/30/14	P/L	JNJ 140621P00097500	MARGIN		(10,151.80)
P/L BOOKING	06/30/14	P/L	JNJ 140621P00097500	MARGIN		10,151.80
P/L BOOK JNJ 140621P00100000	06/30/14	P/L	JNJ 140621P00100000	MARGIN		(2,875.00)
P/L BOOKING	06/30/14	P/L	JNJ 140621P00100000	MARGIN		2,875.00

Net Bookkeeping Activity:

0.00



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**Certain
Transaction
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CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 08/01/2014 through 08/31/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change	
Account Value, 07/31/2014	(5,664,098.94)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,655.81)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 08/31/2014	(5,666,754.75)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,664,098.94)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,655.81)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(5,666,754.75)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	54,457.80
SUBSTITUTE PAYMENT	0.00	31,124.28
NRA TAX WITHHOLDING	0.00	0.00
FATCA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,655.81)	(19,808.85)
Total	(2,655.81)	65,773.23

Account Composition

	07/31/2014	08/31/2014	Monthly Changes
Cash Balances	0.00	0.00	0.00
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,664,098.94)	(5,666,754.75)	(2,655.81)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(5,664,098.94)	(5,666,754.75)	(2,655.81)

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
-------------	------------	--------------	----------	---------------	--------------

There are no Portfolio Holdings to report for this statement period.

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
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CONSOLIDATED INTEREST ENTRIES

	08/31/14	INTE		(2,655.81)
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Net Income and Expense Detail:

(2,655.81)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	07/31/14	P/L		MARGIN		(2,855.79)
P/L BOOKING	07/31/14	P/L		MARGIN		2,855.79

Net Bookkeeping Activity:

0.00



It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://fir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.

Certain
Transaction
Types

AGN	Assigned Options	INTE	Interest Charge
CFEE	Certificate Fee on ADR's	INTI	Interest Credit
CHK	Check Issued	JE	Journal Entry
CNV	Conversions	JEX	Journal Entry
CPI	Bond Interest	LIEU	Cash-in-lieu of Fractional Shares
CXL	Open Item Cancellation	LTCG	Long-term Capital Gain
DEL	Delivery of Securities	MDIV	Substitute Dividend Payment
DIV	Cash Dividend	MTM	Mark to Market
DST	Stock Dividend Distribution	NRD	NRA Tax on Dividend
DVP	Deliver Versus Payment	NRI	NRA Tax on Interest
EXC	Exchange of Sec. and/or Corp. Name	NRPT	Non-reportable Dividend
EXP	Rights, Warrants or Options Expired	P/L	Profit & Loss
EXR	Rights, Warrants or Options Exercised	RCK	Check Issued
FATD	FATCA Tax on Dividend	RCP	Return of Capital
FATI	FATCA Tax on Interest	RDP	Redemption of Securities
FDV	Dividend - Foreign	REC	Securities Received into Your Account
FIN	Interest - Foreign	RTS	Rights Distribution
FPE	Short Stock Rebate/Charge	RVP	Settlement RVP Receive Versus Payment
FSD	Funds Received	STCG	Short-term Capital Gain
FTD	Tax - Foreign Dividend	SUB	Subscription on Rights or Warrants
FTI	Tax - Foreign Interest	TND	Securities and/or Cash as a Result of a Tender
INTA	Interest Charge or Credit (Manual Entry)	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 08/01/2014 through 08/31/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change	
Account Value, 07/31/2014	(5,664,098.94)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(2,655.81)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 08/31/2014	(5,666,754.75)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,664,098.94)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(2,655.81)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(5,666,754.75)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	54,457.80
SUBSTITUTE PAYMENT	0.00	31,124.28
NRA TAX WITHHOLDING	0.00	0.00
FATCA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(2,655.81)	(19,808.85)
Total	(2,655.81)	65,773.23

Account Composition

	07/31/2014	08/31/2014	Monthly Changes
Cash Balances	0.00	0.00	0.00
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,664,098.94)	(5,666,754.75)	(2,655.81)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(5,664,098.94)	(5,666,754.75)	(2,655.81)

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
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There are no Portfolio Holdings to report for this statement period.

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
-----------------	------------	------------------	-------------	------------	----------	-------	---------------------------	----------------	--------------

There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
-----------------	------------	------------------	-------------	------------	----------	-------	---------------------------	----------------	--------------

There is no Settled Trade activity to report for this statement period.

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	08/31/14	INTE		(2,655.81)
Net Income and Expense Detail:				(2,655.81)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
-------------	------	-------------	--------------	----------------

There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	07/31/14	P/L		MARGIN		(2,855.79)
P/L BOOKING	07/31/14	P/L		MARGIN		2,855.79

Net Bookkeeping Activity:

0.00



Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

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2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
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14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
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CLIENT STATEMENT

Certain Transaction Types	AGN	Assigned Options	INTE	Interest Charge
	CFEE	Certificate Fee on ADR's	INTI	Interest Credit
	CHK	Check Issued	JE	Journal Entry
	CNV	Conversions	JEX	Journal Entry
	CPI	Bond Interest	LIEU	Cash-in-lieu of Fractional Shares
	CXL	Open Item Cancellation	LTCG	Long-term Capital Gain
	DEL	Delivery of Securities	MDIV	Substitute Dividend Payment
	DIV	Cash Dividend	MTM	Mark to Market
	DST	Stock Dividend Distribution	NRD	NRA Tax on Dividend
	DVP	Deliver Versus Payment	NRI	NRA Tax on Interest
	EXC	Exchange of Sec. and/or Corp. Name	NRPT	Non-reportable Dividend
	EXP	Rights, Warrants or Options Expired	P/L	Profit & Loss
	EXR	Rights, Warrants or Options Exercised	RCK	Check Issued
	FATD	FATCA Tax on Dividend	RCP	Return of Capital
	FATI	FATCA Tax on Interest	RDP	Redemption of Securities
	FDV	Dividend - Foreign	REC	Securities Received into Your Account
	FIN	Interest - Foreign	RTS	Rights Distribution
	FPE	Short Stock Rebate/Charge	RVP	Settlement RVP Receive Versus Payment
	FSD	Funds Received	STCG	Short-term Capital Gain
	FTD	Tax - Foreign Dividend	SUB	Subscription on Rights or Warrants
	FTI	Tax - Foreign Interest	TND	Securities and/or Cash as a Result of a Tender
	INTA	Interest Charge or Credit (Manual Entry)	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 09/01/2014 through 09/30/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 08/31/2014	(5,666,754.75)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(439.17)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	5,666,754.75
Account Value, 09/30/2014	(439.17)

Account Composition

Cash Balances	08/31/2014	Monthly Changes	09/30/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(5,666,754.75)	5,666,315.58	(439.17)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(5,666,754.75)	5,666,315.58	(439.17)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(5,666,754.75)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(439.17)	0.00	0.00
BOOKKEEPING	0.00	5,666,754.75	0.00	0.00
Closing Balance	0.00	(439.17)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	54,457.80
SUBSTITUTE PAYMENT	0.00	31,124.28
NRA TAX WITHHOLDING	0.00	0.00
FATCA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(439.17)	(20,248.02)
Total	(439.17)	65,334.06

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
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There are no Portfolio Holdings to report for this statement period.

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
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CONSOLIDATED INTEREST ENTRIES

	09/30/14	INTE		(439.17)
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Net Income and Expense Detail:

(439.17)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	08/29/14	P/L		MARGIN		(2,655.81)
P/L BOOKING	08/29/14	P/L		MARGIN		2,655.81
COLLAPSE BALANCES	09/03/14	JE		MARGIN		5,666,754.75

Net Bookkeeping Activity:

5,666,754.75



It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
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Certain
Transaction
Types

AGN	Assigned Options	INTE	Interest Charge
CFEE	Certificate Fee on ADR's	INTI	Interest Credit
CHK	Check Issued	JE	Journal Entry
CNV	Conversions	JEX	Journal Entry
CPI	Bond Interest	LIEU	Cash-in-lieu of Fractional Shares
CXL	Open Item Cancellation	LTCG	Long-term Capital Gain
DEL	Delivery of Securities	MDIV	Substitute Dividend Payment
DIV	Cash Dividend	MTM	Mark to Market
DST	Stock Dividend Distribution	NRD	NRA Tax on Dividend
DVP	Deliver Versus Payment	NRI	NRA Tax on Interest
EXC	Exchange of Sec. and/or Corp. Name	NRPT	Non-reportable Dividend
EXP	Rights, Warrants or Options Expired	P/L	Profit & Loss
EXR	Rights, Warrants or Options Exercised	RCK	Check Issued
FATD	FATCA Tax on Dividend	RCP	Return of Capital
FATI	FATCA Tax on Interest	RDP	Redemption of Securities
FDV	Dividend - Foreign	REC	Securities Received into Your Account
FIN	Interest - Foreign	RTS	Rights Distribution
FPE	Short Stock Rebate/Charge	RVP	Settlement RVP Receive Versus Payment
FSD	Funds Received	STCG	Short-term Capital Gain
FTD	Tax - Foreign Dividend	SUB	Subscription on Rights or Warrants
FTI	Tax - Foreign Interest	TND	Securities and/or Cash as a Result of a Tender
INTA	Interest Charge or Credit (Manual Entry)	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 10/01/2014 through 10/31/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 09/30/2014	(439.17)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	439.17
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 10/31/2014	0.00

Account Composition

Cash Balances	09/30/2014	Monthly Changes	10/31/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(439.17)	439.17	0.00
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(439.17)	439.17	0.00

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(439.17)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	439.17	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	0.00	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	54,457.80
SUBSTITUTE PAYMENT	0.00	31,124.28
NRA TAX WITHHOLDING	0.00	0.00
FATCA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	439.17	439.17
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	0.00	(20,248.02)
Total	439.17	65,773.23



Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY11-100-06-01
 New York, NY 10036
 646-743-1295

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
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There are no Portfolio Holdings to report for this statement period.

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
COLLAPSE BALANCES	09/30/14	INTA	MARGIN	439.17
Net Income and Expense Detail:				439.17

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOKING	09/30/14	P/L		MARGIN		(5,666,315.58)
P/L BOOK	09/30/14	P/L		MARGIN		5,666,315.58

Net Bookkeeping Activity:

0.00



Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

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2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
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7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
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13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
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19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

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Certain
Transaction
Types

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CNV	Conversions	JEX	Journal Entry
CPI	Bond Interest	LIEU	Cash-in-lieu of Fractional Shares
CXL	Open Item Cancellation	LTCG	Long-term Capital Gain
DEL	Delivery of Securities	MDIV	Substitute Dividend Payment
DIV	Cash Dividend	MTM	Mark to Market
DST	Stock Dividend Distribution	NRD	NRA Tax on Dividend
DVP	Deliver Versus Payment	NRI	NRA Tax on Interest
EXC	Exchange of Sec. and/or Corp. Name	NRPT	Non-reportable Dividend
EXP	Rights, Warrants or Options Expired	P/L	Profit & Loss
EXR	Rights, Warrants or Options Exercised	RCK	Check Issued
FATD	FATCA Tax on Dividend	RCP	Return of Capital
FATI	FATCA Tax on Interest	RDP	Redemption of Securities
FDV	Dividend - Foreign	REC	Securities Received into Your Account
FIN	Interest - Foreign	RTS	Rights Distribution
FPE	Short Stock Rebate/Charge	RVP	Settlement RVP Receive Versus Payment
FSD	Funds Received	STCG	Short-term Capital Gain
FTD	Tax - Foreign Dividend	SUB	Subscription on Rights or Warrants
FTI	Tax - Foreign Interest	TND	Securities and/or Cash as a Result of a Tender
INTA	Interest Charge or Credit (Manual Entry)	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #6
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73320
 Period : 12/01/2014 through 12/31/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 11/30/2014	0.00
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	0.00
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 12/31/2014	0.00

Account Composition

Cash Balances	11/30/2014	Monthly Changes	12/31/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	0.00	0.00	0.00
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	0.00	0.00	0.00

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	0.00	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	0.00	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	0.00	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	54,457.80
SUBSTITUTE PAYMENT	0.00	31,124.28
NRA TAX WITHHOLDING	0.00	0.00
FATCA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	439.17
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	0.00	(20,248.02)
Total	0.00	65,773.23

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
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There are no Portfolio Holdings to report for this statement period.

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
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There is no Income and Expense activity to report for this statement period.

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction Identifier	Account Type	Quantity	(Debit)/Credit
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There is no Bookkeeping activity to report for this statement period.



It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.

Certain
Transaction
Types

AGN	Assigned Options	INTE	Interest Charge
CFEE	Certificate Fee on ADR's	INTI	Interest Credit
CHK	Check Issued	JE	Journal Entry
CNV	Conversions	JEX	Journal Entry
CPI	Bond Interest	LIEU	Cash-in-lieu of Fractional Shares
CXL	Open Item Cancellation	LTCG	Long-term Capital Gain
DEL	Delivery of Securities	MDIV	Substitute Dividend Payment
DIV	Cash Dividend	MTM	Mark to Market
DST	Stock Dividend Distribution	NRD	NRA Tax on Dividend
DVP	Deliver Versus Payment	NRI	NRA Tax on Interest
EXC	Exchange of Sec. and/or Corp. Name	NRPT	Non-reportable Dividend
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EXR	Rights, Warrants or Options Exercised	RCK	Check Issued
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FATI	FATCA Tax on Interest	RDP	Redemption of Securities
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FIN	Interest - Foreign	RTS	Rights Distribution
FPE	Short Stock Rebate/Charge	RVP	Settlement RVP Receive Versus Payment
FSD	Funds Received	STCG	Short-term Capital Gain
FTD	Tax - Foreign Dividend	SUB	Subscription on Rights or Warrants
FTI	Tax - Foreign Interest	TND	Securities and/or Cash as a Result of a Tender
INTA	Interest Charge or Credit (Manual Entry)	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 01/01/2013 through 01/31/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 12/31/2012	(93,472.87)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(52.83)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 01/31/2013	(93,525.70)

Account Composition

Cash Balances	12/31/2012	Monthly Changes	01/31/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(93,472.87)	(52.83)	(93,525.70)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(93,472.87)	(52.83)	(93,525.70)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(93,472.87)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(52.83)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(93,525.70)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(52.83)	(52.83)
Total	(52.83)	(52.83)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
Total Equities Long: 0.00					
Total Positions Long: 0.00					
Total Portfolio Positions: 0.00					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
-----------------	------------	------------------	-------------	------------	----------	-------	---------------------------	----------------	--------------

There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
-----------------	------------	------------------	-------------	------------	----------	-------	---------------------------	----------------	--------------

There is no Settled Trade activity to report for this statement period.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	01/31/13	INTE			(52.83)

Net Income and Expense Detail:

(52.83)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
-------------	------	-------------	------------	--------------	----------	----------------

There is no Bookkeeping activity to report for this statement period.



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2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
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7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
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13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
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CLIENT STATEMENT

Certain Transaction Types

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
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FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 02/01/2013 through 02/28/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 01/31/2013	(93,525.70)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(47.86)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 02/28/2013	(93,573.56)

Account Composition

Cash Balances	01/31/2013	Monthly Changes	02/28/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(93,525.70)	(47.86)	(93,573.56)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(93,525.70)	(47.86)	(93,573.56)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(93,525.70)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(47.86)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(93,573.56)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(47.86)	(100.69)
Total	(47.86)	(100.69)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
Total Equities Long: 0.00					
Total Positions Long: 0.00					
Total Portfolio Positions: 0.00					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	02/28/13	INTE			(47.86)
Net Income and Expense Detail:					(47.86)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit

There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	01/31/13	P/L		MARGIN		(52.83)
P/L BOOKING	01/31/13	P/L		MARGIN		52.83
Net Bookkeeping Activity:						0.00



It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.



Merrill Lynch Professional Clearing Corp.

Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY 10036
 New York, NY 10036
 646-743-1295

CLIENT STATEMENT

Certain Transaction Types

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 03/01/2013 through 03/31/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change	02/28/2013	03/31/2013
Account Value, 02/28/2013	(93,573.56)	
DEPOSITS	0.00	
WITHDRAWALS	0.00	
NET INCOME AND EXPENSES	(48.10)	
PURCHASES AND SALES	0.00	
CHANGE IN PORTFOLIO HOLDINGS	0.00	
BOOKKEEPING CHANGES	0.00	
Account Value, 03/31/2013		(93,621.66)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(93,573.56)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(48.10)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(93,621.66)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(48.10)	(148.79)
Total	(48.10)	(148.79)

Account Composition

Cash Balances	02/28/2013	03/31/2013
CASH BALANCE	0.00	0.00
MARGIN CASH BALANCE	(93,573.56)	(93,621.66)
SHORT CASH BALANCE	0.00	0.00
OTHER CASH BALANCES	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00
Total Account Value	(93,573.56)	(93,621.66)



Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY1-100-06-01
 New York, NY 10036
 646-743-1295

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
Total Equities Long: 0.00					
Total Positions Long: 0.00					
Total Portfolio Positions: 0.00					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	03/31/13	INTE			(48.10)

Net Income and Expense Detail:

(48.10)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	02/28/13	P/L		MARGIN		(47.86)
P/L BOOKING	02/28/13	P/L		MARGIN		47.86

Net Bookkeeping Activity:

0.00

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

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7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
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9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
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13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
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CLIENT STATEMENT

Certain Transaction Types	AGN	Assigned Options	INTI	Interest Credit
	CFEE	Certificate Fee on ADR's	JE	Journal Entry
	CHK	Check Issued	JEX	Journal Entry
	CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
	CPI	Bond Interest	LTCG	Long-term Capital Gain
	CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
	DEL	Delivery of Securities	MTM	Mark to Market
	DIV	Cash Dividend	NRD	NRA Tax on Dividend
	DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
	DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
	EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
	EXP	Rights, Warrants or Options Expired	RCK	Check Issued
	EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
	FDV	Dividend - Foreign	RDP	Redemption of Securities
	FIN	Interest - Foreign	REC	Securities Received into Your Account
	FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
	FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
	FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
	FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
	INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
	INTE	Interest Charge	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 04/01/2013 through 04/30/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 03/31/2013	(93,621.66)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(55.23)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 04/30/2013	(93,676.89)

Account Composition

Cash Balances	03/31/2013	Monthly Changes	04/30/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(93,621.66)	(55.23)	(93,676.89)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(93,621.66)	(55.23)	(93,676.89)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(93,621.66)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(55.23)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(93,676.89)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(55.23)	(204.02)
Total	(55.23)	(204.02)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
Total Equities Long: 0.00					
Total Positions Long: 0.00					
Total Portfolio Positions: 0.00					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	04/30/13	INTE			(55.23)
Net Income and Expense Detail:					
					(55.23)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	03/28/13	P/L		MARGIN		(48.10)
P/L BOOKING	03/28/13	P/L		MARGIN		48.10

Net Bookkeeping Activity:

0.00

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.

CLIENT STATEMENT

Certain Transaction Types	AGN	Assigned Options	INTI	Interest Credit
	CFEE	Certificate Fee on ADR's	JE	Journal Entry
	CHK	Check Issued	JEX	Journal Entry
	CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
	CPI	Bond Interest	LTCG	Long-term Capital Gain
	CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
	DEL	Delivery of Securities	MTM	Mark to Market
	DIV	Cash Dividend	NRD	NRA Tax on Dividend
	DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
	DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
	EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
	EXP	Rights, Warrants or Options Expired	RCK	Check Issued
	EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
	FDV	Dividend - Foreign	RDP	Redemption of Securities
	FIN	Interest - Foreign	REC	Securities Received into Your Account
	FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
	FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
	FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
	FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
	INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
	INTE	Interest Charge	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 05/01/2013 through 05/31/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Account Summary

Monthly Account Change

Account Value, 04/30/2013	(93,676.89)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(49.95)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 05/31/2013	(93,726.84)

Account Composition

Cash Balances	04/30/2013	Monthly Changes	05/31/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(93,676.89)	(49.95)	(93,726.84)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(93,676.89)	(49.95)	(93,726.84)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(93,676.89)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(49.95)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(93,726.84)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(49.95)	(253.97)
Total	(49.95)	(253.97)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
Total Equities Long: 0.00					
Total Positions Long: 0.00					
Total Portfolio Positions: 0.00					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	05/31/13	INTE			(49.95)
Net Income and Expense Detail:					(49.95)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit

There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	04/30/13	P/L		MARGIN		(55.23)
P/L BOOKING	04/30/13	P/L		MARGIN		55.23

Net Bookkeeping Activity:

0.00

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2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
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7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
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12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

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MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.

Certain
Transaction
Types

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 06/01/2013 through 06/30/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 05/31/2013	(93,726.84)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(42.98)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 06/30/2013	(93,769.82)

Account Composition

Cash Balances	05/31/2013	Monthly Changes	06/30/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(93,726.84)	(42.98)	(93,769.82)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(93,726.84)	(42.98)	(93,769.82)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(93,726.84)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(42.98)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(93,769.82)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(42.98)	(296.95)
Total	(42.98)	(296.95)



Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY1-100-06-01
 New York, NY 10036
 646-743-1295

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
<i>Equities Long</i>					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
<i>Total Equities Long: 0.00</i>					
<i>Total Positions Long: 0.00</i>					
<i>Total Portfolio Positions: 0.00</i>					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	06/30/13	INTE			(42.98)
Net Income and Expense Detail:					(42.98)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit

There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	05/31/13	P/L		MARGIN		(49.95)
P/L BOOKING	05/31/13	P/L		MARGIN		49.95

Net Bookkeeping Activity:

0.00

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.



Merrill Lynch Professional Clearing Corp.

Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY 100-06-01
 New York, NY 10036
 646-743-1295

CLIENT STATEMENT

Certain Transaction Types	AGN	Assigned Options	INTI	Interest Credit
	CFEE	Certificate Fee on ADR's	JE	Journal Entry
	CHK	Check Issued	JEX	Journal Entry
	CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
	CPI	Bond Interest	LTCG	Long-term Capital Gain
	CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
	DEL	Delivery of Securities	MTM	Mark to Market
	DIV	Cash Dividend	NRD	NRA Tax on Dividend
	DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
	DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
	EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
	EXP	Rights, Warrants or Options Expired	RCK	Check Issued
	EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
	FDV	Dividend - Foreign	RDP	Redemption of Securities
	FIN	Interest - Foreign	REC	Securities Received into Your Account
	FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
	FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
	FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
	FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
	INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
	INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 07/01/2013 through 07/31/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Account Summary

Monthly Account Change

Account Value, 06/30/2013	(93,769.82)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(50.84)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	(28.00)
Account Value, 07/31/2013	(93,848.66)

Account Composition

Cash Balances	06/30/2013	Monthly Changes	07/31/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(93,769.82)	(78.84)	(93,848.66)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(93,769.82)	(78.84)	(93,848.66)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(93,769.82)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(50.84)	0.00	0.00
BOOKKEEPING	0.00	(28.00)	0.00	0.00
Closing Balance	0.00	(93,848.66)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(50.84)	(347.79)
Total	(50.84)	(347.79)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
Total Equities Long: 0.00					
Total Positions Long: 0.00					
Total Portfolio Positions: 0.00					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	07/31/13	INTE			(50.84)
Net Income and Expense Detail:					(50.84)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit

There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	06/28/13	P/L		MARGIN		(42.98)
P/L BOOKING	06/28/13	P/L		MARGIN		42.98
TRF TO 83473821D9	07/10/13	JE		MARGIN		(28.00)
TRF TO 83473821D9	07/10/13	JE		MARGIN		(28.00)
SPO JE DUPED ON 7/10	07/11/13	JE		MARGIN		28.00

Net Bookkeeping Activity:

(28.00)

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
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6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.

CLIENT STATEMENT

Certain Transaction Types

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 08/01/2013 through 08/31/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 07/31/2013	(93,848.66)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(46.81)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 08/31/2013	(93,895.47)

Account Composition

Cash Balances	07/31/2013	Monthly Changes	08/31/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(93,848.66)	(46.81)	(93,895.47)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(93,848.66)	(46.81)	(93,895.47)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(93,848.66)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(46.81)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(93,895.47)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(46.81)	(394.60)
Total	(46.81)	(394.60)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
Total Equities Long: 0.00					
Total Positions Long: 0.00					
Total Portfolio Positions: 0.00					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	08/31/13	INTE			(46.81)

Net Income and Expense Detail:

(46.81)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	07/31/13	P/L		MARGIN		(78.84)
P/L BOOKING	07/31/13	P/L		MARGIN		78.84

Net Bookkeeping Activity:

0.00

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
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CLIENT STATEMENT

**Certain
Transaction
Types**

AGN	Assigned Options	INTI	Interest Credit
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CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
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FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 09/01/2013 through 09/30/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change	
Account Value, 08/31/2013	(93,895.47)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(47.60)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 09/30/2013	(93,943.07)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(93,895.47)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(47.60)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(93,943.07)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(47.60)	(442.20)
Total	(47.60)	(442.20)

Account Composition

	08/31/2013	Monthly Changes	09/30/2013
Cash Balances			
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(93,895.47)	(47.60)	(93,943.07)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(93,895.47)	(47.60)	(93,943.07)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
<i>Equities Long</i>					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
<i>Total Equities Long:</i> 0.00					
<i>Total Positions Long:</i> 0.00					
<i>Total Portfolio Positions:</i> 0.00					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	09/30/13	INTE			(47.60)
Net Income and Expense Detail:					(47.60)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit

There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	08/30/13	P/L		MARGIN		(46.81)
P/L BOOKING	08/30/13	P/L		MARGIN		46.81

Net Bookkeeping Activity:

0.00

Merrill Lynch Professional Clearing Corp.

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2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
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6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.

CLIENT STATEMENT

**Certain
Transaction
Types**

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 10/01/2013 through 10/31/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 09/30/2013	(93,943.07)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(48.97)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	(2,600.00)
Account Value, 10/31/2013	(96,592.04)

Account Composition

Cash Balances	09/30/2013	Monthly Changes	10/31/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(93,943.07)	(2,648.97)	(96,592.04)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(93,943.07)	(2,648.97)	(96,592.04)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(93,943.07)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(48.97)	0.00	0.00
BOOKKEEPING	0.00	(2,600.00)	0.00	0.00
Closing Balance	0.00	(96,592.04)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(48.97)	(491.17)
Total	(48.97)	(491.17)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
<i>Equities Long</i>					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
<i>Total Equities Long: 0.00</i>					
<i>Total Positions Long: 0.00</i>					
<i>Total Portfolio Positions: 0.00</i>					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	10/31/13	INTE			(48.97)
Net Income and Expense Detail:					(48.97)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit

There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	09/30/13	P/L		MARGIN		(47.60)
P/L BOOKING	09/30/13	P/L		MARGIN		47.60
TRF TO 83473821D9	10/09/13	JE		MARGIN		(2,600.00)

Net Bookkeeping Activity:

(2,600.00)

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
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CLIENT STATEMENT

**Certain
Transaction
Types**

AGN	Assigned Options	INTI	Interest Credit
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CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
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FIN	Interest - Foreign	REC	Securities Received into Your Account
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FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 11/01/2013 through 11/30/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 10/31/2013	(96,592.04)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(45.29)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 11/30/2013	(96,637.33)

Account Composition

Cash Balances	10/31/2013	Monthly Changes	11/30/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(96,592.04)	(45.29)	(96,637.33)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(96,592.04)	(45.29)	(96,637.33)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(96,592.04)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(45.29)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(96,637.33)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(45.29)	(536.46)
Total	(45.29)	(536.46)



Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY1-100-06-01
 New York, NY 10036
 646-743-1295

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
<i>Equities Long</i>					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
<i>Total Equities Long:</i> 0.00					
<i>Total Positions Long:</i> 0.00					
<i>Total Portfolio Positions:</i> 0.00					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	11/30/13	INTE			(45.29)

Net Income and Expense Detail:

(45.29)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	10/31/13	P/L		MARGIN		(2,648.97)
P/L BOOKING	10/31/13	P/L		MARGIN		2,648.97

Net Bookkeeping Activity:

0.00

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

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2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
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6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
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8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.

CLIENT STATEMENT

Certain Transaction Types	AGN	Assigned Options	INTI	Interest Credit
	CFEE	Certificate Fee on ADR's	JE	Journal Entry
	CHK	Check Issued	JEX	Journal Entry
	CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
	CPI	Bond Interest	LTCG	Long-term Capital Gain
	CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
	DEL	Delivery of Securities	MTM	Mark to Market
	DIV	Cash Dividend	NRD	NRA Tax on Dividend
	DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
	DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
	EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
	EXP	Rights, Warrants or Options Expired	RCK	Check Issued
	EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
	FDV	Dividend - Foreign	RDP	Redemption of Securities
	FIN	Interest - Foreign	REC	Securities Received into Your Account
	FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
	FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
	FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
	FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
	INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
	INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 12/01/2013 through 12/31/2013
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 11/30/2013	(96,637.33)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(49.88)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 12/31/2013	(96,687.21)

Account Composition

Cash Balances	11/30/2013	Monthly Changes	12/31/2013
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(96,637.33)	(49.88)	(96,687.21)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(96,637.33)	(49.88)	(96,687.21)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(96,637.33)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(49.88)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(96,687.21)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(49.88)	(586.34)
Total	(49.88)	(586.34)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
<i>Equities Long</i>					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
<i>Total Equities Long: 0.00</i>					
<i>Total Positions Long: 0.00</i>					
<i>Total Portfolio Positions: 0.00</i>					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	12/31/13	INTE			(49.88)
<i>Net Income and Expense Detail:</i>					(49.88)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit

There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	11/29/13	P/L		MARGIN		(45.29)
P/L BOOKING	11/29/13	P/L		MARGIN		45.29
P/L BOOK	12/31/13	P/L		MARGIN		(49.88)
P/L BOOKING	12/31/13	P/L		MARGIN		49.88

Net Bookkeeping Activity:

0.00

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
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MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.



Merrill Lynch Professional Clearing Corp.

Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY1-100-06-01
 New York, NY 10036
 646-743-1295

CLIENT STATEMENT

Certain Transaction Types	AGN	Assigned Options	INTI	Interest Credit
	CFEE	Certificate Fee on ADR's	JE	Journal Entry
	CHK	Check Issued	JEX	Journal Entry
	CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
	CPI	Bond Interest	LTCG	Long-term Capital Gain
	CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
	DEL	Delivery of Securities	MTM	Mark to Market
	DIV	Cash Dividend	NRD	NRA Tax on Dividend
	DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
	DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
	EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
	EXP	Rights, Warrants or Options Expired	RCK	Check Issued
	EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
	FDV	Dividend - Foreign	RDP	Redemption of Securities
	FIN	Interest - Foreign	REC	Securities Received into Your Account
	FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
	FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
	FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
	FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
	INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
	INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 01/01/2014 through 01/31/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 12/31/2013	(96,687.21)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(47.89)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 01/31/2014	(96,735.10)

Account Composition

Cash Balances	12/31/2013	Monthly Changes	01/31/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(96,687.21)	(47.89)	(96,735.10)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(96,687.21)	(47.89)	(96,735.10)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(96,687.21)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(47.89)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(96,735.10)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(47.89)	(47.89)
Total	(47.89)	(47.89)



Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY1-100-06-01
 New York, NY 10036
 646-743-1295

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
<i>Equities Long</i>					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
<i>Total Equities Long:</i> 0.00					
<i>Total Positions Long:</i> 0.00					
<i>Total Portfolio Positions:</i> 0.00					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
-----------------	------------	------------------	-------------	------------	----------	-------	---------------------------	----------------	--------------

There is no Settled Trade activity to report for this statement period.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	01/31/14	INTE			(47.89)
Net Income and Expense Detail:					(47.89)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
There is no Deposit and Withdrawal activity to report for this statement period.				

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
There is no Bookkeeping activity to report for this statement period.						



Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

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2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
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6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
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14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
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Merrill Lynch Professional Clearing Corp.

Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY1-100-06-01
 New York, NY 10036
 646-743-1295

CLIENT STATEMENT

Certain Transaction Types	AGN	Assigned Options	INTI	Interest Credit
	CFEE	Certificate Fee on ADR's	JE	Journal Entry
	CHK	Check Issued	JEX	Journal Entry
	CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
	CPI	Bond Interest	LTCG	Long-term Capital Gain
	CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
	DEL	Delivery of Securities	MTM	Mark to Market
	DIV	Cash Dividend	NRD	NRA Tax on Dividend
	DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
	DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
	EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
	EXP	Rights, Warrants or Options Expired	RCK	Check Issued
	EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
	FDV	Dividend - Foreign	RDP	Redemption of Securities
	FIN	Interest - Foreign	REC	Securities Received into Your Account
	FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
	FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
	FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
	FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
	INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
	INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 02/01/2014 through 02/28/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 01/31/2014	(96,735.10)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(42.33)
PURCHASES AND SALES	1,857.61
CHANGE IN PORTFOLIO HOLDINGS	(720.00)
BOOKKEEPING CHANGES	0.00
Account Value, 02/28/2014	(95,639.82)

Account Composition

Cash Balances	01/31/2014	Monthly Changes	02/28/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(96,735.10)	1,815.28	(94,919.82)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	1,437.50	1,437.50
POSITIONS SHORT, MARKET VALUE	0.00	(2,157.50)	(2,157.50)
Total Account Value	(96,735.10)	1,095.28	(95,639.82)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(96,735.10)	0.00	0.00
PURCHASES	0.00	(3,579.94)	0.00	0.00
SALES	0.00	5,437.55	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(42.33)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(94,919.82)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(42.33)	(90.22)
Total	(42.33)	(90.22)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
Total Equities Long: 0.00					
Options Long					
PUT PRAN 03/22/14 00008.000	PRAN 140322P00008000	MARGIN	25	0.575	1,437.50
Total Options Long: 1,437.50					
Total Positions Long: 1,437.50					
Options Short					
CALL PRAN 03/22/14 00011.000	PRAN 140322C00011000	MARGIN	(1)	1.70	(170.00)
CALL PRAN 03/22/14 00012.000	PRAN 140322C00012000	MARGIN	(3)	1.425	(427.50)
CALL PRAN 03/22/14 00013.000	PRAN 140322C00013000	MARGIN	(3)	1.125	(337.50)
CALL PRAN 03/22/14 00014.000	PRAN 140322C00014000	MARGIN	(3)	0.925	(277.50)
CALL PRAN 03/22/14 00015.000	PRAN 140322C00015000	MARGIN	(3)	0.85	(255.00)
CALL PRAN 03/22/14 00016.000	PRAN 140322C00016000	MARGIN	(3)	0.675	(202.50)
CALL PRAN 03/22/14 00017.000	PRAN 140322C00017000	MARGIN	(3)	0.625	(187.50)
CALL PRAN 03/22/14 00018.000	PRAN 140322C00018000	MARGIN	(3)	0.575	(172.50)
CALL PRAN 03/22/14 00019.000	PRAN 140322C00019000	MARGIN	(3)	0.425	(127.50)
Total Options Short: (2,157.50)					
Total Positions Short: (2,157.50)					
Total Portfolio Positions: (720.00)					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
02/04/14	02/03/14	SELL	CALL PRAN 02/22/14 00011.000 OPEN CALL	PRAN 140222C00011000	(3)	1.75		524.01	MARGIN
02/04/14	02/03/14	SELL	CALL PRAN 02/22/14 00012.000 OPEN CALL	PRAN 140222C00012000	(3)	1.40		419.01	MARGIN
02/04/14	02/03/14	SELL	CALL PRAN 02/22/14 00013.000 OPEN CALL	PRAN 140222C00013000	(3)	1.10		329.01	MARGIN
02/04/14	02/03/14	SELL	CALL PRAN 02/22/14 00014.000 OPEN CALL	PRAN 140222C00014000	(3)	0.85		254.01	MARGIN
02/04/14	02/03/14	BUY	PUT PRAN 02/22/14 00008.000 OPEN PUT	PRAN 140222P00008000	25	0.80		(2,008.01)	MARGIN
02/04/14	02/03/14	SELL	CALL PRAN 03/22/14 00015.000 OPEN CALL	PRAN 140322C00015000	(2)	2.50		499.32	MARGIN
02/04/14	02/03/14	SELL	CALL PRAN 03/22/14 00016.000 OPEN CALL	PRAN 140322C00016000	(3)	2.2667		679.01	MARGIN
02/04/14	02/03/14	SELL	CALL PRAN 03/22/14 00017.000 OPEN CALL	PRAN 140322C00017000	(3)	2.10		629.00	MARGIN
02/04/14	02/03/14	SELL	CALL PRAN 03/22/14 00018.000 OPEN CALL	PRAN 140322C00018000	(2)	1.90		379.32	MARGIN
02/04/14	02/03/14	SELL	CALL PRAN 03/22/14 00019.000 OPEN CALL	PRAN 140322C00019000	(3)	1.7333		519.00	MARGIN
02/19/14	02/18/14	BUY	CALL PRAN 02/22/14 00012.000 CLOSE CALL	PRAN 140222C00012000	3	0.05		(15.98)	MARGIN
02/19/14	02/18/14	BUY	CALL PRAN 02/22/14 00013.000 CLOSE CALL	PRAN 140222C00013000	3	0.05		(15.98)	MARGIN
02/19/14	02/18/14	BUY	CALL PRAN 02/22/14 00014.000 CLOSE CALL	PRAN 140222C00014000	3	0.05		(15.98)	MARGIN

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
02/20/14	02/19/14	BUY	CALL PRAN 02/22/14 00011.000 CLOSE CALL	PRAN 140222C00011000	3	0.05		(15.98)	MARGIN
02/24/14	02/21/14	SELL	PUT PRAN 02/22/14 00008.000 A/C EXPIRED LONG PUT	PRAN 140222P00008000	(25)	0.00		0.00	MARGIN
02/28/14	02/27/14	SELL	CALL PRAN 03/22/14 00011.000 OPEN CALL	PRAN 140322C00011000	(1)	1.65		164.61	MARGIN
02/28/14	02/27/14	SELL	CALL PRAN 03/22/14 00012.000 OPEN CALL	PRAN 140322C00012000	(3)	1.2667		379.02	MARGIN
02/28/14	02/27/14	SELL	CALL PRAN 03/22/14 00013.000 OPEN CALL	PRAN 140322C00013000	(3)	1.00		299.01	MARGIN
02/28/14	02/27/14	SELL	CALL PRAN 03/22/14 00014.000 OPEN CALL	PRAN 140322C00014000	(3)	0.8333		249.00	MARGIN
02/28/14	02/27/14	SELL	CALL PRAN 03/22/14 00015.000 OPEN CALL	PRAN 140322C00015000	(1)	0.70		69.61	MARGIN
02/28/14	02/27/14	SELL	CALL PRAN 03/22/14 00018.000 OPEN CALL	PRAN 140322C00018000	(1)	0.45		44.61	MARGIN
02/28/14	02/27/14	BUY	PUT PRAN 03/22/14 00008.000 OPEN PUT	PRAN 140322P00008000	25	0.60		(1,508.01)	MARGIN

Total Purchases: (3,579.94)
 Total Sales: 5,437.55
 Net: 1,857.61

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	02/28/14	INTE		(42.33)
Net Income and Expense Detail:				(42.33)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	01/31/14	P/L		MARGIN		(47.89)
P/L BOOKING	01/31/14	P/L		MARGIN		47.89

Net Bookkeeping Activity:

0.00



It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
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 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 03/01/2014 through 03/31/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
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PURCHASES AND SALES	1,172.32
CHANGE IN PORTFOLIO HOLDINGS	13,657.50
BOOKKEEPING CHANGES	(198.00)
Account Value, 03/31/2014	(81,055.36)

Account Composition

Cash Balances	02/28/2014	Monthly Changes	03/31/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(94,919.82)	926.96	(93,992.86)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	1,437.50	11,562.50	13,000.00
POSITIONS SHORT, MARKET VALUE	(2,157.50)	2,095.00	(62.50)
Total Account Value	(95,639.82)	14,584.46	(81,055.36)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(94,919.82)	0.00	0.00
PURCHASES	0.00	(4,524.43)	0.00	0.00
SALES	0.00	5,696.75	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(47.36)	0.00	0.00
BOOKKEEPING	0.00	(198.00)	0.00	0.00
Closing Balance	0.00	(93,992.86)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(47.36)	(137.58)
Total	(47.36)	(137.58)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
GAINSCO INC SHS		MARGIN	11,547	0.00	0.00
Total Equities Long:					
					0.00
Options Long					
PUT PRAN 04/19/14 00008.000	PRAN 140419P00008000	MARGIN	25	5.20	13,000.00
Total Options Long:					
					13,000.00
Total Positions Long:					
					13,000.00
Options Short					
CALL PRAN 04/19/14 00012.000	PRAN 140419C00012000	MARGIN	(3)	0.025	(7.50)
CALL PRAN 04/19/14 00013.000	PRAN 140419C00013000	MARGIN	(3)	0.025	(7.50)
CALL PRAN 04/19/14 00014.000	PRAN 140419C00014000	MARGIN	(4)	0.025	(10.00)
CALL PRAN 04/19/14 00015.000	PRAN 140419C00015000	MARGIN	(5)	0.025	(12.50)
CALL PRAN 04/19/14 00016.000	PRAN 140419C00016000	MARGIN	(10)	0.025	(25.00)
Total Options Short:					
					(62.50)
Total Positions Short:					
					(62.50)
Total Portfolio Positions:					
					12,937.50

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
04/01/14	03/31/14	BUY	CALL PRAN 04/19/14 00005.000 OPEN CALL	PRAN 140419C00005000	25	0.05		(133.01)	MARGIN
04/01/14	03/31/14	BUY	CALL PRAN 04/19/14 00012.000 CLOSE CALL	PRAN 140419C00012000	25	0.05		(133.01)	MARGIN
04/01/14	03/31/14	BUY	CALL PRAN 04/19/14 00016.000 CLOSE CALL	PRAN 140419C00016000	10	0.05		(53.22)	MARGIN
04/01/14	03/31/14	SELL	PUT PRAN 04/19/14 00008.000 CLOSE PUT	PRAN 140419P00008000	(25)	5.00		12,491.71	MARGIN

Total Purchases: (319.24)
 Total Sales: 12,491.71
 Net: 12,172.47

The above trades do not appear in any other section of this statement.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
03/19/14	03/18/14	BUY	PUT PRAN 03/22/14 00008.000 OPEN PUT	PRAN 140322P00008000	15	0.10		(154.80)	MARGIN
03/20/14	03/19/14	BUY	PUT PRAN 03/22/14 00008.000 OPEN PUT	PRAN 140322P00008000	10	0.10		(103.22)	MARGIN
03/21/14	03/20/14	BUY	CALL PRAN 03/22/14 00015.000 CLOSE CALL	PRAN 140322C00015000	15	0.05		(79.80)	MARGIN
03/24/14	03/21/14	BUY	CALL PRAN 03/22/14 00011.000 CLOSE CALL	PRAN 140322C00011000	1	0.20		(20.38)	MARGIN
03/24/14	03/21/14	BUY	CALL PRAN 03/22/14 00012.000 CLOSE CALL	PRAN 140322C00012000	10	0.05		(53.22)	MARGIN
03/24/14	03/21/14	SELL	CALL PRAN 03/22/14 00012.000 A/C EXPIRE LONG CALL	PRAN 140322C00012000	(7)	0.00		0.00	MARGIN
03/24/14	03/21/14	BUY	CALL PRAN 03/22/14 00013.000 A/C EXPIRE SHT CALL	PRAN 140322C00013000	3	0.00		0.00	MARGIN
03/24/14	03/21/14	BUY	CALL PRAN 03/22/14 00014.000 A/C EXPIRE SHT CALL	PRAN 140322C00014000	3	0.00		0.00	MARGIN
03/24/14	03/21/14	SELL	CALL PRAN 03/22/14 00015.000 A/C EXPIRE LONG CALL	PRAN 140322C00015000	(12)	0.00		0.00	MARGIN

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
03/24/14	03/21/14	BUY	CALL PRAN 03/22/14 00016.000 A/C EXPIRE SHT CALL	PRAN 140322C00016000	3	0.00		0.00	MARGIN
03/24/14	03/21/14	BUY	CALL PRAN 03/22/14 00017.000 A/C EXPIRE SHT CALL	PRAN 140322C00017000	3	0.00		0.00	MARGIN
03/24/14	03/21/14	BUY	CALL PRAN 03/22/14 00018.000 A/C EXPIRE SHT CALL	PRAN 140322C00018000	3	0.00		0.00	MARGIN
03/24/14	03/21/14	BUY	CALL PRAN 03/22/14 00019.000 A/C EXPIRE SHT CALL	PRAN 140322C00019000	3	0.00		0.00	MARGIN
03/24/14	03/21/14	SELL	PUT PRAN 03/22/14 00008.000 A/C EXPIRED LONG PUT	PRAN 140322P00008000	(50)	0.00		0.00	MARGIN
03/24/14	03/21/14	SELL	CALL PRAN 04/19/14 00012.000 OPEN CALL	PRAN 140419C00012000	(3)	2.80		839.00	MARGIN
03/24/14	03/21/14	SELL	CALL PRAN 04/19/14 00013.000 OPEN CALL	PRAN 140419C00013000	(3)	2.60		779.00	MARGIN
03/24/14	03/21/14	SELL	CALL PRAN 04/19/14 00014.000 OPEN CALL	PRAN 140419C00014000	(4)	2.45		978.67	MARGIN
03/24/14	03/21/14	SELL	CALL PRAN 04/19/14 00015.000 OPEN CALL	PRAN 140419C00015000	(5)	2.20		1,098.35	MARGIN
03/24/14	03/21/14	SELL	CALL PRAN 04/19/14 00016.000 OPEN CALL	PRAN 140419C00016000	(10)	2.005		2,001.73	MARGIN
03/24/14	03/21/14	BUY	PUT PRAN 04/19/14 00008.000 OPEN PUT	PRAN 140419P00008000	25	1.642		(4,113.01)	MARGIN
Total Purchases:								(4,524.43)	
Total Sales:								5,696.75	
Net:								1,172.32	

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	03/31/14	INTE		(47.36)
Net Income and Expense Detail:				(47.36)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	02/28/14	P/L		MARGIN		(42.33)
P/L BOOKING	02/28/14	P/L		MARGIN		42.33
P/L BOOKING	02/28/14	P/L	PRAN 140222C00011000	MARGIN		(508.03)
P/L BOOK PRAN 140222C00011000	02/28/14	P/L	PRAN 140222C00011000	MARGIN		508.03
P/L BOOKING	02/28/14	P/L	PRAN 140222C00012000	MARGIN		(403.03)
P/L BOOK PRAN 140222C00012000	02/28/14	P/L	PRAN 140222C00012000	MARGIN		403.03
P/L BOOKING	02/28/14	P/L	PRAN 140222C00013000	MARGIN		(313.03)
P/L BOOK PRAN 140222C00013000	02/28/14	P/L	PRAN 140222C00013000	MARGIN		313.03
P/L BOOKING	02/28/14	P/L	PRAN 140222C00014000	MARGIN		(238.03)
P/L BOOK PRAN 140222C00014000	02/28/14	P/L	PRAN 140222C00014000	MARGIN		238.03
P/L BOOK PRAN 140222P00008000	02/28/14	P/L	PRAN 140222P00008000	MARGIN		(2,008.01)
P/L BOOKING	02/28/14	P/L	PRAN 140222P00008000	MARGIN		2,008.01
P/L BOOK PRAN 140322C00011000	02/28/14	P/L	PRAN 140322C00011000	MARGIN		(5.39)
P/L BOOKING	02/28/14	P/L	PRAN 140322C00011000	MARGIN		5.39
P/L BOOK PRAN 140322C00012000	02/28/14	P/L	PRAN 140322C00012000	MARGIN		(48.48)
P/L BOOKING	02/28/14	P/L	PRAN 140322C00012000	MARGIN		48.48
P/L BOOK PRAN 140322C00013000	02/28/14	P/L	PRAN 140322C00013000	MARGIN		(38.49)
P/L BOOKING	02/28/14	P/L	PRAN 140322C00013000	MARGIN		38.49
P/L BOOK PRAN 140322C00014000	02/28/14	P/L	PRAN 140322C00014000	MARGIN		(28.50)
P/L BOOKING	02/28/14	P/L	PRAN 140322C00014000	MARGIN		28.50
P/L BOOK PRAN 140322C00015000	02/28/14	P/L	PRAN 140322C00015000	MARGIN		(313.93)
P/L BOOKING	02/28/14	P/L	PRAN 140322C00015000	MARGIN		313.93
P/L BOOK PRAN 140322C00016000	02/28/14	P/L	PRAN 140322C00016000	MARGIN		(476.51)
P/L BOOKING	02/28/14	P/L	PRAN 140322C00016000	MARGIN		476.51

Bookkeeping Activity (cont'd)

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK PRAN 140322C00016000	02/28/14	P/L	PRAN 140322C00016000	MARGIN		476.51
P/L BOOKING	02/28/14	P/L	PRAN 140322C00017000	MARGIN		(441.50)
P/L BOOK PRAN 140322C00017000	02/28/14	P/L	PRAN 140322C00017000	MARGIN		441.50
P/L BOOKING	02/28/14	P/L	PRAN 140322C00018000	MARGIN		(251.43)
P/L BOOK PRAN 140322C00018000	02/28/14	P/L	PRAN 140322C00018000	MARGIN		251.43
P/L BOOKING	02/28/14	P/L	PRAN 140322C00019000	MARGIN		(391.50)
P/L BOOK PRAN 140322C00019000	02/28/14	P/L	PRAN 140322C00019000	MARGIN		391.50
P/L BOOK PRAN 140322P00008000	02/28/14	P/L	PRAN 140322P00008000	MARGIN		(70.51)
P/L BOOKING	02/28/14	P/L	PRAN 140322P00008000	MARGIN		70.51
TRF TO 83473821D9	03/05/14	JE		MARGIN		(198.00)

Net Bookkeeping Activity:

(198.00)



Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://fir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.



Merrill Lynch Professional Clearing Corp.

Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY1-100-06-01
 New York, NY 10036
 646-743-1295

CLIENT STATEMENT

Certain Transaction Types	AGN	Assigned Options	INTI	Interest Credit
	CFEE	Certificate Fee on ADR's	JE	Journal Entry
	CHK	Check Issued	JEX	Journal Entry
	CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
	CPI	Bond Interest	LTCG	Long-term Capital Gain
	CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
	DEL	Delivery of Securities	MTM	Mark to Market
	DIV	Cash Dividend	NRD	NRA Tax on Dividend
	DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
	DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
	EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
	EXP	Rights, Warrants or Options Expired	RCK	Check Issued
	EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
	FDV	Dividend - Foreign	RDP	Redemption of Securities
	FIN	Interest - Foreign	REC	Securities Received into Your Account
	FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
	FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
	FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
	FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
	INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
	INTE	Interest Charge	WCK	Funds Wired

CLIENT STATEMENT

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 04/01/2014 through 04/30/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 03/31/2014	(81,055.36)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(39.37)
PURCHASES AND SALES	12,172.47
CHANGE IN PORTFOLIO HOLDINGS	(12,937.50)
BOOKKEEPING CHANGES	0.00
Account Value, 04/30/2014	(81,859.76)

Account Composition

Cash Balances	03/31/2014	Monthly Changes	04/30/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(93,992.86)	12,133.10	(81,859.76)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	13,000.00	(13,000.00)	0.00
POSITIONS SHORT, MARKET VALUE	(62.50)	62.50	0.00
Total Account Value	(81,055.36)	(804.40)	(81,859.76)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(93,992.86)	0.00	0.00
PURCHASES	0.00	(319.24)	0.00	0.00
SALES	0.00	12,491.71	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(39.37)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(81,859.76)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(39.37)	(176.95)
Total	(39.37)	(176.95)

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
Equities Long					
GAINSCO INC SHS		MARGIN	11,547	0.00	0.00
Total Equities Long: 0.00					
Total Positions Long: 0.00					
Total Portfolio Positions: 0.00					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
04/01/14	03/31/14	BUY	CALL PRAN 04/19/14 00005.000 OPEN CALL	PRAN 140419C00005000	25	0.05		(133.01)	MARGIN
04/01/14	03/31/14	BUY	CALL PRAN 04/19/14 00012.000 CLOSE CALL	PRAN 140419C00012000	25	0.05		(133.01)	MARGIN
04/01/14	03/31/14	BUY	CALL PRAN 04/19/14 00016.000 CLOSE CALL	PRAN 140419C00016000	10	0.05		(53.22)	MARGIN
04/01/14	03/31/14	SELL	PUT PRAN 04/19/14 00008.000 CLOSE PUT	PRAN 140419P00008000	(25)	5.00		12,491.71	MARGIN
04/21/14	04/17/14	SELL	CALL PRAN 04/19/14 00005.000 A/C EXPIRE LONG CALL	PRAN 140419C00005000	(25)	0.00		0.00	MARGIN
04/21/14	04/17/14	SELL	CALL PRAN 04/19/14 00012.000 A/C EXPIRE LONG CALL	PRAN 140419C00012000	(22)	0.00		0.00	MARGIN

Settled Trade Activity (cont'd)

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
04/21/14	04/17/14	BUY	CALL PRAN 04/19/14 00013.000 A/C EXPIRE SHT CALL	PRAN 140419C00013000	3	0.00		0.00	MARGIN
04/21/14	04/17/14	BUY	CALL PRAN 04/19/14 00014.000 A/C EXPIRE SHT CALL	PRAN 140419C00014000	4	0.00		0.00	MARGIN
04/21/14	04/17/14	BUY	CALL PRAN 04/19/14 00015.000 A/C EXPIRE SHT CALL	PRAN 140419C00015000	5	0.00		0.00	MARGIN

Total Purchases: (319.24)
 Total Sales: 12,491.71
 Net: 12,172.47

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	04/30/14	INTE		(39.37)

Net Income and Expense Detail:

				(39.37)
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Deposit and Withdrawal Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
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There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	03/31/14	P/L	MARGIN		(245.36)
P/L BOOKING	03/31/14	P/L	MARGIN		245.36
P/L BOOKING	03/31/14	P/L PRAN 140322C00011000	MARGIN		(149.62)
P/L BOOK PRAN 140322C00011000	03/31/14	P/L PRAN 140322C00011000	MARGIN		149.62

Bookkeeping Activity (cont'd)

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOKING	03/31/14	P/L	PRAN 140322C00012000	MARGIN		(374.28)
P/L BOOK PRAN 140322C00012000	03/31/14	P/L	PRAN 140322C00012000	MARGIN		374.28
P/L BOOKING	03/31/14	P/L	PRAN 140322C00013000	MARGIN		(337.50)
P/L BOOK PRAN 140322C00013000	03/31/14	P/L	PRAN 140322C00013000	MARGIN		337.50
P/L BOOKING	03/31/14	P/L	PRAN 140322C00014000	MARGIN		(277.50)
P/L BOOK PRAN 140322C00014000	03/31/14	P/L	PRAN 140322C00014000	MARGIN		277.50
P/L BOOKING	03/31/14	P/L	PRAN 140322C00015000	MARGIN		(175.20)
P/L BOOK PRAN 140322C00015000	03/31/14	P/L	PRAN 140322C00015000	MARGIN		175.20
P/L BOOKING	03/31/14	P/L	PRAN 140322C00016000	MARGIN		(202.50)
P/L BOOK PRAN 140322C00016000	03/31/14	P/L	PRAN 140322C00016000	MARGIN		202.50
P/L BOOKING	03/31/14	P/L	PRAN 140322C00017000	MARGIN		(187.50)
P/L BOOK PRAN 140322C00017000	03/31/14	P/L	PRAN 140322C00017000	MARGIN		187.50
P/L BOOKING	03/31/14	P/L	PRAN 140322C00018000	MARGIN		(172.50)
P/L BOOK PRAN 140322C00018000	03/31/14	P/L	PRAN 140322C00018000	MARGIN		172.50
P/L BOOKING	03/31/14	P/L	PRAN 140322C00019000	MARGIN		(127.50)
P/L BOOK PRAN 140322C00019000	03/31/14	P/L	PRAN 140322C00019000	MARGIN		127.50
P/L BOOK PRAN 140322P00008000	03/31/14	P/L	PRAN 140322P00008000	MARGIN		(1,695.52)
P/L BOOKING	03/31/14	P/L	PRAN 140322P00008000	MARGIN		1,695.52
P/L BOOK PRAN 140419C00005000	03/31/14	P/L	PRAN 140419C00005000	MARGIN		(70.51)
P/L BOOKING	03/31/14	P/L	PRAN 140419C00005000	MARGIN		70.51
P/L BOOK PRAN 140419C00012000	03/31/14	P/L	PRAN 140419C00012000	MARGIN		(760.99)
P/L BOOKING	03/31/14	P/L	PRAN 140419C00012000	MARGIN		760.99
P/L BOOK PRAN 140419C00013000	03/31/14	P/L	PRAN 140419C00013000	MARGIN		(771.50)
P/L BOOKING	03/31/14	P/L	PRAN 140419C00013000	MARGIN		771.50
P/L BOOK PRAN 140419C00014000	03/31/14	P/L	PRAN 140419C00014000	MARGIN		(968.67)
P/L BOOKING	03/31/14	P/L	PRAN 140419C00014000	MARGIN		968.67
P/L BOOK PRAN 140419C00015000	03/31/14	P/L	PRAN 140419C00015000	MARGIN		(1,085.85)
P/L BOOKING	03/31/14	P/L	PRAN 140419C00015000	MARGIN		1,085.85
P/L BOOK PRAN 140419C00016000	03/31/14	P/L	PRAN 140419C00016000	MARGIN		(1,948.51)
P/L BOOKING	03/31/14	P/L	PRAN 140419C00016000	MARGIN		1,948.51

Bookkeeping Activity (cont'd)

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOKING	03/31/14	P/L	PRAN 140419P00008000	MARGIN		(8,378.70)
P/L BOOK PRAN 140419P00008000	03/31/14	P/L	PRAN 140419P00008000	MARGIN		8,378.70

Net Bookkeeping Activity:

0.00



It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.

CLIENT STATEMENT

Certain Transaction Types	AGN	Assigned Options	INTI	Interest Credit
	CFEE	Certificate Fee on ADR's	JE	Journal Entry
	CHK	Check Issued	JEX	Journal Entry
	CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
	CPI	Bond Interest	LTCG	Long-term Capital Gain
	CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
	DEL	Delivery of Securities	MTM	Mark to Market
	DIV	Cash Dividend	NRD	NRA Tax on Dividend
	DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
	DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
	EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
	EXP	Rights, Warrants or Options Expired	RCK	Check Issued
	EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
	FDV	Dividend - Foreign	RDP	Redemption of Securities
	FIN	Interest - Foreign	REC	Securities Received into Your Account
	FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
	FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
	FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
	FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
	INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
	INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 05/01/2014 through 05/31/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 04/30/2014	(81,859.76)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(39.14)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 05/31/2014	(81,898.90)

Account Composition

Cash Balances	04/30/2014	Monthly Changes	05/31/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(81,859.76)	(39.14)	(81,898.90)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(81,859.76)	(39.14)	(81,898.90)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(81,859.76)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(39.14)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(81,898.90)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(39.14)	(216.09)
Total	(39.14)	(216.09)

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
<i>Equities Long</i>					
GAINSCO INC SHS	GANS	MARGIN	11,547	0.00	0.00
<i>Total Equities Long:</i> 0.00					
<i>Total Positions Long:</i> 0.00					
<i>Total Portfolio Positions:</i> 0.00					

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
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There is no Settled Trade activity to report for this statement period.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Income and Expense Activity

Description	Date	Transaction	Identifier	Account Type	(Debit)/Credit
CONSOLIDATED INTEREST ENTRIES	05/31/14	INTE			(39.14)
Net Income and Expense Detail:					
					(39.14)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit

There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	04/30/14	P/L		MARGIN		(39.37)
P/L BOOKING	04/30/14	P/L		MARGIN		39.37
P/L BOOK PRAN 140419C00005000	04/30/14	P/L	PRAN 140419C00005000	MARGIN		(62.50)
P/L BOOKING	04/30/14	P/L	PRAN 140419C00005000	MARGIN		62.50
P/L BOOK PRAN 140419C00012000	04/30/14	P/L	PRAN 140419C00012000	MARGIN		(55.00)
P/L BOOKING	04/30/14	P/L	PRAN 140419C00012000	MARGIN		55.00
P/L BOOKING	04/30/14	P/L	PRAN 140419C00013000	MARGIN		(7.50)
P/L BOOK PRAN 140419C00013000	04/30/14	P/L	PRAN 140419C00013000	MARGIN		7.50
P/L BOOKING	04/30/14	P/L	PRAN 140419C00014000	MARGIN		(10.00)
P/L BOOK PRAN 140419C00014000	04/30/14	P/L	PRAN 140419C00014000	MARGIN		10.00
P/L BOOKING	04/30/14	P/L	PRAN 140419C00015000	MARGIN		(12.50)
P/L BOOK PRAN 140419C00015000	04/30/14	P/L	PRAN 140419C00015000	MARGIN		12.50

Net Bookkeeping Activity:

0.00

It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
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9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
10. You acknowledge that any corporate affiliate of MLPCC and/or your Introducing Broker (if applicable) trades or may trade for its own account as an odd lot dealer, block positioner and/or arbitrageur, and at the time of your transactions it may have had either long or short positions in such securities, which may have been partially or completely hedged.
11. MLPCC's financial statement is available for your personal inspection at MLPCC's main office, or a copy will be mailed to you upon request. The financial statement is also located at <http://ir.ml.com> under "Other Information - Subsidiary Financials."
12. MLPCC is a member of the Securities Investor Protection Corporation "SIPC". Under the provisions of SIPA, the securities and cash of each customer of MLPCC are protected up to \$500,000, which includes a maximum of \$250,000 in cash. To ensure that your customer account is fully protected, credit balances left in your account should be for the purpose of reinvestment and not solely to earn interest. SIPC protection (and any "excess-SIPC" insurance policy which MLPCC may in its discretion enter into) does not cover assets that are not securities, or assets that are not held at MLPCC, including, without limitation, cash on deposit at a bank or other depository institutions, whether or not affiliated with MLPCC. Bank deposits are protected by the Federal Deposit Insurance Corporation. MLPCC is not a bank. YOUR INVESTMENTS THROUGH MLPCC ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202) 371-8300.
13. Interest charged on debit balances in your margin account appears on this statement. The rate of interest and the period covered are indicated. The rate charged to you by MLPCC may change from time to time due to changes in prevailing interest rates or for other reasons. The computation of interest is described in material previously supplied to you and available upon request.
14. MLPCC is required by law to report to the Internal Revenue Service certain dividends and registered bond interest credited to your account. As stated in the Explanation of Symbols, reportable dividends are identified as DIV or FDV, domestic payment or foreign payment, respectively on your statement; reportable interest is identified as INTE, INTI and INTA.
15. The total dividends and interest reported are indicated as year-to-date dividends and interest on the last statement received for the calendar year.
16. If your account is an introduced account, the funds and securities specified in the account statement are subject to the possession or control of MLPCC, the clearing firm for the account, and not the Introducing Broker. If you have any questions regarding this account, please contact the Manager of Customer Service at MLPCC at 646-743-1295. MLPCC may clear transactions for your account as directed by you or your Introducing Broker until MLPCC receives written notice from you to the contrary. MLPCC is the clearing broker with respect to your account and, as such, MLPCC will make no investment recommendations to you or inquire into the suitability of transactions in your account. You are required to advise MLPCC and your Introducing Broker (if applicable) of any material change in your investment objectives or financial situation.
17. This statement shall be governed by New York law, without giving effect to its choice of law or conflicts of law principles.
18. This Client Statement shall be deemed conclusive if not objected to in writing within ten days.
19. Further information with respect to commissions and other charges related to the execution of listed option transactions has been included in the confirmations of such transactions previously furnished to you. Such information will be made available to you promptly upon your request. If your account is an introduced account, you should obtain this information from your Introducing Broker.

MLPCC is a member of SIPC, FINRA, NYSE Arca Inc., NYSE Amex LLC, International Securities Exchange, LLC, Chicago Board Options Exchange, Incorporated, and other national securities exchanges.
MLPCC is a guaranteed subsidiary of Merrill Lynch, Pierce, Fenner & Smith Incorporated, a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation.



Merrill Lynch Professional Clearing Corp.

Merrill Lynch Professional Clearing Corp.
 One Bryant Park, 6th Floor
 NY 100-06-01
 New York, NY 10036
 646-743-1295

CLIENT STATEMENT

Certain Transaction Types

AGN	Assigned Options	INTI	Interest Credit
CFEE	Certificate Fee on ADR's	JE	Journal Entry
CHK	Check Issued	JEX	Journal Entry
CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
CPI	Bond Interest	LTCG	Long-term Capital Gain
CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
DEL	Delivery of Securities	MTM	Mark to Market
DIV	Cash Dividend	NRD	NRA Tax on Dividend
DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
EXP	Rights, Warrants or Options Expired	RCK	Check Issued
EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
FDV	Dividend - Foreign	RDP	Redemption of Securities
FIN	Interest - Foreign	REC	Securities Received into Your Account
FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
INTE	Interest Charge	WCK	Funds Wired

KINETIC SECURITIES TRADING
 LLC/TRADING #7
 1800 2ND STREET/STE 757
 SARASOTA FL 34236

Account Name : KINETIC SECURITIES TRADING
 Account Number : 834-73321
 Period : 06/01/2014 through 06/30/2014
 Base Currency : US\$
 RDF Code :

Through the courtesy of:
 ISRAEL A ENGLANDER & CO INC
 666 FIFTH AVE/9TH FLOOR
 NEW YORK NY 10103
 212-841-4100

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If your account is an introduced account, promptly report any inaccuracies/discrepancies relating to your account to both the introducing broker indicated above and to the clearing broker. Any oral communications with your introducing broker or your clearing broker should be reaffirmed in writing to further protect your rights, including rights under SIPA.

Merrill Lynch Professional Clearing Corp.

CLIENT STATEMENT

Account Summary

Monthly Account Change

Account Value, 05/31/2014	(81,898.90)
DEPOSITS	0.00
WITHDRAWALS	0.00
NET INCOME AND EXPENSES	(14.61)
PURCHASES AND SALES	0.00
CHANGE IN PORTFOLIO HOLDINGS	0.00
BOOKKEEPING CHANGES	0.00
Account Value, 06/30/2014	(81,913.51)

Account Composition

Cash Balances	05/31/2014	Monthly Changes	06/30/2014
CASH BALANCE	0.00	0.00	0.00
MARGIN CASH BALANCE	(81,898.90)	(14.61)	(81,913.51)
SHORT CASH BALANCE	0.00	0.00	0.00
OTHER CASH BALANCES	0.00	0.00	0.00

Portfolio Holdings

POSITIONS LONG, MARKET VALUE	0.00	0.00	0.00
POSITIONS SHORT, MARKET VALUE	0.00	0.00	0.00
Total Account Value	(81,898.90)	(14.61)	(81,913.51)

Cash Balance Summary

	Cash Account	Margin Account	Short Account	Other
Opening Balance	0.00	(81,898.90)	0.00	0.00
PURCHASES	0.00	0.00	0.00	0.00
SALES	0.00	0.00	0.00	0.00
DEPOSITS	0.00	0.00	0.00	0.00
WITHDRAWALS	0.00	0.00	0.00	0.00
DIV/SUBST PYMTS	0.00	0.00	0.00	0.00
INTEREST	0.00	(14.61)	0.00	0.00
BOOKKEEPING	0.00	0.00	0.00	0.00
Closing Balance	0.00	(81,913.51)	0.00	0.00

Income and Expense Summary

	This Period	Year to Date
DIVIDEND INCOME	0.00	0.00
SUBSTITUTE PAYMENT	0.00	0.00
NRA TAX WITHHOLDING	0.00	0.00
FOREIGN TAX WITHHOLDING	0.00	0.00
CORPORATE BOND INTEREST INCOME	0.00	0.00
TREASURY BOND INTEREST INCOME	0.00	0.00
MUNICIPAL BOND INTEREST INCOME	0.00	0.00
CREDIT INTEREST	0.00	0.00
DIVIDEND EXPENSE	0.00	0.00
BOND INTEREST EXPENSE	0.00	0.00
DEBIT INTEREST EXPENSE	(14.61)	(230.70)
Total	(14.61)	(230.70)

Portfolio Holdings

Description	Identifier	Account Type	Quantity	Closing Price	Market Value
-------------	------------	--------------	----------	---------------	--------------

There are no Portfolio Holdings to report for this statement period.

Unsettled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
-----------------	------------	------------------	-------------	------------	----------	-------	---------------------------	----------------	--------------

There is no Unsettled Trade activity to report for this statement period.

Settled Trade Activity

Settlement Date	Trade Date	Transaction Type	Description	Identifier	Quantity	Price	Interest Purchased (Sold)	(Debit)/Credit	Account Type
-----------------	------------	------------------	-------------	------------	----------	-------	---------------------------	----------------	--------------

There is no Settled Trade activity to report for this statement period.

Income and Expense Activity

Description	Date	Transaction Identifier	Account Type	(Debit)/Credit
-------------	------	------------------------	--------------	----------------

CONSOLIDATED INTEREST ENTRIES

	06/30/14	INTE		(14.61)
--	----------	------	--	---------

Net Income and Expense Detail:

(14.61)

Deposit and Withdrawal Activity

Description	Date	Transaction	Account Type	(Debit)/Credit
-------------	------	-------------	--------------	----------------

There is no Deposit and Withdrawal activity to report for this statement period.

Bookkeeping Activity

Description	Date	Transaction	Identifier	Account Type	Quantity	(Debit)/Credit
P/L BOOK	05/30/14	P/L		MARGIN		(39.14)
P/L BOOKING	05/30/14	P/L		MARGIN		39.14
GAINSCO INC SHS ACATS 0534 U1358796 20141530028675-000001	06/05/14	JE	GANS	MARGIN	(11,547)	0.00

Net Bookkeeping Activity:

0.00



It is agreed between you and MERRILL LYNCH PROFESSIONAL CLEARING CORP. ("MLPCC"), a registered broker-dealer and wholly-owned subsidiary of Bank of America Corporation, that:

1. All your transactions with MLPCC and the terms of this Client Statement are subject to (i) the terms of any prime brokerage agreement, customer agreement, and/or any other lending or credit facility between you and MLPCC and/or its affiliates (collectively, the "Agreements"); (ii) the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearing house, if any, where the transactions are executed, and, whether or not executed on any exchange, of the Financial Industry Regulatory Authority Inc. ("FINRA"), and (iii) all applicable law. In the event of any conflict between the terms hereof and the terms of the Agreements (if any), the Agreements shall prevail.
2. You acknowledge that pursuant to the terms of the Agreements (if any), one or more affiliates of MLPCC may have provided financing for, and taken a security interest in, the securities in your account. Subject to the limitations of the Securities Exchange Act of 1934 (the "SEA"), MLPCC may from time to time and without notice to you, pledge or repledge, hypothecate or rehypothecate, sell, lend or otherwise transfer or use, any or all securities, now or hereafter held, purchased or carried by MLPCC for your account or deposited to secure the same, either separately or under circumstances which will permit the commingling thereof with securities carried for the account of other customers, for any amount whatever either more or less than the amount due MLPCC. In addition, MLPCC may lend or deliver your securities on contracts for other customers without MLPCC having in its possession and control for delivery a like amount of similar securities.
3. MLPCC will hold for your account securities purchased and proceeds of sales unless instructed otherwise.
4. Unless you indicate your non-acquiescence in writing, this agreement shall inure to the benefit of the successors and assigns of MLPCC and/or the introducing broker (if your account is introduced to MLPCC by an introducing broker for which MLPCC clears, the "Introducing Broker") and MLPCC is authorized to transfer your account to any such successors or assigns.
5. The closing credit balance, if any, appearing on the face of this statement may be used in MLPCC's business, subject to the limitations of SEC Rule 15c3-3 under the SEA. You have the right to receive, in the normal course of business and subject to open commitments in any of your accounts, any free credit balance to which you are entitled, and any securities following the full payment of any indebtedness to MLPCC or to the relevant MLPCC affiliate which may have provided financing to you in respect of such margin securities.
6. You acknowledge, with respect to certain securities, as noted in this Client Statement (if any), that (i) MLPCC is acting solely as your agent pursuant to a power of attorney or similar instrument (including but not limited to any Agency Agreement and Power of Attorney) between you and MLPCC, the terms whereof are incorporated herein by reference, (ii) you are not a customer of MLPCC with respect to such securities for the purposes of United States securities laws and regulations, and (iii) such securities are not eligible for protection under Rule 15c3-3 or the Securities Investor Protection Act ("SIPA").
7. Bonds and preferred stock, which are callable in part and which MLPCC holds for its customers (except for those held in third party custodial accounts, such as those described in paragraph 6) are held in bulk segregation, and in the event of a call, the securities to be called will be selected. In a random selection, in which the probability of any customer's holdings being selected is proportional to the size of the such customer's holdings relative to the aggregate holdings by all customers of such securities held in bulk by MLPCC.
8. MLPCC shall not be responsible for the destruction or loss of any securities, whether held by MLPCC or held in custody by a securities depository, U.S. or foreign bank, U.S. or foreign futures commission merchant, foreign broker or other custodian, or nominee, if such destruction or loss results from an act of God, natural disaster, war, civil commotion, enemy action, governmental acts or other causes beyond the control of the custodian or MLPCC.
9. In the absence of your specific instructions to the contrary, MLPCC may exercise discretion in the selection of the exchange or other marketplace for the execution of transactions in securities and options traded on more than one such facility.
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CLIENT STATEMENT

Certain Transaction Types	AGN	Assigned Options	INTI	Interest Credit
	CFEE	Certificate Fee on ADR's	JE	Journal Entry
	CHK	Check Issued	JEX	Journal Entry
	CNV	Conversions	LIEU	Cash-in-lieu of Fractional Shares
	CPI	Bond Interest	LTCG	Long-term Capital Gain
	CXL	Open Item Cancellation	MDIV	Substitute Dividend Payment
	DEL	Delivery of Securities	MTM	Mark to Market
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	DST	Stock Dividend Distribution	NRI	NRA Tax on Interest
	DVP	Deliver Versus Payment	NRPT	Non-reportable Dividend
	EXC	Exchange of Sec. and/or Corp. Name	P/L	Profit & Loss
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	EXR	Rights, Warrants or Options Exercised	RCP	Return of Capital
	FDV	Dividend - Foreign	RDP	Redemption of Securities
	FIN	Interest - Foreign	REC	Securities Received into Your Account
	FPE	Short Stock Rebate/Charge	RTS	Rights Distribution
	FSD	Funds Received	RVP	Settlement RVP Receive Versus Payment
	FTD	Tax - Foreign Dividend	STCG	Short-term Capital Gain
	FTI	Tax - Foreign Interest	SUB	Subscription on Rights or Warrants
	INTA	Interest Charge or Credit (Manual Entry)	TND	Securities and/or Cash as a Result of a Tender
	INTE	Interest Charge	WCK	Funds Wired

EXHIBIT 4



Activity Statement

June 1, 2014 - June 30, 2014

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	May 31, 2014		June 30, 2014			Change	Change in NAV		Total
	Total		Long	Short	Total			Total	
Cash	20,000.00	0.00		-11,820,625.97	-11,820,625.97	-11,840,625.97	Starting Value	20,191.48	
Interest Accruals	0.00	639.84		-4,762.13	-4,122.29	-4,122.29	Mark-to-Market	-17,075.20	
Soft Dollars	191.48	402.27		0.00	402.27	210.79	Deposits & Withdrawals	-11,840,625.97	
Total	20,191.48	1,042.11		-11,825,388.10	-11,824,345.99	-11,844,537.47	Position Transfers	17,075.20	
							Change in Interest Accruals	-4,122.29	
Time Weighted Rate of Return						0.60%	Soft Dollars	210.79	
							Ending Value	-11,824,345.99	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Stocks										
ADM	0	0	--	--	-1,056.00	0.00	0.00	0.00	-1,056.00	
APL	0	0	--	--	134.00	0.00	0.00	0.00	134.00	
BX	0	0	--	--	260.00	0.00	0.00	0.00	260.00	
CORN	0	0	--	--	-344.95	0.00	0.00	0.00	-344.95	
CQP	0	0	--	--	-9,846.00	0.00	0.00	0.00	-9,846.00	
CTL	0	0	--	--	1,833.03	0.00	0.00	0.00	1,833.03	
DBA	0	0	--	--	-45.00	0.00	0.00	0.00	-45.00	
DBC	0	0	--	--	45.00	0.00	0.00	0.00	45.00	
DD	0	0	--	--	-675.00	0.00	0.00	0.00	-675.00	
DLR	0	0	--	--	-100.00	0.00	0.00	0.00	-100.00	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
ETP	0	0	--	--	-216.00	0.00	0.00	0.00	-216.00	
FXA	0	0	--	--	871.13	0.00	0.00	0.00	871.13	
FXF	0	0	--	--	-70.00	0.00	0.00	0.00	-70.00	
GIS	0	0	--	--	168.02	0.00	0.00	0.00	168.02	
GLD	0	0	--	--	3,503.00	0.00	0.00	0.00	3,503.00	
HCN	0	0	--	--	-0.50	0.00	0.00	0.00	-0.50	
HYG	0	0	--	--	324.00	0.00	0.00	0.00	324.00	
IYR	0	0	--	--	-1,700.00	0.00	0.00	0.00	-1,700.00	
JJC	0	0	--	--	-350.00	0.00	0.00	0.00	-350.00	
JNJ	0	0	--	--	-11,956.32	0.00	0.00	0.00	-11,956.32	
JNK	0	0	--	--	165.05	0.00	0.00	0.00	165.05	
KMP	0	0	--	--	4,932.90	0.00	0.00	0.00	4,932.90	
LQD	0	0	--	--	-1,794.00	0.00	0.00	0.00	-1,794.00	
MMP	0	0	--	--	832.00	0.00	0.00	0.00	832.00	
MWE	0	0	--	--	3,128.68	0.00	0.00	0.00	3,128.68	
OMEX	0	0	--	--	16.00	0.00	0.00	0.00	16.00	
PAA	0	0	--	--	800.00	0.00	0.00	0.00	800.00	
PCL	0	0	--	--	-672.00	0.00	0.00	0.00	-672.00	
PFF	0	0	--	--	-10,888.35	0.00	0.00	0.00	-10,888.35	
RTN	0	0	--	--	-700.00	0.00	0.00	0.00	-700.00	
SLV	0	0	--	--	3,404.00	0.00	0.00	0.00	3,404.00	
UNG	0	0	--	--	46.00	0.00	0.00	0.00	46.00	
XLU	0	0	--	--	-264.00	0.00	0.00	0.00	-264.00	
Total					-20,215.31	0.00	0.00	0.00	-20,215.31	
Equity and Index Options										
ADM 13JUN14 39.5 P	0	0	--	--	0.51	0.00	0.00	0.00	0.51	
ADM 13JUN14 44.5 C	0	0	--	--	635.65	0.00	0.00	0.00	635.65	
APL 21JUN14 28.0 P	0	0	--	--	-0.91	0.00	0.00	0.00	-0.91	
APL 21JUN14 29.0 P	0	0	--	--	-1.65	0.00	0.00	0.00	-1.65	
CQP 21JUN14 28.0 P	0	0	--	--	40.94	0.00	0.00	0.00	40.94	
CQP 21JUN14 29.0 P	0	0	--	--	414.24	0.00	0.00	0.00	414.24	
CQP 21JUN14 34.0 C	0	0	--	--	1,944.03	0.00	0.00	0.00	1,944.03	
CTL 21JUN14 33.0 P	0	0	--	--	-0.02	0.00	0.00	0.00	-0.02	
DD 06JUN14 68.5 C	0	0	--	--	451.60	0.00	0.00	0.00	451.60	
DLR 21JUN14 50.0 P	0	0	--	--	67.54	0.00	0.00	0.00	67.54	
DLR 19JUL14 50.0 P	0	0	--	--	-34.70	0.00	0.00	0.00	-34.70	
DLR 19JUL14 60.0 C	0	0	--	--	12.49	0.00	0.00	0.00	12.49	
ETP 21JUN14 50.0 P	0	0	--	--	-32.10	0.00	0.00	0.00	-32.10	
ETP 21JUN14 55.0 P	0	0	--	--	-78.64	0.00	0.00	0.00	-78.64	
GIS 21JUN14 50.0 P	0	0	--	--	42.70	0.00	0.00	0.00	42.70	
GIS 21JUN14 52.5 P	0	0	--	--	7.25	0.00	0.00	0.00	7.25	
GIS 21JUN14 55.0 C	0	0	--	--	-21.01	0.00	0.00	0.00	-21.01	
GLD 06JUN14 120.0 P	0	0	--	--	786.17	0.00	0.00	0.00	786.17	
GLD 06JUN14 121.0 P	0	0	--	--	-302.26	0.00	0.00	0.00	-302.26	
GLD 06JUN14 122.0 P	0	0	--	--	600.45	0.00	0.00	0.00	600.45	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
GLD 06JUN14 122.5 P	0	0	--	--	-298.30	0.00	0.00	0.00	-298.30	
GLD 21JUN14 130.0 C	0	0	--	--	-27.01	0.00	0.00	0.00	-27.01	
HCN 21JUN14 55.0 P	0	0	--	--	-0.93	0.00	0.00	0.00	-0.93	
HCN 21JUN14 57.5 P	0	0	--	--	-16.21	0.00	0.00	0.00	-16.21	
HYG 21JUN14 87.0 P	0	0	--	--	-0.04	0.00	0.00	0.00	-0.04	
IYR 06JUN14 71.5 C	0	0	--	--	188.77	0.00	0.00	0.00	188.77	
JNJ 21JUN14 97.5 P	0	0	--	--	-1,011.00	0.00	0.00	0.00	-1,011.00	
JNJ 21JUN14 100.0 P	0	0	--	--	-227.76	0.00	0.00	0.00	-227.76	
JNK 21JUN14 39.0 P	0	0	--	--	0.01	0.00	0.00	0.00	0.01	
KMP 21JUN14 67.5 P	0	0	--	--	60.66	0.00	0.00	0.00	60.66	
KMP 19JUL14 70.0 P	0	0	--	--	-25.13	0.00	0.00	0.00	-25.13	
LQD 21JUN14 110.0 P	0	0	--	--	0.06	0.00	0.00	0.00	0.06	
LQD 21JUN14 112.0 P	0	0	--	--	2.53	0.00	0.00	0.00	2.53	
MMP 21JUN14 70.0 P	0	0	--	--	-0.02	0.00	0.00	0.00	-0.02	
MMP 21JUN14 72.5 P	0	0	--	--	-0.24	0.00	0.00	0.00	-0.24	
MMP 21JUN14 75.0 C	0	0	--	--	-229.43	0.00	0.00	0.00	-229.43	
MMP 21JUN14 82.5 C	0	0	--	--	-97.50	0.00	0.00	0.00	-97.50	
MWE 21JUN14 55.0 P	0	0	--	--	-187.96	0.00	0.00	0.00	-187.96	
MWE 21JUN14 67.5 C	0	0	--	--	5.83	0.00	0.00	0.00	5.83	
OMEX 20SEP14 1.0 C	0	0	--	--	450.00	0.00	0.00	0.00	450.00	
PAA 21JUN14 52.5 P	0	0	--	--	1.34	0.00	0.00	0.00	1.34	
PAA 21JUN14 57.5 C	0	0	--	--	62.50	0.00	0.00	0.00	62.50	
PCL 21JUN14 39.0 P	0	0	--	--	4.78	0.00	0.00	0.00	4.78	
PCL 21JUN14 40.0 P	0	0	--	--	6.89	0.00	0.00	0.00	6.89	
PCL 21JUN14 42.0 P	0	0	--	--	-0.68	0.00	0.00	0.00	-0.68	
PCL 21JUN14 43.0 P	0	0	--	--	-15.92	0.00	0.00	0.00	-15.92	
PCL 21JUN14 44.0 C	0	0	--	--	119.92	0.00	0.00	0.00	119.92	
PFF 19JUL14 37.0 P	0	0	--	--	431.33	0.00	0.00	0.00	431.33	
RTN 21JUN14 85.0 P	0	0	--	--	-43.30	0.00	0.00	0.00	-43.30	
RTN 21JUN14 90.0 P	0	0	--	--	21.61	0.00	0.00	0.00	21.61	
RTN 21JUN14 97.5 C	0	0	--	--	179.40	0.00	0.00	0.00	179.40	
RTN 21JUN14 100.0 C	0	0	--	--	35.86	0.00	0.00	0.00	35.86	
SLV 21JUN14 22.0 C	0	0	--	--	-12.93	0.00	0.00	0.00	-12.93	
SLV 17JAN15 18.0 C	0	0	--	--	-769.30	0.00	0.00	0.00	-769.30	
Total					3,140.11	0.00	0.00	0.00	3,140.11	
Forex										
USD	20,000.00	-11,820,625.97	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					-17,075.20	0.00	0.00	0.00	-17,075.20	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	20,000.00	20,000.00	0.00
Account Transfers	-11,840,625.97	-11,840,625.97	0.00
Ending Cash	-11,820,625.97	-11,820,625.97	0.00
Ending Settled Cash	-11,820,625.97	-11,820,625.97	0.00

Transfers

Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code
Stocks											
USD											
ADM	2014-06-03	ACATS	In	--	83473317D8	2,400	--	107,640.00	0.00	0.00	
ADM	2014-06-04	Internal	Out	--	U1364161	-2,400	--	-106,584.00	0.00	0.00	
APL	2014-06-03	ACATS	In	--	83473317D8	6,700	--	219,157.00	0.00	0.00	
APL	2014-06-04	Internal	Out	--	U1364161	-6,700	--	-219,291.00	0.00	0.00	
BX	2014-06-03	ACATS	In	--	83473317D8	2,600	--	81,146.00	0.00	0.00	
BX	2014-06-04	Internal	Out	--	U1364161	-2,600	--	-81,406.00	0.00	0.00	
CORN	2014-06-10	ACATS	In	--	83473315D2	500	--	15,565.00	0.00	0.00	
CORN	2014-06-12	Internal	Out	--	U1364166	-500	--	-15,220.05	0.00	0.00	
CQP	2014-06-03	ACATS	In	--	83473317D8	6,564	--	223,176.00	0.00	0.00	
CQP	2014-06-04	Internal	Out	--	U1364161	-6,564	--	-213,330.00	0.00	0.00	
CTL	2014-06-03	ACATS	In	--	83473317D8	13,000	--	489,060.00	0.00	0.00	
CTL	2014-06-04	Internal	Out	--	U1364161	-11,700	--	-441,792.00	0.00	0.00	
CTL	2014-06-04	Internal	Out	--	U1364161	-1,300	--	-49,101.03	0.00	0.00	
DBA	2014-06-10	ACATS	In	--	83473315D2	500	--	13,760.00	0.00	0.00	
DBA	2014-06-12	Internal	Out	--	U1364166	-500	--	-13,715.00	0.00	0.00	
DBC	2014-06-10	ACATS	In	--	83473315D2	500	--	13,080.00	0.00	0.00	
DBC	2014-06-12	Internal	Out	--	U1364166	-500	--	-13,125.00	0.00	0.00	
DD	2014-06-03	ACATS	In	--	83473317D8	2,500	--	173,150.00	0.00	0.00	
DD	2014-06-04	Internal	Out	--	U1364161	-2,500	--	-172,475.00	0.00	0.00	
DLR	2014-06-03	ACATS	In	--	83473317D8	1,000	--	58,100.00	0.00	0.00	
DLR	2014-06-04	Internal	Out	--	U1364161	-1,000	--	-58,000.00	0.00	0.00	
ETP	2014-06-03	ACATS	In	--	83473317D8	2,700	--	152,793.00	0.00	0.00	
ETP	2014-06-04	Internal	Out	--	U1364161	-2,700	--	-152,577.00	0.00	0.00	
FXA	2014-06-10	ACATS	In	--	83473315D2	1,300	--	121,628.00	0.00	0.00	
FXA	2014-06-12	Internal	Out	--	U1364166	-1,300	--	-122,499.13	0.00	0.00	
FXF	2014-06-10	ACATS	In	--	83473315D2	200	--	21,798.00	0.00	0.00	
FXF	2014-06-12	Internal	Out	--	U1364166	-200	--	-21,728.00	0.00	0.00	
GANS	2014-06-03	ACATS	In	--	83473321D0	11,547	--	115,470.00	0.00	0.00	
GANS	2014-06-04	Internal	Out	--	U1364170	-11,547	--	-115,470.00	0.00	0.00	
GIS	2014-06-03	ACATS	In	--	83473317D8	600	--	32,946.00	0.00	0.00	
GIS	2014-06-04	Internal	Out	--	U1364161	-600	--	-33,114.02	0.00	0.00	
GLD	2014-06-03	ACATS	In	--	83473316D0	1,800	--	215,460.00	0.00	0.00	
GLD	2014-06-04	Internal	Out	--	U1364162	-1,800	--	-215,784.00	0.00	0.00	
GLD	2014-06-10	ACATS	In	--	83473315D2	1,700	--	205,105.00	0.00	0.00	
GLD	2014-06-12	Internal	Out	--	U1364166	-1,700	--	-208,284.00	0.00	0.00	

Transfers											
Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code
HCN	2014-06-03	ACATS	In	--	83473317D8	5,000	--	317,350.00	0.00	0.00	
HCN	2014-06-04	Internal	Out	--	U1364161	-5,000	--	-317,349.50	0.00	0.00	
HYG	2014-06-03	ACATS	In	--	83473317D8	10,800	--	1,018,656.00	0.00	0.00	
HYG	2014-06-04	Internal	Out	--	U1364161	-10,800	--	-1,018,980.00	0.00	0.00	
IYR	2014-06-03	ACATS	In	--	83473317D8	5,000	--	359,000.00	0.00	0.00	
IYR	2014-06-04	Internal	Out	--	U1364161	-5,000	--	-357,300.00	0.00	0.00	
JJC	2014-06-10	ACATS	In	--	83473315D2	700	--	26,012.00	0.00	0.00	
JJC	2014-06-12	Internal	Out	--	U1364166	-700	--	-25,662.00	0.00	0.00	
JNJ	2014-06-03	ACATS	In	--	83473320D2	62,928	--	6,429,353.76	0.00	0.00	
JNJ	2014-06-04	Internal	Out	--	U1364167	-62,928	--	-6,417,397.44	0.00	0.00	
JNK	2014-06-03	ACATS	In	--	83473317D8	16,505	--	681,821.55	0.00	0.00	
JNK	2014-06-04	Internal	Out	--	U1364161	-16,505	--	-681,986.60	0.00	0.00	
KMP	2014-06-03	ACATS	In	--	83473317D8	6,300	--	481,824.00	0.00	0.00	
KMP	2014-06-04	Internal	Out	--	U1364161	-6,300	--	-486,756.90	0.00	0.00	
LQD	2014-06-03	ACATS	In	--	83473317D8	4,600	--	545,514.00	0.00	0.00	
LQD	2014-06-04	Internal	Out	--	U1364161	-4,600	--	-543,720.00	0.00	0.00	
MMP	2014-06-03	ACATS	In	--	83473317D8	3,200	--	263,904.00	0.00	0.00	
MMP	2014-06-04	Internal	Out	--	U1364161	-3,200	--	-264,736.00	0.00	0.00	
MWE	2014-06-03	ACATS	In	--	83473317D8	6,800	--	423,436.00	0.00	0.00	
MWE	2014-06-04	Internal	Out	--	U1364161	-6,800	--	-426,564.68	0.00	0.00	
OMEX	2014-06-03	ACATS	In	--	83473318D6	100	--	131.00	0.00	0.00	
OMEX	2014-06-12	Internal	Out	--	U1364165	-100	--	-147.00	0.00	0.00	
PAA	2014-06-03	ACATS	In	--	83473317D8	5,000	--	285,950.00	0.00	0.00	
PAA	2014-06-04	Internal	Out	--	U1364161	-5,000	--	-286,750.00	0.00	0.00	
PCL	2014-06-03	ACATS	In	--	83473317D8	2,400	--	107,688.00	0.00	0.00	
PCL	2014-06-04	Internal	Out	--	U1364161	-2,400	--	-107,016.00	0.00	0.00	
PFF	2014-06-03	ACATS	In	--	83473317D8	16,500	--	654,060.00	0.00	0.00	
PFF	2014-06-04	Internal	Out	--	U1364161	-16,500	--	-643,171.65	0.00	0.00	
RTN	2014-06-03	ACATS	In	--	83473317D8	3,500	--	343,210.00	0.00	0.00	
RTN	2014-06-04	Internal	Out	--	U1364161	-3,500	--	-342,510.00	0.00	0.00	
SLV	2014-06-03	ACATS	In	--	83473318D6	1,000	--	18,020.00	0.00	0.00	
SLV	2014-06-04	Internal	Out	--	U1364166	-1,000	--	-18,080.00	0.00	0.00	
SLV	2014-06-10	ACATS	In	--	83473315D2	8,800	--	161,216.00	0.00	0.00	
SLV	2014-06-12	Internal	Out	--	U1364166	-8,800	--	-164,560.00	0.00	0.00	
UNG	2014-06-10	ACATS	In	--	83473315D2	200	--	5,142.00	0.00	0.00	
UNG	2014-06-12	Internal	Out	--	U1364166	-200	--	-5,188.00	0.00	0.00	
UUP	2014-06-10	ACATS	In	--	83473315D2	-100	--	-2,155.00	0.00	0.00	
UUP	2014-06-12	Internal	Out	--	U1364166	100	--	2,155.00	0.00	0.00	
XLU	2014-06-03	ACATS	In	--	83473317D8	4,400	--	188,012.00	0.00	0.00	
XLU	2014-06-04	Internal	Out	--	U1364161	-4,400	--	-187,748.00	0.00	0.00	
Total								20,215.31	0.00	0.00	
Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code
Equity and Index Options											
USD											
ADM 13JUN14 39.5 P	2014-06-03	ACATS	In	--	83473317D8	18	--	9.02	0.00	0.00	
ADM 13JUN14 39.5 P	2014-06-04	Internal	Out	--	U1364161	-18	--	-9.53	0.00	0.00	

Transfers										
ADM 13JUN14 44.5 C	2014-06-03	ACATS	In	--	83473317D8	-18	--	-1,252.75	0.00	0.00
ADM 13JUN14 44.5 C	2014-06-04	Internal	Out	--	U1364161	18	--	617.10	0.00	0.00
APL 21JUN14 28.0 P	2014-06-03	ACATS	In	--	83473317D8	51	--	2.86	0.00	0.00
APL 21JUN14 28.0 P	2014-06-04	Internal	Out	--	U1364161	-51	--	-1.95	0.00	0.00
APL 21JUN14 29.0 P	2014-06-03	ACATS	In	--	83473317D8	17	--	9.57	0.00	0.00
APL 21JUN14 29.0 P	2014-06-04	Internal	Out	--	U1364161	-17	--	-7.92	0.00	0.00
CQP 21JUN14 28.0 P	2014-06-03	ACATS	In	--	83473317D8	13	--	7.90	0.00	0.00
CQP 21JUN14 28.0 P	2014-06-04	Internal	Out	--	U1364161	-13	--	-48.84	0.00	0.00
CQP 21JUN14 29.0 P	2014-06-03	ACATS	In	--	83473317D8	53	--	117.69	0.00	0.00
CQP 21JUN14 29.0 P	2014-06-04	Internal	Out	--	U1364161	-53	--	-531.93	0.00	0.00
CQP 21JUN14 34.0 C	2014-06-03	ACATS	In	--	83473317D8	-33	--	-2,604.03	0.00	0.00
CQP 21JUN14 34.0 C	2014-06-04	Internal	Out	--	U1364161	33	--	660.00	0.00	0.00
CTL 21JUN14 30.0 P	2014-06-03	ACATS	In	--	83473317D8	117	--	0.01	0.00	0.00
CTL 21JUN14 30.0 P	2014-06-04	Internal	Out	--	U1364161	-117	--	-0.01	0.00	0.00
CTL 21JUN14 32.0 P	2014-06-03	ACATS	In	--	83473317D8	15	--	0.02	0.00	0.00
CTL 21JUN14 32.0 P	2014-06-04	Internal	Out	--	U1364161	-15	--	-0.02	0.00	0.00
CTL 21JUN14 33.0 P	2014-06-03	ACATS	In	--	83473317D8	5	--	0.17	0.00	0.00
CTL 21JUN14 33.0 P	2014-06-04	Internal	Out	--	U1364161	-5	--	-0.15	0.00	0.00
DD 06JUN14 62.0 P	2014-06-03	ACATS	In	--	83473317D8	26	--	0.00	0.00	0.00
DD 06JUN14 62.0 P	2014-06-04	Internal	Out	--	U1364161	-26	--	0.00	0.00	0.00
DD 06JUN14 68.5 C	2014-06-03	ACATS	In	--	83473317D8	-13	--	-1,219.67	0.00	0.00
DD 06JUN14 68.5 C	2014-06-04	Internal	Out	--	U1364161	13	--	768.07	0.00	0.00
DLR 19JUL14 50.0 P	2014-06-03	ACATS	In	--	83473317D8	5	--	147.97	0.00	0.00
DLR 19JUL14 50.0 P	2014-06-04	Internal	Out	--	U1364161	-5	--	-113.27	0.00	0.00
DLR 19JUL14 60.0 C	2014-06-03	ACATS	In	--	83473317D8	-5	--	-274.90	0.00	0.00
DLR 19JUL14 60.0 C	2014-06-04	Internal	Out	--	U1364161	5	--	262.41	0.00	0.00
DLR 21JUN14 50.0 P	2014-06-03	ACATS	In	--	83473317D8	6	--	2.50	0.00	0.00
DLR 21JUN14 50.0 P	2014-06-04	Internal	Out	--	U1364161	-6	--	-70.04	0.00	0.00
ETP 21JUN14 50.0 P	2014-06-03	ACATS	In	--	83473317D8	58	--	54.15	0.00	0.00
ETP 21JUN14 50.0 P	2014-06-04	Internal	Out	--	U1364161	-58	--	-22.05	0.00	0.00
ETP 21JUN14 55.0 P	2014-06-03	ACATS	In	--	83473317D8	-20	--	-321.34	0.00	0.00
ETP 21JUN14 55.0 P	2014-06-04	Internal	Out	--	U1364161	20	--	399.98	0.00	0.00
GIS 21JUN14 50.0 P	2014-06-03	ACATS	In	--	83473317D8	16	--	0.91	0.00	0.00
GIS 21JUN14 50.0 P	2014-06-04	Internal	Out	--	U1364161	-16	--	-43.61	0.00	0.00
GIS 21JUN14 52.5 P	2014-06-03	ACATS	In	--	83473317D8	-10	--	-58.57	0.00	0.00
GIS 21JUN14 52.5 P	2014-06-04	Internal	Out	--	U1364161	10	--	51.32	0.00	0.00
GIS 21JUN14 55.0 C	2014-06-03	ACATS	In	--	83473317D8	-3	--	-139.50	0.00	0.00
GIS 21JUN14 55.0 C	2014-06-04	Internal	Out	--	U1364161	3	--	160.51	0.00	0.00
GLD 06JUN14 120.0 P	2014-06-03	ACATS	In	--	83473316D0	-20	--	-1,906.17	0.00	0.00
GLD 06JUN14 120.0 P	2014-06-04	Internal	Out	--	U1364162	20	--	1,120.00	0.00	0.00

Transfers										
GLD 06JUN14 121.0 P	2014-06-03	ACATS	In	--	83473316D0	10	--	1,593.22	0.00	0.00
GLD 06JUN14 121.0 P	2014-06-04	Internal	Out	--	U1364162	-10	--	-1,290.96	0.00	0.00
GLD 06JUN14 122.0 P	2014-06-03	ACATS	In	--	83473316D0	-20	--	-4,820.45	0.00	0.00
GLD 06JUN14 122.0 P	2014-06-04	Internal	Out	--	U1364162	20	--	4,220.00	0.00	0.00
GLD 06JUN14 122.5 P	2014-06-03	ACATS	In	--	83473316D0	10	--	2,863.73	0.00	0.00
GLD 06JUN14 122.5 P	2014-06-04	Internal	Out	--	U1364162	-10	--	-2,565.43	0.00	0.00
GLD 21JUN14 130.0 C	2014-06-03	ACATS	In	--	83473316D0	50	--	210.17	0.00	0.00
GLD 21JUN14 130.0 C	2014-06-04	Internal	Out	--	U1364162	-50	--	-183.16	0.00	0.00
HCN 21JUN14 55.0 P	2014-06-03	ACATS	In	--	83473317D8	25	--	1.35	0.00	0.00
HCN 21JUN14 55.0 P	2014-06-04	Internal	Out	--	U1364161	-25	--	-0.42	0.00	0.00
HCN 21JUN14 57.5 P	2014-06-03	ACATS	In	--	83473317D8	25	--	34.40	0.00	0.00
HCN 21JUN14 57.5 P	2014-06-04	Internal	Out	--	U1364161	-25	--	-18.19	0.00	0.00
HYG 06JUN14 88.0 P	2014-06-03	ACATS	In	--	83473317D8	41	--	0.00	0.00	0.00
HYG 06JUN14 88.0 P	2014-06-04	Internal	Out	--	U1364161	-41	--	0.00	0.00	0.00
HYG 06JUN14 89.0 P	2014-06-03	ACATS	In	--	83473317D8	60	--	0.01	0.00	0.00
HYG 06JUN14 89.0 P	2014-06-04	Internal	Out	--	U1364161	-60	--	0.00	0.00	0.00
HYG 13JUN14 89.0 P	2014-06-03	ACATS	In	--	83473317D8	55	--	0.01	0.00	0.00
HYG 13JUN14 89.0 P	2014-06-04	Internal	Out	--	U1364161	-55	--	0.00	0.00	0.00
HYG 21JUN14 87.0 P	2014-06-03	ACATS	In	--	83473317D8	10	--	0.05	0.00	0.00
HYG 21JUN14 87.0 P	2014-06-04	Internal	Out	--	U1364161	-10	--	0.00	0.00	0.00
IYR 06JUN14 63.0 P	2014-06-03	ACATS	In	--	83473317D8	50	--	0.01	0.00	0.00
IYR 06JUN14 63.0 P	2014-06-04	Internal	Out	--	U1364161	-50	--	0.00	0.00	0.00
IYR 06JUN14 71.5 C	2014-06-03	ACATS	In	--	83473317D8	-25	--	-1,356.63	0.00	0.00
IYR 06JUN14 71.5 C	2014-06-04	Internal	Out	--	U1364161	25	--	1,167.86	0.00	0.00
JNJ 21JUN14 100.0 P	2014-06-03	ACATS	In	--	83473320D2	50	--	1,933.81	0.00	0.00
JNJ 21JUN14 100.0 P	2014-06-04	Internal	Out	--	U1364167	-50	--	-1,706.06	0.00	0.00
JNJ 21JUN14 97.5 P	2014-06-03	ACATS	In	--	83473320D2	580	--	6,884.37	0.00	0.00
JNJ 21JUN14 97.5 P	2014-06-04	Internal	Out	--	U1364167	-580	--	-5,873.37	0.00	0.00
JNK 21JUN14 39.0 P	2014-06-03	ACATS	In	--	83473317D8	167	--	0.02	0.00	0.00
JNK 21JUN14 39.0 P	2014-06-04	Internal	Out	--	U1364161	-167	--	-0.03	0.00	0.00
KMP 19JUL14 70.0 P	2014-06-03	ACATS	In	--	83473317D8	16	--	249.13	0.00	0.00
KMP 19JUL14 70.0 P	2014-06-04	Internal	Out	--	U1364161	-16	--	-224.00	0.00	0.00

Transfers										
KMP 21JUN14 67.5 P	2014-06-03	ACATS	In	--	83473317D8	49	--	64.41	0.00	0.00
KMP 21JUN14 67.5 P	2014-06-04	Internal	Out	--	U1364161	-49	--	-125.07	0.00	0.00
LQD 21JUN14 110.0 P	2014-06-03	ACATS	In	--	83473317D8	25	--	0.13	0.00	0.00
LQD 21JUN14 110.0 P	2014-06-04	Internal	Out	--	U1364161	-25	--	-0.19	0.00	0.00
LQD 21JUN14 112.0 P	2014-06-03	ACATS	In	--	83473317D8	25	--	4.19	0.00	0.00
LQD 21JUN14 112.0 P	2014-06-04	Internal	Out	--	U1364161	-25	--	-6.72	0.00	0.00
MMP 21JUN14 67.5 P	2014-06-03	ACATS	In	--	83473317D8	20	--	0.00	0.00	0.00
MMP 21JUN14 67.5 P	2014-06-04	Internal	Out	--	U1364161	-20	--	0.00	0.00	0.00
MMP 21JUN14 70.0 P	2014-06-03	ACATS	In	--	83473317D8	10	--	0.02	0.00	0.00
MMP 21JUN14 70.0 P	2014-06-04	Internal	Out	--	U1364161	-10	--	0.00	0.00	0.00
MMP 21JUN14 72.5 P	2014-06-03	ACATS	In	--	83473317D8	3	--	0.26	0.00	0.00
MMP 21JUN14 72.5 P	2014-06-04	Internal	Out	--	U1364161	-3	--	-0.02	0.00	0.00
MMP 21JUN14 75.0 C	2014-06-03	ACATS	In	--	83473317D8	-10	--	-7,476.70	0.00	0.00
MMP 21JUN14 75.0 C	2014-06-04	Internal	Out	--	U1364161	10	--	7,706.13	0.00	0.00
MMP 21JUN14 82.5 C	2014-06-03	ACATS	In	--	83473317D8	-13	--	-1,365.04	0.00	0.00
MMP 21JUN14 82.5 C	2014-06-04	Internal	Out	--	U1364161	13	--	1,462.54	0.00	0.00
MWE 21JUN14 55.0 P	2014-06-03	ACATS	In	--	83473317D8	70	--	212.08	0.00	0.00
MWE 21JUN14 55.0 P	2014-06-04	Internal	Out	--	U1364161	-70	--	-24.12	0.00	0.00
MWE 21JUN14 67.5 C	2014-06-03	ACATS	In	--	83473317D8	-10	--	-33.58	0.00	0.00
MWE 21JUN14 67.5 C	2014-06-04	Internal	Out	--	U1364161	10	--	27.75	0.00	0.00
OMEX 20SEP14 1.0 C	2014-06-03	ACATS	In	--	83473318D6	30	--	1,425.01	0.00	0.00
OMEX 20SEP14 1.0 C	2014-06-12	Internal	Out	--	U1364165	-30	--	-1,875.01	0.00	0.00
PAA 21JUN14 50.0 P	2014-06-03	ACATS	In	--	83473317D8	25	--	0.09	0.00	0.00
PAA 21JUN14 50.0 P	2014-06-04	Internal	Out	--	U1364161	-25	--	-0.10	0.00	0.00
PAA 21JUN14 52.5 P	2014-06-03	ACATS	In	--	83473317D8	25	--	14.57	0.00	0.00
PAA 21JUN14 52.5 P	2014-06-04	Internal	Out	--	U1364161	-25	--	-15.92	0.00	0.00
PAA 21JUN14 57.5 C	2014-06-03	ACATS	In	--	83473317D8	-25	--	-1,312.54	0.00	0.00
PAA 21JUN14 57.5 C	2014-06-04	Internal	Out	--	U1364161	25	--	1,250.04	0.00	0.00
PCL 21JUN14 39.0 P	2014-06-03	ACATS	In	--	83473317D8	30	--	7.45	0.00	0.00
PCL 21JUN14 39.0 P	2014-06-04	Internal	Out	--	U1364161	-30	--	-12.22	0.00	0.00
PCL 21JUN14 40.0 P	2014-06-03	ACATS	In	--	83473317D8	10	--	10.63	0.00	0.00
PCL 21JUN14 40.0 P	2014-06-04	Internal	Out	--	U1364161	-10	--	-17.52	0.00	0.00
PCL 21JUN14 42.0 P	2014-06-03	ACATS	In	--	83473317D8	-5	--	-36.58	0.00	0.00

Transfers										
PCL 21JUN14 42.0 P	2014-06-04	Internal	Out	--	U1364161	5	--	37.26	0.00	0.00
PCL 21JUN14 43.0 P	2014-06-03	ACATS	In	--	83473317D8	-10	--	-110.48	0.00	0.00
PCL 21JUN14 43.0 P	2014-06-04	Internal	Out	--	U1364161	10	--	126.40	0.00	0.00
PCL 21JUN14 44.0 C	2014-06-03	ACATS	In	--	83473317D8	-5	--	-574.02	0.00	0.00
PCL 21JUN14 44.0 C	2014-06-04	Internal	Out	--	U1364161	5	--	454.10	0.00	0.00
PFF 19JUL14 37.0 P	2014-06-03	ACATS	In	--	83473317D8	57	--	106.15	0.00	0.00
PFF 19JUL14 37.0 P	2014-06-04	Internal	Out	--	U1364161	-57	--	-537.48	0.00	0.00
PFF 21JUN14 36.0 P	2014-06-03	ACATS	In	--	83473317D8	113	--	0.01	0.00	0.00
PFF 21JUN14 36.0 P	2014-06-04	Internal	Out	--	U1364161	-113	--	-0.01	0.00	0.00
RTN 21JUN14 100.0 C	2014-06-03	ACATS	In	--	83473317D8	-5	--	-280.42	0.00	0.00
RTN 21JUN14 100.0 C	2014-06-04	Internal	Out	--	U1364161	5	--	244.56	0.00	0.00
RTN 21JUN14 85.0 P	2014-06-03	ACATS	In	--	83473317D8	20	--	89.28	0.00	0.00
RTN 21JUN14 85.0 P	2014-06-04	Internal	Out	--	U1364161	-20	--	-45.98	0.00	0.00
RTN 21JUN14 90.0 P	2014-06-03	ACATS	In	--	83473317D8	10	--	82.46	0.00	0.00
RTN 21JUN14 90.0 P	2014-06-04	Internal	Out	--	U1364161	-10	--	-104.07	0.00	0.00
RTN 21JUN14 97.5 C	2014-06-03	ACATS	In	--	83473317D8	-10	--	-1,733.81	0.00	0.00
RTN 21JUN14 97.5 C	2014-06-04	Internal	Out	--	U1364161	10	--	1,554.41	0.00	0.00
SLV 17JAN15 18.0 C	2014-06-10	ACATS	In	--	83473315D2	225	--	33,059.66	0.00	0.00
SLV 17JAN15 18.0 C	2014-06-12	Internal	Out	--	U1364166	-225	--	-32,290.36	0.00	0.00
SLV 21JUN14 22.0 C	2014-06-10	ACATS	In	--	83473315D2	300	--	13.02	0.00	0.00
SLV 21JUN14 22.0 C	2014-06-12	Internal	Out	--	U1364166	-300	--	-0.09	0.00	0.00
Total								-3,140.11	0.00	0.00

Interest Accruals	
Base Currency Summary	
Starting Accrual Balance	0.00
Interest Accrued	-4,122.29
Accrual Reversal	0.00
Ending Accrual Balance	-4,122.29

Deposits & Withdrawals		
Date	Description	Amount
USD		
2014-06-04	ACATS Transfer In From Account 83473315D2	-11,893,650.69
2014-06-24	ACATS Transfer In From Account 83473315D2	53,024.72
Total		-11,840,625.97

Commissions Charged in Service Accounts						
Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	1,621.72	-1,621.72	0.00	0.00
U1364162	-	-	273.24	-273.24	0.00	0.00
U1364165	-	-	22.45	-22.45	0.00	0.00
U1364166	-	-	23.94	-23.94	0.00	0.00
U1364167	-	-	443.34	-443.34	0.00	0.00
Total			2,384.69	-2,384.69	0.00	

Soft Dollar Activity

Account	Date	Description	Amount
Starting Soft Dollar Balance in USD			191.48
USD			
U1364161	-	-	203.19
U1364166	-	-	7.60
U1364167	-	-	0.00
Total			210.79
Ending Soft Dollar Balance in USD			402.27

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
ADM	ARCHER-DANIELS-MIDLAND CO	4165	039483102		1		
APL	ATLAS PIPELINE PARTNERS LP	14443538	049392103		1		
BX	BLACKSTONE GROUP LP/THE	44539989	09253U108		1		
CORN	TEUCRIUM CORN FUND	76093929	88166A102		1		
CQP	CHENIERE ENERGY PARTNERS LP	43502814	16411Q101		1		
CTL	CENTURYLINK INC	6180	156700106		1		
DBA	POWERSHARES DB AGRICULTURE F	42393355	73936B408		1		
DBC	POWERSHARES DB COMMODITY IND	40369373	73935S105		1		
DD	DU PONT (E.I.) DE NEMOURS	6366	263534109		1		
DLR	DIGITAL REALTY TRUST INC.	31832526	253868103		1		
ETP	ENERGY TRANSFER PARTNERS LP	28004997	29273R109		1		
FXA	CURRENCYSHARES AUSTRALIAN DO	39881195	23129U101		1		
FXF	CURRENCYSHARES SWISS FRANC	39881181	23129V109		1		
GANS	GAINSCO INC	60765093	363127309		1		
GIS	GENERAL MILLS INC	7616	370334104		1		
GLD	SPDR GOLD SHARES	51529211	78463V107		1		
HCN	HEALTH CARE REIT INC	7918	42217K106		1		
HYG	ISHARES IBOX HIGH YIELD COR	43652089	464288513		1		
IYR	ISHARES US REAL ESTATE ETF	10209369	464287739		1		
JJC	IPATH DJ-UBS COPPER SUBINDX	46943351	06739F101		1		
JNJ	JOHNSON & JOHNSON	8719	478160104		1		
JNK	SPDR BARCLAYS HIGH YIELD BD	47549883	78464A417		1		
KMP	KINDER MORGAN ENERGY PRTNRS	2586269	494550106		1		

Financial Instrument Information

LQD	ISHARES IBOXX INVESTMENT GRA	15547816	464287242		1				
MMP	MAGELLAN MIDSTREAM PARTNERS	21555838	559080106		1				
MWE	MARKWEST ENERGY PARTNERS LP	15109564	570759100		1				
OMEX	ODYSSEY MARINE EXPLORATION	26770563	676118102		1				
PAA	PLAINS ALL AMER PIPELINE LP	6608417	726503105		1				
PCL	PLUM CREEK TIMBER CO	10948	729251108		1				
PFF	ISHARES US PREFERRED STOCK E	41037032	464288687		1				
RTN	RAYTHEON COMPANY	11758	755111507		1				
SLV	ISHARES SILVER TRUST	39039301	46428Q109		1				
UNG	US NATURAL GAS FUND LP	102194440	912318201		1				
UUP	POWERSHARES DB US DOL IND BU	42922245	73936D107		1				
XLU	UTILITIES SELECT SECTOR SPDR	4215235	81369Y886		1				

Symbol	Description	Conid	Listing Exch	Multiplier	Expiry	Delivery Month	Type	Strike	Code
Equity and Index Options									
ADM 140613C00044500	ADM 13JUN14 44.5 C	150357836		100	2014-06-13	2014-06	C	44.5	
ADM 140613P00039500	ADM 13JUN14 39.5 P	150357933		100	2014-06-13	2014-06	P	39.5	
ADM 140703P00040500	ADM 03JUL14 40.5 P	152576082		100	2014-07-03	2014-07	P	40.5	
ADM 140703P00041000	ADM 03JUL14 41.0 P	152576093		100	2014-07-03	2014-07	P	41	
APL 140621P00028000	APL 21JUN14 28.0 P	149011406		100	2014-06-21	2014-06	P	28	
APL 140621P00029000	APL 21JUN14 29.0 P	149011408		100	2014-06-21	2014-06	P	29	
BX 140613P00029500	BX 13JUN14 29.5 P	150370419		100	2014-06-13	2014-06	P	29.5	
BX 140613P00030500	BX 13JUN14 30.5 P	150370425		100	2014-06-13	2014-06	P	30.5	
BX 140621P00030000	BX 21JUN14 30.0 P	136641598		100	2014-06-21	2014-06	P	30	
BX 140627P00030500	BX 27JUN14 30.5 P	150838816		100	2014-06-27	2014-06	P	30.5	
CQP 140621C00034000	CQP 21JUN14 34.0 C	136663980		100	2014-06-21	2014-06	C	34	
CQP 140621P00028000	CQP 21JUN14 28.0 P	136664027		100	2014-06-21	2014-06	P	28	
CQP 140621P00029000	CQP 21JUN14 29.0 P	136664030		100	2014-06-21	2014-06	P	29	
CQP 140719P00028000	CQP 19JUL14 28.0 P	151813224		100	2014-07-19	2014-07	P	28	
CQP 140719P00029000	CQP 19JUL14 29.0 P	151813229		100	2014-07-19	2014-07	P	29	
CTL 140621P00030000	CTL 21JUN14 30.0 P	149069134		100	2014-06-21	2014-06	P	30	

Financial Instrument Information

CTL 140621P00032000	CTL 21JUN14 32.0 P	149069141		100	2014-06-21	2014-06	P	32	
CTL 140621P00033000	CTL 21JUN14 33.0 P	149069146		100	2014-06-21	2014-06	P	33	
DBA 140621P00027000	DBA 21JUN14 27.0 P	149076204		100	2014-06-21	2014-06	P	27	
DD 140606C00068500	DD 06JUN14 68.5 C	149770884		100	2014-06-06	2014-06	C	68.5	
DD 140606P00062000	DD 06JUN14 62.0 P	149770964		100	2014-06-06	2014-06	P	62	
DD 140621P00062500	DD 21JUN14 62.5 P	149077868		100	2014-06-21	2014-06	P	62.5	
DD 140621P00068500	DD 21JUN14 68.5 P	155600173		100	2014-06-21	2014-06	P	68.5	
DD 140627P00062000	DD 27JUN14 62.0 P	150849987		100	2014-06-27	2014-06	P	62	
DD 140703P00061000	DD 03JUL14 61.0 P	152596707		100	2014-07-03	2014-07	P	61	
DLR 140621P00050000	DLR 21JUN14 50.0 P	149083372		100	2014-06-21	2014-06	P	50	
DLR 140719C00060000	DLR 19JUL14 60.0 C	138598826		100	2014-07-19	2014-07	C	60	
DLR 140719P00050000	DLR 19JUL14 50.0 P	138598857		100	2014-07-19	2014-07	P	50	
ETP 140621P00050000	ETP 21JUN14 50.0 P	136700220		100	2014-06-21	2014-06	P	50	
ETP 140621P00055000	ETP 21JUN14 55.0 P	136700228		100	2014-06-21	2014-06	P	55	
ETP 140719C00057500	ETP 19JUL14 57.5 C	151851107		100	2014-07-19	2014-07	C	57.5	
ETP 140719P00050000	ETP 19JUL14 50.0 P	151851158		100	2014-07-19	2014-07	P	50	
FXF 140719C00107000	FXF 19JUL14 107.0 C	151869943		100	2014-07-19	2014-07	C	107	
FXF 140719P00107000	FXF 19JUL14 107.0 P	151870043		100	2014-07-19	2014-07	P	107	
GIS 140621C00055000	GIS 21JUN14 55.0 C	149134108		100	2014-06-21	2014-06	C	55	
GIS 140621P00050000	GIS 21JUN14 50.0 P	149134139		100	2014-06-21	2014-06	P	50	
GIS 140621P00052500	GIS 21JUN14 52.5 P	149134142		100	2014-06-21	2014-06	P	52.5	
GLD 140606P00120000	GLD 06JUN14 120.0 P	149792578		100	2014-06-06	2014-06	P	120	
GLD 140606P00121000	GLD 06JUN14 121.0 P	149792584		100	2014-06-06	2014-06	P	121	
GLD 140606P00122000	GLD 06JUN14 122.0 P	149792595		100	2014-06-06	2014-06	P	122	
GLD 140606P00122500	GLD 06JUN14 122.5 P	149792589		100	2014-06-06	2014-06	P	122.5	
GLD 140621C00130000	GLD 21JUN14 130.0 C	125885151		100	2014-06-21	2014-06	C	130	
HCN 140621P00055000	HCN 21JUN14 55.0 P	136737849		100	2014-06-21	2014-06	P	55	
HCN 140621P00057500	HCN 21JUN14 57.5 P	136737852		100	2014-06-21	2014-06	P	57.5	
HCN 140719P00057500	HCN 19JUL14 57.5 P	151887339		100	2014-07-19	2014-07	P	57.5	

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HYG 140606P00088000	HYG 06JUN14 88.0 P	149801824		100	2014-06-06	2014-06	P	88	
HYG 140606P00089000	HYG 06JUN14 89.0 P	149801834		100	2014-06-06	2014-06	P	89	
HYG 140606P00094500	HYG 06JUN14 94.5 P	149801870		100	2014-06-06	2014-06	P	94.5	
HYG 140613P00089000	HYG 13JUN14 89.0 P	150402839		100	2014-06-13	2014-06	P	89	
HYG 140621P00087000	HYG 21JUN14 87.0 P	136746680		100	2014-06-21	2014-06	P	87	
HYG 140621P00088000	HYG 21JUN14 88.0 P	136746685		100	2014-06-21	2014-06	P	88	
HYG 140621P00094000	HYG 21JUN14 94.0 P	136746708		100	2014-06-21	2014-06	P	94	
HYG 140627P00094500	HYG 27JUN14 94.5 P	150882276		100	2014-06-27	2014-06	P	94.5	
HYG 140703P00088000	HYG 03JUL14 88.0 P	152619783		100	2014-07-03	2014-07	P	88	
IYR 140606C00071500	IYR 06JUN14 71.5 C	149806374		100	2014-06-06	2014-06	C	71.5	
IYR 140606P00063000	IYR 06JUN14 63.0 P	149806440		100	2014-06-06	2014-06	P	63	
IYR 140621P00066000	IYR 21JUN14 66.0 P	136764504		100	2014-06-21	2014-06	P	66	
JNJ 140621P00097500	JNJ 21JUN14 97.5 P	146850864		100	2014-06-21	2014-06	P	97.5	
JNJ 140621P00100000	JNJ 21JUN14 100.0 P	147280061		100	2014-06-21	2014-06	P	100	
JNK 140621P00039000	JNK 21JUN14 39.0 P	136767467		100	2014-06-21	2014-06	P	39	
KMP 140621P00067500	KMP 21JUN14 67.5 P	145462064		100	2014-06-21	2014-06	P	67.5	
KMP 140719P00070000	KMP 19JUL14 70.0 P	151923854		100	2014-07-19	2014-07	P	70	
LQD 140621P00110000	LQD 21JUN14 110.0 P	136783189		100	2014-06-21	2014-06	P	110	
LQD 140621P00112000	LQD 21JUN14 112.0 P	136783199		100	2014-06-21	2014-06	P	112	
MMP 140621C00075000	MMP 21JUN14 75.0 C	149215541		100	2014-06-21	2014-06	C	75	
MMP 140621C00082500	MMP 21JUN14 82.5 C	149215554		100	2014-06-21	2014-06	C	82.5	
MMP 140621P00067500	MMP 21JUN14 67.5 P	149215574		100	2014-06-21	2014-06	P	67.5	
MMP 140621P00070000	MMP 21JUN14 70.0 P	149215577		100	2014-06-21	2014-06	P	70	
MMP 140621P00072500	MMP 21JUN14 72.5 P	149215580		100	2014-06-21	2014-06	P	72.5	
MMP 140621P00082500	MMP 21JUN14 82.5 P	149215599		100	2014-06-21	2014-06	P	82.5	
MMP 140719C00085000	MMP 19JUL14 85.0 C	144010712		100	2014-07-19	2014-07	C	85	
MMP 140719P00072500	MMP 19JUL14 72.5 P	144010717		100	2014-07-19	2014-07	P	72.5	
MWE 140621C00067500	MWE 21JUN14 67.5 C	149223645		100	2014-06-21	2014-06	C	67.5	
MWE 140621P00055000	MWE 21JUN14 55.0 P	149223681		100	2014-06-21	2014-06	P	55	

Financial Instrument Information

MWE 140719P00057500	MWE 19JUL14 57.5 P	151955616		100	2014-07-19	2014-07	P	57.5	
OMEX 140920C00001000	OMEX 20SEP14 1.0 C	142592118		100	2014-09-20	2014-09	C	1	
PAA 140621C00057500	PAA 21JUN14 57.5 C	149248895		100	2014-06-21	2014-06	C	57.5	
PAA 140621P00050000	PAA 21JUN14 50.0 P	149248926		100	2014-06-21	2014-06	P	50	
PAA 140621P00052500	PAA 21JUN14 52.5 P	149248930		100	2014-06-21	2014-06	P	52.5	
PAA 140719C00057500	PAA 19JUL14 57.5 C	151976271		100	2014-07-19	2014-07	C	57.5	
PAA 140719P00052500	PAA 19JUL14 52.5 P	151976326		100	2014-07-19	2014-07	P	52.5	
PCL 140621C00044000	PCL 21JUN14 44.0 C	149251685		100	2014-06-21	2014-06	C	44	
PCL 140621P00039000	PCL 21JUN14 39.0 P	149251733		100	2014-06-21	2014-06	P	39	
PCL 140621P00040000	PCL 21JUN14 40.0 P	149251738		100	2014-06-21	2014-06	P	40	
PCL 140621P00042000	PCL 21JUN14 42.0 P	149251747		100	2014-06-21	2014-06	P	42	
PCL 140621P00043000	PCL 21JUN14 43.0 P	149251750		100	2014-06-21	2014-06	P	43	
PFF 140621P00036000	PFF 21JUN14 36.0 P	149255293		100	2014-06-21	2014-06	P	36	
PFF 140719P00036000	PFF 19JUL14 36.0 P	138723698		100	2014-07-19	2014-07	P	36	
PFF 140719P00037000	PFF 19JUL14 37.0 P	138723703		100	2014-07-19	2014-07	P	37	
RTN 140621C00097500	RTN 21JUN14 97.5 C	149284526		100	2014-06-21	2014-06	C	97.5	
RTN 140621C00100000	RTN 21JUN14 100.0 C	149284461		100	2014-06-21	2014-06	C	100	
RTN 140621P00085000	RTN 21JUN14 85.0 P	149284572		100	2014-06-21	2014-06	P	85	
RTN 140621P00090000	RTN 21JUN14 90.0 P	149284581		100	2014-06-21	2014-06	P	90	
SLV 140621C00022000	SLV 21JUN14 22.0 C	143949843		100	2014-06-21	2014-06	C	22	
SLV 150117C00018000	SLV 17JAN15 18.0 C	116737516		100	2015-01-17	2015-01	C	18	
XLU 140621P00040000	XLU 21JUN14 40.0 P	136943253		100	2014-06-21	2014-06	P	40	
XLU 140627P00040000	XLU 27JUN14 40.0 P	150955733		100	2014-06-27	2014-06	P	40	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.

Notes/Legal Notes

10. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
11. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
12. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
13. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member

EXHIBIT 5



Activity Statement

June 1, 2014 - June 30, 2014

[?](#) Help

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information	
Name	Kinetic Funds I, LLC
Account Alias	KF-YIELD
Account	U1364161
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

	May 31, 2014			June 30, 2014			Change	Total	Change in NAV	Total						
	Total	Long	Short	Total	Long	Short					Starting Value	Mark-to-Market	Deposits & Withdrawals	Position Transfers	Dividends	Change in Dividend Accruals
Cash	99,511.50	0.00	-628,821.21	-628,821.21	0.00	-728,332.71	99,592.77	99,592.77	Mark-to-Market	117,195.06						
Stock	0.00	7,897,808.21	0.00	7,897,808.21	7,897,808.21	7,897,808.21	7,897,808.21	117,195.06	Deposits & Withdrawals	-150,000.00						
Options	81.27	2,241.43	-13,159.48	-10,918.05	-10,999.32	-10,999.32	-10,999.32	-150,000.00	Position Transfers	7,177,290.35						
Interest Accruals	0.00	0.00	-221.60	-221.60	-221.60	-221.60	-221.60	7,177,290.35	Dividends	15,815.68						
Dividend Accruals	0.00	2,117.50	0.00	2,117.50	2,117.50	2,117.50	2,117.50	15,815.68	Change in Dividend Accruals	2,117.50						
Total	99,592.77	7,902,167.14	-642,202.29	7,259,964.85	7,902,167.14	7,160,372.08	7,160,372.08	2,117.50	Change in Interest Accruals	-221.60						
Time Weighted Rate of Return								1.63%								

Mark-to-Market Performance Summary										
Symbol	Quantity	Price		Current	Position	Mark-to-Market P/L			Code	
		Prior	Current			Transaction	Commissions	Other		Total
Stocks										
ADM	0	2,400	--	44,1100	-720.00	0.00	0.00	0.00	0.00	-720.00
APL	0	6,700	--	34,4000	11,189.00	0.00	0.00	0.00	0.00	11,189.00
BX	0	5,100	--	33,4400	5,698.00	-560.00	0.00	0.00	0.00	5,138.00
CQP	0	6,564	--	33,0400	3,544.56	0.00	0.00	0.00	0.00	3,544.56
CTL	0	13,000	--	36,2000	-20,293.03	0.00	0.00	0.00	7,020.00	-13,273.03
DD	0	1,700	--	65,4400	-3,688.00	-2,739.00	-2.12	-2.12	0.00	-6,429.12
DLR	0	6,800	--	58,3200	-766.00	1,114.50	-29.71	-29.71	5,644.00	5,962.79

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Current	Mark-to-Market P/L			Total	Code
	Prior	Current	Prior	Current		Position	Transaction	Commissions		
ETP	0	2,700	--	--	57.9700	3,942.00	0.00	0.00	0.00	3,942.00
GIS	0	1,700	--	--	52.5400	-3,806.02	-390.00	-1.00	0.00	-4,197.02
HCN	0	5,000	--	--	62.6700	-3,999.50	0.00	0.00	0.00	-3,999.50
HYG	0	17,000	--	--	95.2000	9,437.00	-12.00	-31.00	0.00	9,394.00
IYR	0	2,500	--	--	71.7900	3,738.00	-2,813.00	-4.25	1,506.83	2,427.58
JNK	0	16,505	--	--	41.7300	6,767.05	0.00	0.00	0.00	6,767.05
KMP	0	6,300	--	--	82.2100	31,166.10	0.00	0.00	0.00	31,166.10
LQD	0	4,600	--	--	119.2600	4,876.00	0.00	0.00	0.00	4,876.00
MMP	0	1,700	--	--	84.0400	1,885.00	-7,053.00	-5.20	0.00	-5,173.20
MWE	0	6,800	--	--	71.5800	60,179.32	0.00	0.00	0.00	60,179.32
PAA	0	5,000	--	--	60.0500	13,500.00	0.00	0.00	0.00	13,500.00
PCL	0	1,900	--	--	45.1000	984.00	-310.00	-0.55	0.00	673.45
PFF	0	17,000	--	--	39.9100	15,603.35	-5.00	-2.50	0.00	15,595.85
RTN	0	3,500	--	--	92.2500	-19,635.00	0.00	0.00	0.00	-19,635.00
XLU	0	1,500	--	--	44.2600	6,716.00	-1,055.98	-10.77	1,644.85	7,294.10
Total						126,317.83	-13,823.48	-87.10	15,815.68	128,222.93
Equity and Index Options										
ADM 13JUN14 39.5 P	0	0	--	--	--	48.43	-57.96	0.00	0.00	-9.53
ADM 13JUN14 41.0 P	6	0	0.019953	--	--	10.77	-22.74	0.00	0.00	-11.97
ADM 13JUN14 44.5 C	0	0	--	--	--	596.70	-69.60	-10.62	0.00	516.47
ADM 21JUN14 40.0 P	0	0	--	--	--	-209.50	109.50	-4.00	0.00	-104.00
ADM 03JUL14 40.5 P	0	12	--	--	0.0366	23.64	-74.72	-6.49	0.00	-57.57
ADM 03JUL14 41.0 P	0	12	--	--	0.0387	32.04	-56.60	-7.09	0.00	-31.65
ADM 03JUL14 45.0 C	0	0	--	--	--	306.00	-19.00	-15.40	0.00	271.60
ADM 03JUL14 45.5 C	0	0	--	--	--	336.00	-121.00	-18.99	0.00	196.01
APL 21JUN14 28.0 P	0	0	--	--	--	649.56	-341.51	-29.61	0.00	278.43
APL 21JUN14 29.0 P	0	0	--	--	--	-7.75	44.83	-5.82	0.00	31.27
APL 19JUL14 29.0 P	0	50	--	--	0.0001	-194.00	-515.50	-39.50	0.00	-749.00
APL 19JUL14 30.0 P	0	17	--	--	0.0001	0.00	-231.83	-13.43	0.00	-245.26
BX 06JUN14 28.0 P	30	0	0.008996	--	--	-26.99	0.00	0.00	0.00	-26.99
BX 13JUN14 29.5 P	0	0	--	--	--	17.69	-72.69	-5.38	0.00	-60.38
BX 13JUN14 30.5 P	0	0	--	--	--	24.52	-107.52	-6.11	0.00	-89.11
BX 13JUN14 32.5 P	0	0	--	--	--	0.00	306.00	-13.13	0.00	292.87
BX 13JUN14 33.5 P	0	0	--	--	--	112.09	320.91	-7.03	0.00	425.97
BX 21JUN14 30.0 P	0	0	--	--	--	-135.16	-62.84	-12.91	0.00	-210.91
BX 21JUN14 33.0 P	0	0	--	--	--	280.83	244.17	-20.45	0.00	504.55
BX 27JUN14 30.5 P	0	0	--	--	--	-14.80	-49.20	-6.82	0.00	-70.82
BX 27JUN14 31.0 P	0	0	--	--	--	-9.80	-32.20	-3.90	0.00	-45.90
BX 27JUN14 33.5 P	0	0	--	--	--	317.90	96.10	-7.93	0.00	406.07
BX 27JUN14 34.0 P	0	0	--	--	--	38.45	253.55	-3.97	0.00	288.03
BX 03JUL14 29.5 P	0	50	--	--	0.0111	-241.00	146.50	-14.76	0.00	-109.26
BX 19JUL14 30.0 P	0	2	--	--	0.0769	-4.72	-1.90	-1.58	0.00	-8.20
CQP 21JUN14 28.0 P	0	0	--	--	--	-48.71	-0.13	0.00	0.00	-48.84
CQP 21JUN14 29.0 P	0	0	--	--	--	-546.02	-73.91	-3.36	0.00	-623.29
CQP 21JUN14 32.0 P	0	0	--	--	--	138.94	24.06	-3.40	0.00	159.60

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Current	Position	Mark-to-Market P/L		Other	Total	Code
	Prior	Current	Prior	Current			Transaction	Commissions			
CQP 21JUN14 34.0 C	0	0	--	--	--	620.23	-125.23	-19.47	0.00	475.53	
CQP 19JUL 14 28.0 P	0	27	--	--	0.0001	-129.60	-81.13	-14.68	0.00	-225.41	
CQP 19JUL 14 29.0 P	0	37	--	--	0.0001	-157.68	-353.95	-26.38	0.00	-538.01	
CQP 19JUL 14 32.0 P	0	-5	--	--	0.1733	258.45	8.90	-3.97	0.00	263.38	
CTL 21JUN14 30.0 P	0	0	--	--	--	116.36	13.62	-39.34	0.00	90.64	
CTL 21JUN14 32.0 P	0	0	--	--	--	-0.01	16.00	-5.14	0.00	10.85	
CTL 21JUN14 33.0 P	0	0	--	--	--	-0.10	-0.05	0.00	0.00	-0.15	
CTL 19JUL 14 33.0 P	0	135	--	--	0.0323	-642.44	12.49	-79.66	0.00	-709.61	
CTL 19JUL 14 36.0 P	0	-5	--	--	0.3866	114.80	-58.10	-3.97	0.00	52.73	
DD 06JUN14 68.5 C	0	0	--	--	--	-752.93	1,521.00	0.00	0.00	768.07	
DD 13JUN14 63.0 P	0	0	--	--	--	16.11	-76.11	-3.48	0.00	-63.48	
DD 21JUN14 62.5 P	0	0	--	--	--	-23.74	-38.26	-4.60	0.00	-66.60	
DD 21JUN14 68.5 P	0	0	--	--	--	150.59	33.41	-6.93	0.00	177.07	
DD 27JUN14 62.0 P	0	0	--	--	--	-8.80	-23.20	-3.42	0.00	-35.42	
DD 27JUN14 68.5 P	0	0	--	--	--	-1,289.75	1,531.75	-3.97	0.00	238.03	
DD 03JUL 14 61.0 P	0	16	--	--	0.0080	-14.08	2.88	-5.36	0.00	-16.56	
DD 03JUL 14 69.0 C	0	0	--	--	--	279.12	-92.12	-9.06	0.00	177.94	
DD 11JUL 14 61.0 P	0	10	--	--	0.0006	0.20	-65.60	-5.90	0.00	-71.30	
DD 11JUL 14 64.5 P	0	-5	--	--	0.2723	23.50	57.35	-3.97	0.00	76.88	
DLR 21JUN14 50.0 P	0	0	--	--	--	-69.96	-75.08	-1.50	0.00	-146.54	
DLR 19JUL 14 50.0 P	0	68	--	--	0.0010	-1,330.23	104.77	-34.27	0.00	-1,259.74	
DLR 19JUL 14 60.0 C	0	-49	--	--	0.2750	1,252.85	-218.94	-20.89	0.00	1,013.03	
ETP 21JUN14 50.0 P	0	0	--	--	--	-21.73	157.69	-10.59	0.00	125.36	
ETP 21JUN14 55.0 P	0	0	--	--	--	-149.22	449.20	-11.80	0.00	288.18	
ETP 19JUL 14 50.0 P	0	27	--	--	0.0001	-404.97	85.24	-13.74	0.00	-333.47	
ETP 19JUL 14 57.5 C	0	-14	--	--	0.8750	-337.50	12.50	-7.64	0.00	-332.64	
GIS 21JUN14 50.0 P	0	0	--	--	--	-25.55	-8.06	-3.43	0.00	-37.04	
GIS 21JUN14 52.5 P	0	0	--	--	--	20.01	-18.69	-2.90	0.00	-1.58	
GIS 21JUN14 55.0 C	0	0	--	--	--	143.20	2.31	-1.77	0.00	143.74	
GIS 21JUN14 55.0 P	0	0	--	--	--	-3.38	303.38	-6.43	0.00	293.57	
GIS 19JUL 14 49.0 P	0	17	--	--	0.0525	-163.54	147.79	-7.93	0.00	-23.68	
HCN 21JUN14 55.0 P	0	0	--	--	--	-0.24	102.82	-9.83	0.00	92.75	
HCN 21JUN14 57.5 P	0	0	--	--	--	200.06	-124.25	-9.09	0.00	66.72	
HCN 21JUN14 62.5 P	0	0	--	--	--	40.21	25.79	-0.34	0.00	65.66	
HCN 19JUL 14 57.5 P	0	50	--	--	0.0185	-173.18	-392.32	-28.46	0.00	-593.96	
HYG 06JUN14 88.0 P	0	0	--	--	--	0.00	-100.00	-25.00	0.00	-125.00	
HYG 06JUN14 94.5 P	0	0	--	--	--	-25.54	275.54	-30.90	0.00	219.10	
HYG 13JUN14 89.0 P	0	0	--	--	--	0.00	799.99	-43.58	0.00	756.41	
HYG 21JUN14 87.0 P	0	0	--	--	--	7.59	-7.60	0.00	0.00	0.00	
HYG 21JUN14 88.0 P	0	0	--	--	--	9.15	-147.15	-70.86	0.00	-208.86	
HYG 21JUN14 94.0 P	0	0	--	--	--	136.69	140.31	-30.90	0.00	246.10	
HYG 27JUN14 89.0 P	0	0	--	--	--	0.00	-60.00	-5.90	0.00	-65.90	
HYG 27JUN14 94.5 P	0	0	--	--	--	235.50	-25.50	-14.83	0.00	195.17	
HYG 03JUL 14 88.0 P	0	100	--	--	0.0001	-8.06	-490.94	-10.00	0.00	-509.00	
HYG 11JUL 14 89.0 P	0	55	--	--	0.0500	266.16	-1,121.16	-43.46	0.00	-898.46	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Current	Position	Mark-to-Market P/L		Other	Total	Code
	Prior	Current	Prior	Current			Transaction	Commissions			
HYG 19JUL14 88.0 P	0	50	--	0.0500	-125.00	-63.00	-30.10	0.00	-218.10		
IYR 06JUN14 71.5 C	0	0	--	--	-1,957.14	3,125.00	0.00	0.00	1,167.86		
IYR 13JUN14 70.0 P	0	0	--	--	-19.28	-105.72	-5.50	0.00	-130.50		
IYR 21JUN14 66.0 P	0	0	--	--	21.88	-46.88	-2.24	0.00	-27.24		
IYR 03JUL14 63.0 P	0	25	--	0.0274	-4.84	-51.66	-5.50	0.00	-62.00		
JNK 21JUN14 39.0 P	0	0	--	--	-0.02	393.98	-57.14	0.00	336.83		
JNK 19JUL14 39.0 P	0	167	--	0.0001	-21.77	-1,313.56	-100.95	0.00	-1,436.28		
KMP 21JUN14 67.5 P	0	0	--	--	-113.87	84.80	-16.77	0.00	-45.84		
KMP 19JUL14 70.0 P	0	16	--	0.0001	-223.84	0.00	0.00	0.00	-223.84		
KMP 19JUL14 72.5 P	0	49	--	0.0001	-296.24	-215.28	-38.71	0.00	-550.22		
LQD 21JUN14 110.0 P	0	0	--	--	-0.19	68.00	-8.55	0.00	59.26		
LQD 21JUN14 112.0 P	0	0	--	--	144.59	-102.31	-8.58	0.00	33.70		
LQD 19JUL14 113.0 P	0	25	--	0.0001	-36.41	-178.34	-15.95	0.00	-230.70		
LQD 19JUL14 114.0 P	0	25	--	0.0001	-57.98	-140.77	-14.75	0.00	-213.50		
MMP 21JUN14 67.5 P	0	0	--	--	0.20	-0.20	0.00	0.00	0.00		
MMP 21JUN14 70.0 P	0	0	--	--	0.10	-0.10	0.00	0.00	0.00		
MMP 21JUN14 72.5 P	0	0	--	--	0.00	-0.03	0.00	0.00	-0.02		
MMP 21JUN14 75.0 C	0	0	--	--	591.03	7,115.10	0.00	0.00	7,706.13		
MMP 21JUN14 75.0 P	0	0	--	--	-44.60	-12.40	-5.90	0.00	-62.90		
MMP 21JUN14 82.5 C	0	0	--	--	1,301.67	325.87	-17.28	0.00	1,610.26		
MMP 21JUN14 82.5 P	0	0	--	--	-361.50	623.50	-8.93	0.00	253.07		
MMP 19JUL14 72.5 P	0	20	--	0.0087	17.20	-276.80	-6.14	0.00	-265.74		
MMP 19JUL14 85.0 C	0	-10	--	0.6750	-115.00	-8.00	-5.40	0.00	-128.40		
MWE 21JUN14 55.0 P	0	0	--	--	106.72	477.16	-29.31	0.00	554.57		
MWE 21JUN14 67.5 C	0	0	--	--	-212.85	190.60	-5.90	0.00	-28.15		
MWE 19JUL14 57.5 P	0	68	--	0.0001	-242.18	-1,046.14	-51.96	0.00	-1,340.28		
MWE 19JUL14 70.0 C	0	-11	--	2.0623	-1,701.59	93.06	-8.73	0.00	-1,617.26		
PAA 21JUN14 50.0 P	0	0	--	--	0.15	-0.25	0.00	0.00	-0.10		
PAA 21JUN14 52.5 P	0	0	--	--	-15.66	-0.25	0.00	0.00	-15.92		
PAA 21JUN14 57.5 C	0	0	--	--	1,056.29	68.75	-14.75	0.00	1,110.28		
PAA 19JUL14 50.0 P	0	10	--	0.0001	-2.20	-110.70	-7.90	0.00	-120.80		
PAA 19JUL14 52.5 P	0	40	--	0.0001	-258.65	-239.95	-26.20	0.00	-524.80		
PAA 19JUL14 57.5 C	0	-25	--	2.5539	-4,437.23	-101.52	-16.90	0.00	-4,555.65		
PCL 21JUN14 39.0 P	0	0	--	--	44.78	-57.00	0.00	0.00	-12.23		
PCL 21JUN14 40.0 P	0	0	--	--	36.73	-104.25	-5.11	0.00	-72.63		
PCL 21JUN14 42.0 P	0	0	--	--	14.83	-7.56	-1.45	0.00	5.81		
PCL 21JUN14 43.0 P	0	0	--	--	55.00	1.40	-2.90	0.00	53.50		
PCL 21JUN14 44.0 C	0	0	--	--	75.40	378.70	0.00	0.00	454.09		
PCL 21JUN14 44.0 P	0	0	--	--	267.12	77.88	-20.74	0.00	324.26		
PCL 19JUL14 40.0 P	0	25	--	0.0001	-91.35	-209.40	-18.75	0.00	-319.50		
PCL 19JUL14 44.0 P	0	-5	--	0.0624	125.50	84.30	-3.97	0.00	205.83		
PCL 19JUL14 45.0 C	0	-10	--	0.5864	-262.20	185.80	-7.93	0.00	-84.33		
PFF 21JUN14 36.0 P	0	0	--	--	21.82	136.17	-33.93	0.00	124.06		
PFF 19JUL14 36.0 P	0	5	--	0.0001	-8.62	-16.33	-0.50	0.00	-25.45		
PFF 19JUL14 37.0 P	0	166	--	0.0001	-719.03	-696.79	-57.46	0.00	-1,473.28		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Current	Mark-to-Market P/L			Total	Code
	Prior	Current	Prior	Current		Position	Transaction	Commissions		
RTN 21JUN14 85.0 P	0	0	--	--	--	-45.78	-0.20	0.00	0.00	-45.98
RTN 21JUN14 90.0 P	0	0	--	--	--	-124.11	-19.96	-2.91	0.00	-146.98
RTN 21JUN14 97.5 C	0	0	--	--	--	1,419.41	-65.00	-7.18	0.00	1,347.23
RTN 21JUN14 100.0 C	0	0	--	--	--	436.79	-62.23	-7.77	0.00	366.79
RTN 19JUL14 87.5 P	0	35	--	--	0.1965	211.90	-290.15	-27.65	0.00	-105.90
RTN 19JUL14 97.5 C	0	-15	--	--	0.1500	777.50	258.50	-11.91	0.00	1,024.09
RTN 19JUL14 100.0 C	0	0	--	--	--	75.35	94.65	-6.92	0.00	163.08
XLU 06JUN14 41.0 P	45	0	0.009403	--	--	-42.31	0.00	0.00	0.00	-42.31
XLU 21JUN14 40.0 P	0	0	--	--	--	-141.74	-36.26	-22.64	0.00	-200.64
XLU 27JUN14 40.0 P	0	0	--	--	--	-67.50	-67.50	-7.39	0.00	-142.39
XLU 27JUN14 43.5 C	0	0	--	--	--	-230.00	580.00	-11.10	0.00	338.90
XLU 19JUL14 40.0 P	0	45	--	--	0.0300	-112.50	-114.50	-22.48	0.00	-249.48
Total						-5,637.60	10,338.30	-1,737.82	0.00	2,962.89
Forex										
USD	99,511.50	-628,821.21	1.0000	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00
Total						0.00	0.00	0.00	0.00	0.00
Total (All Assets)						120,680.24	-3,485.18	-1,824.91	15,815.68	131,185.83

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized			Unrealized			Total	Code
		S/T Profit	S/T Loss	L/T Profit	S/T Profit	S/T Loss	L/T Profit		
ADM	0.00	0.00	0.00	0.00	2,414.19	-421.22	0.00	1,992.97	1,992.97
APL	0.00	0.00	0.00	0.00	0.00	-14,473.76	251.20	-15,830.71	-15,830.71
BX	0.00	0.00	0.00	0.00	8,701.25	0.00	0.00	8,701.25	8,701.25
CQP	0.00	0.00	0.00	0.00	7,942.19	-1,693.77	0.00	6,248.42	6,248.42
CTL	0.00	0.00	0.00	0.00	9,245.25	-489.40	1,482.08	-33,363.62	-23,125.69
DD	0.00	5,880.11	0.00	0.00	229.30	-3,033.71	0.00	-2,804.41	3,075.70
DLR	0.00	0.00	0.00	0.00	4,258.73	-843.90	0.00	3,414.83	3,414.83
ETP	0.00	0.00	0.00	0.00	9,039.08	0.00	0.00	9,039.08	9,039.08
GIS	0.00	0.00	0.00	0.00	1,059.90	-2,313.43	0.00	-1,253.53	-1,253.53
HCN	0.00	0.00	0.00	0.00	0.00	-4,117.79	697.20	-29,173.59	-32,594.18
HYG	0.00	0.00	0.00	0.00	11,915.53	-372.50	2,883.80	-280.15	14,146.68
IYR	0.00	0.00	0.00	0.00	6,898.67	0.00	0.00	-1,008.55	5,890.12
JNK	0.00	0.00	0.00	0.00	6,660.05	0.00	15,826.72	0.00	22,486.77
KMP	0.00	0.00	0.00	0.00	0.00	-3,337.55	0.00	-42,569.60	-45,907.15
LQD	0.00	0.00	0.00	0.00	3,500.20	0.00	0.00	-7,344.10	-3,843.90
MMP	0.00	7,560.60	0.00	0.00	16,888.05	0.00	0.00	16,888.05	24,448.65
MWE	0.00	0.00	0.00	0.00	13,696.48	-4,642.65	0.00	9,053.83	9,053.83
PAA	0.00	0.00	0.00	0.00	26,167.57	0.00	0.00	26,167.57	26,167.57
PCL	0.00	1,232.19	0.00	0.00	2,400.35	0.00	0.00	2,400.35	3,632.54
PFF	0.00	0.00	0.00	0.00	7,135.39	0.00	1,932.50	-1,240.38	7,827.51
RTN	0.00	0.00	0.00	0.00	1,931.79	-15,211.02	0.00	-13,279.23	-13,279.23

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized			Unrealized			Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	S/T Profit	S/T Loss		
XLU	0.00	8,343.69	0.00	0.00	0.00	8,343.69	0.00	0.00	10,745.59
Total	0.00	23,016.59	0.00	2,870.31	-3,477.18	22,409.72	142,485.88	-116,588.14	20,430.26
Equity and Index Options									
ADM 13JUN14 39.5 P	0.00	0.00	-107.82	0.00	0.00	-107.82	0.00	0.00	-107.82
ADM 13JUN14 41.0 P	0.00	0.00	-45.00	0.00	0.00	-45.00	0.00	0.00	-45.00
ADM 13JUN14 44.5 C	0.00	283.67	0.00	0.00	0.00	283.67	0.00	0.00	283.67
ADM 21JUN14 40.0 P	0.00	0.00	-104.00	0.00	0.00	-104.00	0.00	0.00	-104.00
ADM 03JUL14 40.5 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-57.57
ADM 03JUL14 41.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-31.65
ADM 03JUL14 45.0 C	0.00	271.60	0.00	0.00	0.00	271.60	0.00	0.00	271.60
ADM 03JUL14 45.5 C	0.00	196.01	0.00	0.00	0.00	196.01	0.00	0.00	196.01
APL 21JUN14 28.0 P	0.00	0.00	-528.89	0.00	0.00	-528.89	0.00	0.00	-528.89
APL 21JUN14 29.0 P	0.00	0.00	-221.28	0.00	0.00	-221.28	0.00	0.00	-221.28
APL 19JUL14 29.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-749.00
APL 19JUL14 30.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-245.26
BX 06JUN14 28.0 P	0.00	0.00	-196.00	0.00	0.00	-196.00	0.00	0.00	-196.00
BX 13JUN14 29.5 P	0.00	0.00	-60.38	0.00	0.00	-60.38	0.00	0.00	-60.38
BX 13JUN14 30.5 P	0.00	0.00	-89.11	0.00	0.00	-89.11	0.00	0.00	-89.11
BX 13JUN14 32.5 P	0.00	292.87	0.00	0.00	0.00	292.87	0.00	0.00	292.87
BX 21JUN14 30.0 P	0.00	0.00	-210.91	0.00	0.00	-210.91	0.00	0.00	-210.91
BX 21JUN14 33.0 P	0.00	504.55	0.00	0.00	0.00	504.55	0.00	0.00	504.55
BX 27JUN14 30.5 P	0.00	0.00	-70.82	0.00	0.00	-70.82	0.00	0.00	-70.82
BX 27JUN14 31.0 P	0.00	0.00	-45.90	0.00	0.00	-45.90	0.00	0.00	-45.90
BX 03JUL14 29.5 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-109.26
BX 19JUL14 30.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-8.20
CQP 21JUN14 28.0 P	0.00	0.00	-249.21	0.00	0.00	-249.21	0.00	0.00	-249.21
CQP 21JUN14 29.0 P	0.00	0.00	-1,368.37	0.00	0.00	-1,368.37	0.00	0.00	-1,368.37
CQP 21JUN14 32.0 P	0.00	159.60	0.00	0.00	0.00	159.60	0.00	0.00	159.60
CQP 21JUN14 34.0 C	0.00	2,004.78	0.00	0.00	0.00	2,004.78	0.00	0.00	2,004.78
CQP 19JUL14 28.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-225.41
CQP 19JUL14 29.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-538.01
CQP 19JUL14 32.0 P	0.00	0.00	0.00	0.00	0.00	0.00	263.38	0.00	263.38
CTL 21JUN14 30.0 P	0.00	0.00	-1,553.75	0.00	0.00	-1,553.75	0.00	0.00	-1,553.75
CTL 21JUN14 32.0 P	0.00	0.00	-128.95	0.00	0.00	-128.95	0.00	0.00	-128.95
CTL 21JUN14 33.0 P	0.00	0.00	-51.62	0.00	0.00	-51.62	0.00	0.00	-51.62
CTL 19JUL14 33.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-709.61
CTL 19JUL14 36.0 P	0.00	0.00	0.00	0.00	0.00	0.00	52.73	0.00	52.73
DD 06JUN14 62.0 P	0.00	0.00	-179.41	0.00	0.00	-179.41	0.00	0.00	-179.41
DD 13JUN14 63.0 P	0.00	0.00	-63.48	0.00	0.00	-63.48	0.00	0.00	-63.48
DD 21JUN14 62.5 P	0.00	0.00	-66.60	0.00	0.00	-66.60	0.00	0.00	-66.60
DD 21JUN14 68.5 P	0.00	177.07	0.00	0.00	0.00	177.07	0.00	0.00	177.07
DD 27JUN14 62.0 P	0.00	0.00	-35.42	0.00	0.00	-35.42	0.00	0.00	-35.42
DD 03JUL14 61.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-16.56
DD 03JUL14 69.0 C	0.00	177.94	0.00	0.00	0.00	177.94	0.00	0.00	177.94
DD 11JUL14 61.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-71.30

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized			Unrealized			Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	S/T Profit	S/T Loss		
DD 11JUL14 64.5 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	76.88	76.88
DLR 21JUN14 50.0 P	0.00	0.00	-194.41	0.00	0.00	0.00	-194.41	0.00	0.00
DLR 19JUL14 50.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,331.09
DLR 19JUL14 60.0 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	989.98	989.98
ETP 21JUN14 50.0 P	0.00	0.00	-637.92	0.00	0.00	0.00	-637.92	0.00	0.00
ETP 21JUN14 55.0 P	0.00	741.78	0.00	0.00	0.00	0.00	741.78	0.00	0.00
ETP 19JUL14 50.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-333.47
ETP 19JUL14 57.5 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-332.64
GIS 21JUN14 50.0 P	0.00	0.00	-186.58	0.00	0.00	0.00	-186.58	0.00	0.00
GIS 21JUN14 52.5 P	0.00	395.84	0.00	0.00	0.00	0.00	395.84	0.00	0.00
GIS 21JUN14 55.0 C	0.00	158.25	0.00	0.00	0.00	0.00	158.25	0.00	0.00
GIS 19JUL14 49.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-23.68
HCN 21JUN14 55.0 P	0.00	0.00	-335.85	0.00	0.00	0.00	-335.85	0.00	0.00
HCN 21JUN14 57.5 P	0.00	0.00	-225.07	0.00	0.00	0.00	-225.07	0.00	0.00
HCN 21JUN14 62.5 P	0.00	65.66	0.00	0.00	0.00	0.00	65.66	0.00	0.00
HCN 19JUL14 57.5 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-593.96
HYG 06JUN14 88.0 P	0.00	0.00	-1,070.14	0.00	0.00	0.00	-1,070.14	0.00	0.00
HYG 06JUN14 89.0 P	0.00	0.00	-1,096.28	0.00	0.00	0.00	-1,096.28	0.00	0.00
HYG 06JUN14 94.5 P	0.00	219.10	0.00	0.00	0.00	0.00	219.10	0.00	0.00
HYG 13JUN14 89.0 P	0.00	173.34	-2.58	0.00	0.00	0.00	170.76	0.00	0.00
HYG 21JUN14 87.0 P	0.00	0.00	-196.23	0.00	0.00	0.00	-196.23	0.00	0.00
HYG 21JUN14 88.0 P	0.00	0.00	-208.86	0.00	0.00	0.00	-208.86	0.00	0.00
HYG 21JUN14 94.0 P	0.00	246.10	0.00	0.00	0.00	0.00	246.10	0.00	0.00
HYG 27JUN14 89.0 P	0.00	0.00	-65.90	0.00	0.00	0.00	-65.90	0.00	0.00
HYG 27JUN14 94.5 P	0.00	246.17	-51.00	0.00	0.00	0.00	195.17	0.00	0.00
HYG 03JUL14 88.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-509.00
HYG 11JUL14 89.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-898.46
HYG 19JUL14 88.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-218.10
IYR 06JUN14 63.0 P	0.00	0.00	-310.04	0.00	0.00	0.00	-310.04	0.00	0.00
IYR 13JUN14 70.0 P	0.00	0.00	-130.50	0.00	0.00	0.00	-130.50	0.00	0.00
IYR 21JUN14 66.0 P	0.00	0.00	-27.24	0.00	0.00	0.00	-27.24	0.00	0.00
IYR 03JUL14 63.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-62.00
JNK 21JUN14 39.0 P	0.00	0.00	-1,776.43	0.00	0.00	0.00	-1,776.43	0.00	0.00
JNK 19JUL14 39.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,436.28
KMP 21JUN14 67.5 P	0.00	0.00	-562.49	0.00	0.00	0.00	-562.49	0.00	0.00
KMP 19JUL14 70.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-404.96
KMP 19JUL14 72.5 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-550.22
LQD 21JUN14 110.0 P	0.00	0.00	-234.57	0.00	0.00	0.00	-234.57	0.00	0.00
LQD 21JUN14 112.0 P	0.00	0.00	-264.60	0.00	0.00	0.00	-264.60	0.00	0.00
LQD 19JUL14 113.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-230.70
LQD 19JUL14 114.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-213.50
MMP 21JUN14 67.5 P	0.00	0.00	-956.46	0.00	0.00	0.00	-956.46	0.00	0.00
MMP 21JUN14 70.0 P	0.00	0.00	-178.23	0.00	0.00	0.00	-178.23	0.00	0.00
MMP 21JUN14 72.5 P	0.00	0.00	-78.98	0.00	0.00	0.00	-78.98	0.00	0.00
MMP 21JUN14 75.0 P	0.00	0.00	-62.90	0.00	0.00	0.00	-62.90	0.00	0.00

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized			Unrealized			Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	S/T Profit	S/T Loss		
MMP 21JUN14 82.5 C	0.00	711.51	0.00	0.00	0.00	0.00	711.51	0.00	711.51
MMP 21JUN14 82.5 P	0.00	253.07	0.00	0.00	0.00	0.00	253.07	0.00	253.07
MMP 19JUL14 72.5 P	0.00	0.00	0.00	0.00	0.00	-265.74	0.00	0.00	-265.74
MMP 19JUL14 85.0 C	0.00	0.00	0.00	0.00	0.00	-128.40	0.00	0.00	-128.40
MWE 21JUN14 55.0 P	0.00	46.18	-1,673.22	0.00	0.00	0.00	-1,627.04	0.00	-1,627.04
MWE 21JUN14 67.5 C	0.00	220.86	0.00	0.00	0.00	0.00	220.86	0.00	220.86
MWE 19JUL14 57.5 P	0.00	0.00	0.00	0.00	0.00	-1,340.28	0.00	0.00	-1,340.28
MWE 19JUL14 70.0 C	0.00	0.00	0.00	0.00	0.00	-1,617.26	0.00	0.00	-1,617.26
PAA 21JUN14 50.0 P	0.00	0.00	-494.09	0.00	0.00	0.00	-494.09	0.00	-494.09
PAA 21JUN14 52.5 P	0.00	0.00	-746.05	0.00	0.00	0.00	-746.05	0.00	-746.05
PAA 21JUN14 57.5 C	0.00	1,581.16	0.00	0.00	0.00	0.00	1,581.16	0.00	1,581.16
PAA 19JUL14 50.0 P	0.00	0.00	0.00	0.00	0.00	-120.80	0.00	0.00	-120.80
PAA 19JUL14 52.5 P	0.00	0.00	0.00	0.00	0.00	-524.80	0.00	0.00	-524.80
PAA 19JUL14 57.5 C	0.00	0.00	0.00	0.00	0.00	-4,555.65	0.00	0.00	-4,555.65
PCL 21JUN14 39.0 P	0.00	0.00	-336.64	0.00	0.00	0.00	-336.64	0.00	-336.64
PCL 21JUN14 40.0 P	0.00	0.00	-269.34	0.00	0.00	0.00	-269.34	0.00	-269.34
PCL 21JUN14 42.0 P	0.00	196.92	0.00	0.00	0.00	0.00	196.92	0.00	196.92
PCL 21JUN14 43.0 P	0.00	659.85	0.00	0.00	0.00	0.00	659.85	0.00	659.85
PCL 21JUN14 44.0 P	0.00	324.26	0.00	0.00	0.00	0.00	324.26	0.00	324.26
PCL 19JUL14 40.0 P	0.00	0.00	0.00	0.00	0.00	-319.50	0.00	0.00	-319.50
PCL 19JUL14 44.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
PCL 19JUL14 45.0 C	0.00	0.00	0.00	0.00	0.00	-84.33	0.00	0.00	-84.33
PFF 21JUN14 36.0 P	0.00	0.00	-1,303.38	0.00	0.00	0.00	-1,303.38	0.00	-1,303.38
PFF 19JUL14 36.0 P	0.00	0.00	0.00	0.00	0.00	-25.45	0.00	0.00	-25.45
PFF 19JUL14 37.0 P	0.00	0.00	0.00	0.00	0.00	-1,696.16	0.00	0.00	-1,696.16
RTN 21JUN14 85.0 P	0.00	0.00	-470.40	0.00	0.00	0.00	-470.40	0.00	-470.40
RTN 21JUN14 90.0 P	0.00	0.00	-336.14	0.00	0.00	0.00	-336.14	0.00	-336.14
RTN 21JUN14 97.5 C	0.00	823.56	0.00	0.00	0.00	0.00	823.56	0.00	823.56
RTN 21JUN14 100.0 C	0.00	886.59	0.00	0.00	0.00	0.00	886.59	0.00	886.59
RTN 19JUL14 87.5 P	0.00	0.00	0.00	0.00	0.00	62.90	0.00	0.00	-105.90
RTN 19JUL14 97.5 C	0.00	0.00	0.00	0.00	0.00	1,024.09	0.00	0.00	1,024.09
RTN 19JUL14 100.0 C	0.00	163.08	0.00	0.00	0.00	0.00	163.08	0.00	163.08
XLU 06JUN14 41.0 P	0.00	0.00	-247.50	0.00	0.00	0.00	-247.50	0.00	-247.50
XLU 21JUN14 40.0 P	0.00	0.00	-200.64	0.00	0.00	0.00	-200.64	0.00	-200.64
XLU 27JUN14 40.0 P	0.00	0.00	-142.39	0.00	0.00	0.00	-142.39	0.00	-142.39
XLU 19JUL14 40.0 P	0.00	0.00	0.00	0.00	0.00	-249.48	0.00	0.00	-249.48
Total	0.00	12,181.36	-20,450.00	0.00	0.00	2,675.81	-8,268.64	0.00	-26,589.43
Total (All Assets)	0.00	35,197.95	-20,450.00	2,870.31	-3,477.18	145,161.68	14,141.08	-116,588.14	-20,300.24

Notes
 1. This statement uses default P/L (no wash sales) for realized and unrealized P/L calculations.

Cash Report

	Total		Securities		Futures
Base Currency Summary					
Starting Cash		99,511.50	99,511.50		0.00
Commissions		-1,824.91	-1,824.91		0.00
Account Transfers		-150,000.00	-150,000.00		0.00
Dividends		5,108.69	5,108.69		0.00
Net Trades (Sales)		552,599.02	552,599.02		0.00
Net Trades (Purchase)		-1,144,922.50	-1,144,922.50		0.00
Payment In Lieu of Dividends		10,706.99	10,706.99		0.00
Ending Cash		-628,821.21	-628,821.21		0.00
Ending Settled Cash		-432,964.23	-432,964.23		0.00

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
USD								
ADM	2,400	1	43.2795958	103,871.03	44.1100	105,864.00	1,992.97	
APL	6,700	1	36.7627925	246,310.71	34.4000	230,480.00	-15,830.71	
BX	5,100	1	31.7338721	161,842.75	33.4400	170,544.00	8,701.25	
CQP	6,564	1	32.0880774	210,626.14	33.0400	216,874.56	6,248.42	
CTL	13,000	1	37.9788992	493,725.69	36.2000	470,600.00	-23,125.69	
DD	1,700	1	67.0896505	114,052.41	65.4400	111,248.00	-2,804.41	
DLR	6,800	1	57.817819	393,161.17	58.3200	396,576.00	3,414.83	
ETP	2,700	1	54.6221926	147,479.92	57.9700	156,519.00	9,039.08	
GIS	1,700	1	53.2773692	90,571.53	52.5400	89,318.00	-1,253.53	
HCN	5,000	1	69.188836	345,944.18	62.6700	313,350.00	-32,594.18	
HYG	17,000	1	94.3678424	1,604,253.32	95.2000	1,618,400.00	14,146.68	
IYR	2,500	1	69.433952	173,584.88	71.7900	179,475.00	5,890.12	
JNK	16,505	1	40.3675783	666,266.88	41.7300	688,753.65	22,486.77	
KMP	6,300	1	89.4968492	563,830.15	82.2100	517,923.00	-45,907.15	
LQD	4,600	1	120.0956304	552,439.90	119.2600	548,596.00	-3,843.90	
MMP	1,700	1	74.1058529	125,979.95	84.0400	142,868.00	16,888.05	
MWE	6,800	1	70.2485544	477,690.17	71.5800	486,744.00	9,053.83	
PAA	5,000	1	54.816486	274,082.43	60.0500	300,250.00	26,167.57	
PCL	1,900	1	43.8366579	83,289.65	45.1000	85,690.00	2,400.35	
PFF	17,000	1	39.4495582	670,642.49	39.9100	678,470.00	7,827.51	
RTN	3,500	1	96.0440657	336,154.23	92.2500	322,875.00	-13,279.23	
XLU	1,500	1	42.6587308	63,988.10	44.2600	66,390.00	2,401.90	
Total				7,899,787.67		7,897,808.21	-1,979.46	
Equity and Index Options								
USD								
ADM 03JUL14 40.5 P	12	100	0.0845759	101.49	0.0366	43.92	-57.57	
ADM 03JUL14 41.0 P	12	100	0.0650759	78.09	0.0387	46.44	-31.65	
APL 19JUL14 29.0 P	50	100	0.149901	749.50	0.0001	0.50	-749.00	

Open Positions

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
APL 19JUL14 30.0 P		17	0.1443716		245.43		0.0001	0.17	-245.26	
BX 03JUL14 29.5 P		50	0.032951		164.76		0.0111	55.50	-109.26	
BX 19JUL14 30.0 P		2	0.117901		23.58		0.0769	15.38	-8.20	
CQP 19JUL14 28.0 P		27	0.083586		225.68		0.0001	0.27	-225.41	
CQP 19JUL14 29.0 P		37	0.145509		538.38		0.0001	0.37	-538.01	
CQP 19JUL14 32.0 P		-5	0.7000634		-350.03		0.1733	-86.65	263.38	
CTL 19JUL14 33.0 P		135	0.084864		1,145.66		0.0323	436.05	-709.61	
CTL 19JUL14 36.0 P		-5	0.492068		-246.03		0.3866	-193.30	52.73	
DD 03JUL14 61.0 P		16	0.0183509		29.36		0.0080	12.80	-16.56	
DD 11JUL14 61.0 P		10	0.071901		71.90		0.0006	0.60	-71.30	
DD 11JUL14 64.5 P		-5	0.4260694		-213.03		0.2723	-136.15	76.88	
DLR 19JUL14 50.0 P		68	0.1967492		1,337.89		0.0010	6.80	-1,331.09	
DLR 19JUL14 60.0 C		-49	0.4770373		-2,337.48		0.2750	-1,347.50	989.98	
ETP 19JUL14 50.0 P		27	0.123608		333.74		0.0001	0.27	-333.47	
ETP 19JUL14 57.5 C		-14	0.6373985		-892.36		0.8750	-1,225.00	-332.64	
GIS 19JUL14 49.0 P		17	0.0664304		112.93		0.0525	89.25	-23.68	
HCN 19JUL14 57.5 P		50	0.1372927		686.46		0.0185	92.50	-593.96	
HYG 03JUL14 88.0 P		100	0.0510		510.00		0.0001	1.00	-509.00	
HYG 11JUL14 89.0 P		55	0.2133555		1,173.46		0.0500	275.00	-898.46	
HYG 19JUL14 88.0 P		50	0.093621		468.10		0.0500	250.00	-218.11	
IYR 03JUL14 63.0 P		25	0.052201		130.50		0.0274	68.50	-62.00	
JNK 19JUL14 39.0 P		167	0.0861046		1,437.95		0.0001	1.67	-1,436.28	
KMP 19JUL14 70.0 P		16	0.2532		405.12		0.0001	0.16	-404.96	
KMP 19JUL14 72.5 P		49	0.1123908		550.71		0.0001	0.49	-550.22	
LQD 19JUL14 113.0 P		25	0.092381		230.95		0.0001	0.25	-230.70	
LQD 19JUL14 114.0 P		25	0.085501		213.75		0.0001	0.25	-213.50	
MMP 19JUL14 72.5 P		20	0.1415703		283.14		0.0087	17.40	-265.74	
MMP 19JUL14 85.0 C		-10	0.5465968		-546.60		0.6750	-675.00	-128.40	
MWE 19JUL14 57.5 P		68	0.1971995		1,340.96		0.0001	0.68	-1,340.28	
MWE 19JUL14 70.0 C		-11	0.5920657		-651.27		2.0623	-2,268.53	-1,617.26	
PAA 19JUL14 50.0 P		10	0.120901		120.90		0.0001	0.10	-120.80	
PAA 19JUL14 52.5 P		40	0.1313009		525.20		0.0001	0.40	-524.80	
PAA 19JUL14 57.5 C		-25	0.7316401		-1,829.10		2.5539	-6,384.75	-4,555.65	
PCL 19JUL14 40.0 P		25	0.127901		319.75		0.0001	0.25	-319.50	
PCL 19JUL14 44.0 P		-5	0.4740683		-237.03		0.0624	-31.20	205.83	
PCL 19JUL14 45.0 C		-10	0.5020677		-502.07		0.5864	-586.40	-84.33	
PFF 19JUL14 36.0 P		5	0.0510		25.50		0.0001	0.05	-25.45	
PFF 19JUL14 37.0 P		166	0.1022783		1,697.82		0.0001	1.66	-1,696.16	
RTN 19JUL14 87.5 P		35	0.2267581		793.65		0.1965	687.75	-105.90	
RTN 19JUL14 97.5 C		-15	0.8327271		-1,249.09		0.1500	-225.00	1,024.09	
XLU 19JUL14 40.0 P		45	0.085441		384.48		0.0300	135.00	-249.48	
Total					7,402.73			-10,918.05	-18,320.78	

Trades

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
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Trades		Stocks														
USD																
BX	2014-06-13, 16:20:00	1,000	33.5000	33.2800	-33.500.00	0.00	33.500.00	0.00	33.500.00	-220.00	A;O					
BX	2014-06-27, 16:20:00	1,000	33.5000	33.4400	-33.500.00	0.00	33.500.00	0.00	33.500.00	-60.00	A;O					
BX	2014-06-27, 16:20:00	500	34.0000	33.4400	-17,000.00	0.00	17,000.00	0.00	17,000.00	-280.00	A;O					
Total BX		2,500			-84,000.00	0.00	84,000.00	0.00	84,000.00	-560.00						
DD	2014-06-06, 16:20:00	-1,300	68.5000	69.4300	89,050.00	-2.12	-83,581.55	5,880.11	-1,209.00	A;C						
DD	2014-06-27, 16:20:00	500	68.5000	65.4400	-34,250.00	0.00	34,250.00	0.00	-1,530.00	A;O						
Total DD		-800			54,800.00	-2.12	-49,331.55	5,880.11	-2,739.00							
DLR	2014-06-03, 11:02:05	500	57.8500	58.0700	-28,925.00	-2.50	28,927.50	0.00	110.00	O;P						
DLR	2014-06-03, 13:06:49	500	58.0000	58.0700	-29,000.00	-2.50	29,002.50	0.00	35.00	O;P						
DLR	2014-06-05, 09:57:05	500	58.3500	59.3000	-29,175.00	-2.50	29,177.50	0.00	475.00	O						
DLR	2014-06-05, 10:07:02	500	58.2500	59.3000	-29,125.00	-2.50	29,127.50	0.00	525.00	O;P						
DLR	2014-06-05, 11:35:28	500	58.7490	59.3000	-29,374.50	-2.40	29,376.90	0.00	275.50	O;P						
DLR	2014-06-06, 09:34:29	500	59.2000	58.6600	-29,600.00	-2.50	29,602.50	0.00	-270.00	O;P						
DLR	2014-06-06, 15:59:42	500	58.6500	58.6600	-29,325.00	-2.00	29,327.00	0.00	5.00	O;P						
DLR	2014-06-09, 10:27:28	500	58.2500	58.0200	-29,125.00	-2.50	29,127.50	0.00	-115.00	O;P						
DLR	2014-06-09, 14:13:20	500	58.0000	58.0200	-29,000.00	-2.50	29,002.50	0.00	10.00	O						
DLR	2014-06-09, 15:41:59	500	58.1500	58.0200	-29,075.00	-2.50	29,077.50	0.00	-65.00	O;P						
DLR	2014-06-10, 09:41:07	200	58.1500	58.2900	-11,630.00	-1.16	11,631.16	0.00	28.00	O;P						
DLR	2014-06-10, 09:44:01	100	58.0000	58.2900	-5,800.00	-1.00	5,801.00	0.00	29.00	O						
DLR	2014-06-10, 10:24:17	100	57.8500	58.2900	-5,785.00	-1.04	5,786.04	0.00	44.00	O;P						
DLR	2014-06-10, 15:19:05	400	58.2200	58.2900	-23,288.00	-2.10	23,290.10	0.00	28.00	O;P						
Total DLR		5,800			-338,227.50	-29.71	338,257.21	0.00	1,114.50							
GIS	2014-06-20, 16:20:00	1,000	55.0000	54.6400	-55,000.00	0.00	55,000.00	0.00	-360.00	A;O						
GIS	2014-06-24, 10:09:36	100	54.0000	53.7000	-5,400.00	-1.00	5,401.00	0.00	-30.00	O						
Total GIS		1,100			-60,400.00	-1.00	60,401.00	0.00	-390.00							
HYG	2014-06-06, 10:19:39	500	94.7500	94.8300	-47,375.00	-2.50	47,377.50	0.00	40.00	O						
HYG	2014-06-09, 12:35:38	500	94.9000	94.9400	-47,450.00	-2.50	47,452.50	0.00	20.00	O						
HYG	2014-06-12, 13:51:46	500	94.9000	94.8400	-47,450.00	-2.50	47,452.50	0.00	-30.00	O;P						
HYG	2014-06-12, 14:41:32	200	94.8500	94.8400	-18,970.00	-1.00	18,971.00	0.00	-2.00	O						

Trades													
	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code			
HYG	2014-06-24, 09:55:08	500	95.3500	95.2400	-47,675.00	-2.50	47,677.50	0.00	-55.00	O			
HYG	2014-06-24, 13:17:40	500	95.3000	95.2400	-47,650.00	-2.50	47,652.50	0.00	-30.00	O:P			
HYG	2014-06-24, 15:58:59	500	95.2500	95.2400	-47,625.00	-2.50	47,627.50	0.00	-5.00	O:P			
HYG	2014-06-25, 16:35:27	500	95.3500	95.3800	-47,675.00	-2.50	47,677.50	0.00	15.00	O			
HYG	2014-06-26, 09:38:17	500	95.3000	95.2800	-47,650.00	-2.50	47,652.50	0.00	-10.00	O:P			
HYG	2014-06-26, 09:45:54	500	95.2500	95.2800	-47,625.00	-2.50	47,627.50	0.00	15.00	O:P			
HYG	2014-06-27, 11:18:38	500	95.2500	95.2800	-47,625.00	-2.50	47,627.50	0.00	15.00	O			
HYG	2014-06-27, 11:58:01	500	95.2000	95.2800	-47,600.00	-2.50	47,602.50	0.00	40.00	O:P			
HYG	2014-06-30, 09:46:08	500	95.2500	95.2000	-47,625.00	-2.50	47,627.50	0.00	-25.00	O:P			
Total HYG		6,200			-589,995.00	-31.00	590,026.00	0.00	-12.00				
YR	2014-06-05, 16:20:00	-2,100	71.5000	72.7500	150,150.00	-3.57	-150,561.85	320.38	-2,625.00	A:C			
YR	2014-06-06, 16:20:00	-400	71.5000	71.9700	28,600.00	-0.68	-29,666.72	-927.25	-188.00	A:C			
Total YR		-2,500			178,750.00	-4.25	-180,228.57	-606.87	-2,813.00				
MMP	2014-06-20, 16:20:00	-1,000	75.0000	82.1000	75,000.00	-1.78	-73,966.32	2,318.62	-7,100.00	A:C			
MMP	2014-06-24, 09:46:57	-200	83.2500	83.0000	16,650.00	-1.37	-14,581.84	2,066.79	50.00	C:P			
MMP	2014-06-25, 10:53:42	-300	83.5000	83.5100	25,050.00	-2.05	-21,872.76	3,175.19	-3.00	C:P			
Total MMP		-1,500			116,700.00	-5.20	-110,420.92	7,560.60	-7,053.00				
PCL	2014-06-20, 16:20:00	-500	44.0000	44.6200	22,000.00	-0.55	-21,121.63	1,232.19	-310.00	A:C			
Total PCL		-500			22,000.00	-0.55	-21,121.63	1,232.19	-310.00				
PFF	2014-06-06, 11:12:05	500	39.4000	39.3900	-19,700.00	-2.50	19,702.50	0.00	-5.00	O			
Total PFF		500			-19,700.00	-2.50	19,702.50	0.00	-5.00				
XLU	2014-06-27, 10:19:49	-300	43.7500	43.9100	13,125.00	-1.79	-12,072.98	1,050.23	-48.00	C:P			
XLU	2014-06-27, 14:33:44	-500	44.00004	43.9100	22,000.02	-2.79	-20,124.63	1,872.60	45.02	C:P			
XLU	2014-06-27, 16:20:00	-1,400	43.5000	44.2600	60,900.00	-1.51	-56,938.89	4,298.50	-1,064.00	A:C			
XLU	2014-06-30, 15:02:34	-200	44.2500	44.2600	8,850.00	-1.20	-8,528.25	320.55	-2.00	C			
XLU	2014-06-30, 15:09:36	-200	44.3500	44.2600	8,870.00	-1.20	-8,528.25	340.55	18.00	C			
XLU	2014-06-30, 15:58:30	-100	44.2500	44.2600	4,425.00	-1.10	-4,269.82	154.08	-1.00	C			
XLU	2014-06-30, 15:59:12	-200	44.2400	44.2600	8,848.00	-1.20	-8,539.64	307.16	-4.00	C			
Total XLU		-2,900			127,018.02	-10.77	-119,002.46	8,343.69	-1,055.98				
Total					-593,054.48	-87.10	612,281.58	22,409.72	-13,823.48				
Equity and Index Options													
Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code			

Trades												
USD												
ADM 13JUN14 44.5 C	2014-06-12, 10:57:48	18	0.0500	0.011333	-90.00	-10.62	384.29	283.67	-69.60			C:P
Total ADM 13JUN14 44.5 C		18			-90.00	-10.62	384.29	283.67	-69.60			
ADM 13JUN14 39.5 P	2014-06-13, 16:20:00	-18	0.0000	0.0322	0.00	0.00	-107.82	-107.82	-57.96			C:Ep
Total ADM 13JUN14 39.5 P		-18			0.00	0.00	-107.82	-107.82	-57.96			
ADM 13JUN14 41.0 P	2014-06-13, 16:20:00	-6	0.0000	0.0379	0.00	0.00	-45.00	-45.00	-22.74			C:Ep
Total ADM 13JUN14 41.0 P		-6			0.00	0.00	-45.00	-45.00	-22.74			
ADM 21JUN14 40.0 P	2014-06-13, 13:50:19	25	0.0400	0.0839	-100.00	-4.00	104.00	0.00	109.75			O:P
ADM 21JUN14 40.0 P	2014-06-20, 16:20:00	-25	0.0000	0.0001	0.00	0.00	-104.00	-104.00	-0.25			C:Ep
Total ADM 21JUN14 40.0 P		0			-100.00	-4.00	0.00	-104.00	109.50			
ADM 03JUL14 45.0 C	2014-06-18, 15:41:32	-12	0.3291667	0.3350	395.00	-8.31	-386.69	0.00	-7.00			O:P
ADM 03JUL14 45.0 C	2014-06-25, 13:28:58	12	0.0900	0.0800	-108.00	-7.08	386.69	271.60	-12.00			C
Total ADM 03JUL14 45.0 C		0			287.00	-15.40	0.00	271.60	-19.00			
ADM 03JUL14 45.5 C	2014-06-19, 13:47:35	-12	0.3091667	0.3750	371.00	-9.51	-361.49	0.00	-79.00			O:P
ADM 03JUL14 45.5 C	2014-06-24, 13:02:00	12	0.1300	0.0950	-156.00	-9.48	361.49	196.01	-42.00			C
Total ADM 03JUL14 45.5 C		0			215.00	-18.99	0.00	196.01	-121.00			
ADM 03JUL14 40.5 P	2014-06-18, 15:41:32	12	0.0791667	0.0169	-95.00	-6.49	101.49	0.00	-74.72			O:P
Total ADM 03JUL14 40.5 P		12			-95.00	-6.49	101.49	0.00	-74.72			
ADM 03JUL14 41.0 P	2014-06-19, 13:47:35	12	0.0591667	0.0120	-71.00	-7.09	78.09	0.00	-56.60			O:P
Total ADM 03JUL14 41.0 P		12			-71.00	-7.09	78.09	0.00	-56.60			
APL 21JUN14 28.0 P	2014-06-17, 13:13:02	-50	0.0620	0.1303	310.00	-29.61	-796.56	-516.17	-341.50			C:P
APL 21JUN14 28.0 P	2014-06-20, 16:20:00	-1	0.0000	0.0001	0.00	0.00	-12.72	-12.72	-0.01			C:Ep
Total APL 21JUN14 28.0 P		-51			310.00	-29.61	-809.28	-528.89	-341.51			
APL 21JUN14 29.0 P	2014-06-19, 11:24:09	-17	0.0264706	0.0001	45.00	-5.82	-260.46	-221.28	44.83			C:P
Total APL 21JUN14 29.0 P		-17			45.00	-5.82	-260.46	-221.28	44.83			
APL 19JUL14 29.0 P	2014-06-17, 13:13:02	50	0.1420	0.0389	-710.00	-39.50	749.50	0.00	-515.50			O:P
Total APL 19JUL14 29.0 P		50			-710.00	-39.50	749.50	0.00	-515.50			
APL 19JUL14 30.0 P	2014-06-19, 11:24:09	17	0.1364706	0.0001	-232.00	-13.43	245.43	0.00	-231.83			O:P
Total APL 19JUL14 30.0 P		17			-232.00	-13.43	245.43	0.00	-231.83			
BX 06JUN14 28.0 P	2014-06-06, 16:20:00	-30	0.0000	0.0000	0.00	0.00	-196.00	-196.00	0.00			C:Ep
Total BX 06JUN14 28.0 P		-30			0.00	0.00	-196.00	-196.00	0.00			
BX 13JUN14 29.5 P	2014-06-06, 09:37:04	20	0.0275	0.002154	-55.00	-5.38	60.38	0.00	-50.69			O:P
BX 13JUN14 29.5 P	2014-06-13, 16:20:00	-20	0.0000	0.0110	0.00	0.00	-60.38	-60.38	-22.00			C:Ep
Total BX 13JUN14 29.5 P		0			-55.00	-5.38	0.00	-60.38	-72.69			

Trades													
BX 13JUN14 30.5 P	2014-06-09, 09:48:54	20	0.0415	0.000242	-83.00	-6.11	89.11	0.00	-82.52	O:P			
BX 13JUN14 30.5 P	2014-06-13, 16:20:00	-20	0.0000	0.0125	0.00	0.00	-89.11	-89.11	-25.00	C:Ep			
Total BX 13JUN14 30.5 P		0			-83.00	-6.11	0.00	-89.11	-107.52				
BX 13JUN14 32.5 P	2014-06-06, 09:37:04	-10	0.4060	0.077036	406.00	-7.03	-398.97	0.00	328.96	O:P			
BX 13JUN14 32.5 P	2014-06-06, 15:51:25	10	0.1000	0.077036	-100.00	-6.10	398.97	292.87	-22.96	C:P			
Total BX 13JUN14 32.5 P		0			306.00	-13.13	0.00	292.87	306.00				
BX 13JUN14 33.5 P	2014-06-09, 09:48:54	-10	0.4330	0.394688	433.00	-7.03	-425.97	0.00	38.31	O:P			
BX 13JUN14 33.5 P	2014-06-13, 16:20:00	10	0.0000	0.2826	0.00	0.00	425.97	0.00	282.60	A:C			
Total BX 13JUN14 33.5 P		0			433.00	-7.03	0.00	0.00	320.91				
BX 21JUN14 30.0 P	2014-06-10, 10:32:53	10	0.0300	0.026668	-30.00	-2.82	32.82	0.00	-3.33	O:P			
BX 21JUN14 30.0 P	2014-06-11, 10:30:57	10	0.0500	0.025589	-50.00	-5.90	55.90	0.00	-24.41	O:P			
BX 21JUN14 30.0 P	2014-06-13, 14:58:15	20	0.0400	0.0298	-80.00	-2.00	82.00	0.00	-20.40	O			
BX 21JUN14 30.0 P	2014-06-16, 09:47:14	10	0.0400	0.0238	-40.00	-1.00	41.00	0.00	-16.20	O			
BX 21JUN14 30.0 P	2014-06-20, 13:58:15	-2	0.0100	0.0001	2.00	-1.19	-6.58	-5.77	1.98	C:P			
BX 21JUN14 30.0 P	2014-06-20, 16:20:00	-48	0.0000	0.0001	0.00	0.00	-205.14	-205.14	-0.48	C:Ep			
Total BX 21JUN14 30.0 P		0			-198.00	-12.91	0.00	-210.91	-62.84				
BX 21JUN14 33.0 P	2014-06-10, 10:32:53	-5	0.4600	0.305295	230.00	-3.67	-226.33	0.00	77.35	O:P			
BX 21JUN14 33.0 P	2014-06-11, 10:30:57	-10	0.4000	0.220433	400.00	-7.93	-392.07	0.00	179.57	O:P			
BX 21JUN14 33.0 P	2014-06-18, 15:52:11	15	0.0700	0.0615	-105.00	-8.85	618.40	504.55	-12.75	C			
Total BX 21JUN14 33.0 P		0			525.00	-20.45	0.00	504.55	244.17				
BX 27JUN14 30.5 P	2014-06-19, 11:34:36	20	0.0320	0.0074	-64.00	-6.82	70.82	0.00	-49.20	O:P			
BX 27JUN14 30.5 P	2014-06-27, 16:20:00	-20	0.0000	0.0000	0.00	0.00	-70.82	-70.82	0.00	C:Ep			
Total BX 27JUN14 30.5 P		0			-64.00	-6.82	0.00	-70.82	-49.20				
BX 27JUN14 31.0 P	2014-06-19, 10:02:25	10	0.0420	0.0098	-42.00	-3.90	45.90	0.00	-32.20	O:P			
BX 27JUN14 31.0 P	2014-06-27, 16:20:00	-10	0.0000	0.0000	0.00	0.00	-45.90	-45.90	0.00	C:Ep			
Total BX 27JUN14 31.0 P		0			-42.00	-3.90	0.00	-45.90	-32.20				
BX 27JUN14 33.5 P	2014-06-19, 11:34:36	-10	0.4140	0.3579	414.00	-7.93	-406.07	0.00	56.10	O:P			
BX 27JUN14 33.5 P	2014-06-27, 16:20:00	10	0.0000	0.0400	0.00	0.00	406.07	0.00	40.00	A:C			
Total BX 27JUN14 33.5 P		0			414.00	-7.93	0.00	0.00	96.10				
BX 27JUN14 34.0 P	2014-06-19, 10:02:25	-5	0.5840	0.6169	292.00	-3.97	-288.03	0.00	-16.45	O:P			
BX 27JUN14 34.0 P	2014-06-27, 16:20:00	5	0.0000	0.5400	0.00	0.00	288.03	0.00	270.00	A:C			
Total BX 27JUN14 34.0 P		0			292.00	-3.97	0.00	0.00	253.55				

Trades													
BX 03JUL14 29.5 P	2014-06-20, 14:48:26	50	0.0300	0.0593	-150.00	-14.75	164.76	0.00	146.50	O:P			
Total BX 03JUL14 29.5 P		50			-150.00	-14.75	164.76	0.00	146.50				
BX 19JUL14 30.0 P	2014-06-20, 13:58:15	2	0.1100	0.1005	-22.00	-1.58	23.58	0.00	-1.90	O:P			
Total BX 19JUL14 30.0 P		2			-22.00	-1.58	23.58	0.00	-1.90				
CQP 21JUN14 34.0 C	2014-06-12, 09:30:13	33	0.0500	0.012053	-165.00	-19.47	2,189.25	2,004.78	-125.23	C			
Total CQP 21JUN14 34.0 C		33			-165.00	-19.47	2,189.25	2,004.78	-125.23				
CQP 21JUN14 28.0 P	2014-06-20, 16:20:00	-13	0.0000	0.0001	0.00	0.00	-249.21	-249.21	-0.13	C:Ep			
Total CQP 21JUN14 28.0 P		-13			0.00	0.00	-249.21	-249.21	-0.13				
CQP 21JUN14 29.0 P	2014-06-10, 10:22:04	6	0.1466667	0.02446	-88.00	-3.36	91.36	0.00	-73.32	O:P			
CQP 21JUN14 29.0 P	2014-06-20, 16:20:00	-59	0.0000	0.0001	0.00	0.00	-1,368.37	-1,368.37	-0.59	C:Ep			
Total CQP 21JUN14 29.0 P		-53			-88.00	-3.36	-1,277.01	-1,368.37	-73.91				
CQP 21JUN14 32.0 P	2014-06-10, 10:22:04	-3	0.5933333	0.468539	178.00	-1.63	-176.37	0.00	37.44	O:P			
CQP 21JUN14 32.0 P	2014-06-20, 12:17:17	3	0.0500	0.0054	-15.00	-1.77	176.37	159.60	-13.38	C:P			
Total CQP 21JUN14 32.0 P		0			163.00	-3.40	0.00	159.60	24.06				
CQP 19JUL14 28.0 P	2014-06-20, 12:57:03	10	0.0710	0.0481	-71.00	-4.63	75.63	0.00	-22.90	O:P			
CQP 19JUL14 28.0 P	2014-06-20, 12:57:26	1	0.0900	0.0481	-9.00	-1.09	10.09	0.00	-4.19	O			
CQP 19JUL14 28.0 P	2014-06-20, 12:57:31	6	0.0833333	0.0481	-50.00	-3.06	53.06	0.00	-21.14	O:P			
CQP 19JUL14 28.0 P	2014-06-20, 12:57:53	10	0.0810	0.0481	-81.00	-5.90	86.90	0.00	-32.90	O:P			
Total CQP 19JUL14 28.0 P		27			-211.00	-14.68	225.68	0.00	-81.13				
CQP 19JUL14 29.0 P	2014-06-20, 12:57:03	10	0.1480	0.0585	-148.00	-5.83	153.83	0.00	-89.50	O:P			
CQP 19JUL14 29.0 P	2014-06-20, 12:57:26	1	0.1300	0.0585	-13.00	-1.09	14.09	0.00	-7.15	O			
CQP 19JUL14 29.0 P	2014-06-20, 12:57:31	6	0.1466667	0.0585	-88.00	-3.66	91.66	0.00	-52.90	O:P			
CQP 19JUL14 29.0 P	2014-06-20, 12:57:53	10	0.1590	0.0585	-159.00	-7.90	166.90	0.00	-100.50	O:P			
CQP 19JUL14 29.0 P	2014-06-24, 11:02:53	10	0.1040	0.0001	-104.00	-7.90	111.90	0.00	-103.90	O:P			
Total CQP 19JUL14 29.0 P		37			-512.00	-26.38	538.38	0.00	-353.95				
CQP 19JUL14 32.0 P	2014-06-24, 11:02:53	-5	0.7080	0.6902	354.00	-3.97	-350.03	0.00	8.90	O:P			
Total CQP 19JUL14 32.0 P		-5			354.00	-3.97	-350.03	0.00	8.90				
CTL 21JUN14 30.0 P	2014-06-11, 09:34:19	-50	0.0100	0.000001	50.00	-17.11	-722.34	-689.45	50.00	C:P			
CTL 21JUN14 30.0 P	2014-06-16, 09:34:41	-65	0.0123077	0.0179	80.00	-22.24	-894.75	-836.98	-36.35	C:P			
CTL 21JUN14 30.0 P	2014-06-20, 16:20:00	-2	0.0000	0.0001	0.00	0.00	-27.32	-27.32	-0.02	C:Ep			
Total CTL 21JUN14 30.0 P		-117			130.00	-39.34	-1,644.41	-1,553.75	13.62				
CTL 21JUN14 32.0 P	2014-06-10, 11:32:58	-15	0.0106667	0.000001	16.00	-5.14	-139.81	-128.95	16.00	C:P			
Total CTL 21JUN14 32.0 P		-15			16.00	-5.14	-139.81	-128.95	16.00				

Trades												
CTL 21JUN14 33.0 P	2014-06-20, 16:20:00	-5	0.0000	0.0001	0.00	-51.62	0.00	-51.62	-51.62	0.00	-0.05	C:Ep
Total CTL 21JUN14 33.0 P		-5	0.0706667	0.070661	0.00	-51.62	0.00	-51.62	-51.62	0.00	-0.05	O:P
CTL 19JUL14 33.0 P	2014-06-10, 11:32:58	15	0.0706667	0.070661	-106.00	114.85	-8.85	114.85	0.00	0.00	0.00	O:P
CTL 19JUL14 33.0 P	2014-06-11, 09:34:19	50	0.0800	0.07261	-400.00	429.50	-29.51	429.50	0.00	0.00	-36.95	O:P
CTL 19JUL14 33.0 P	2014-06-16, 09:34:41	65	0.0823077	0.0879	-535.00	573.36	-38.36	573.36	0.00	0.00	36.35	O:P
CTL 19JUL14 33.0 P	2014-06-27, 09:31:34	5	0.0500	0.0762	-25.00	27.95	-2.95	27.95	0.00	0.00	13.10	O:P
Total CTL 19JUL14 33.0 P		135	0.5000	0.6162	-1,066.00	1,145.66	-79.66	1,145.66	0.00	0.00	12.49	O:P
CTL 19JUL14 36.0 P	2014-06-27, 09:31:34	-5	0.5000	0.6162	250.00	-246.03	-3.97	-246.03	0.00	0.00	-58.10	O:P
Total CTL 19JUL14 36.0 P		-5	0.0000	1.1700	0.00	413.78	0.00	413.78	0.00	0.00	1,521.00	A:C
DD 06JUN14 68.5 C	2014-06-06, 16:20:00	13	0.0000	0.0000	0.00	413.78	0.00	413.78	0.00	0.00	1,521.00	A:C
Total DD 06JUN14 68.5 C		13	0.0000	0.0000	0.00	413.78	0.00	413.78	0.00	0.00	1,521.00	A:C
DD 06JUN14 62.0 P	2014-06-06, 16:20:00	-26	0.0000	0.0000	0.00	-179.41	0.00	-179.41	-179.41	0.00	0.00	C:Ep
Total DD 06JUN14 62.0 P		-26	0.0000	0.0000	0.00	-179.41	0.00	-179.41	-179.41	0.00	0.00	C:Ep
DD 13JUN14 63.0 P	2014-06-05, 09:35:46	12	0.0500	0.002579	-60.00	63.48	-3.48	63.48	0.00	0.00	-56.91	O
DD 13JUN14 63.0 P	2014-06-13, 16:20:00	-12	0.0000	0.0160	0.00	-63.48	0.00	-63.48	-63.48	0.00	-19.20	C:Ep
Total DD 13JUN14 63.0 P		0	0.0340	0.004028	-60.00	0.00	-3.48	0.00	0.00	0.00	-76.11	O
DD 21JUN14 62.5 P	2014-06-12, 09:55:48	10	0.0340	0.004028	-34.00	37.90	-3.90	37.90	0.00	0.00	-29.97	O:P
DD 21JUN14 62.5 P	2014-06-13, 09:37:20	7	0.0400	0.0284	-28.00	28.70	-0.70	28.70	0.00	0.00	-8.12	O
DD 21JUN14 62.5 P	2014-06-20, 16:20:00	-17	0.0000	0.0001	0.00	-66.60	0.00	-66.60	-66.60	0.00	-0.17	C:Ep
Total DD 21JUN14 62.5 P		0	0.0000	0.0001	-62.00	0.00	-4.60	0.00	-66.60	-66.60	-38.26	O:P
DD 21JUN14 68.5 P	2014-06-12, 09:55:48	-5	0.4180	0.825974	209.00	-205.03	-3.97	-205.03	0.00	0.00	-203.99	O:P
DD 21JUN14 68.5 P	2014-06-20, 15:45:00	5	0.0500	0.5248	-25.00	205.03	-2.96	205.03	177.07	177.07	237.40	C:P
Total DD 21JUN14 68.5 P		0	0.0320	0.0088	184.00	0.00	-6.93	0.00	177.07	177.07	33.41	O:P
DD 27JUN14 62.0 P	2014-06-20, 15:52:15	10	0.0320	0.0088	-32.00	35.42	-3.42	35.42	0.00	0.00	-23.20	O:P
DD 27JUN14 62.0 P	2014-06-27, 16:20:00	-10	0.0000	0.0000	0.00	-35.42	0.00	-35.42	-35.42	0.00	0.00	C:Ep
Total DD 27JUN14 62.0 P		0	0.0000	0.0000	-32.00	0.00	-3.42	0.00	-35.42	-35.42	-23.20	O:P
DD 27JUN14 68.5 P	2014-06-20, 15:52:15	-5	0.4840	0.4805	242.00	-238.03	-3.97	-238.03	0.00	0.00	1.75	O:P
DD 27JUN14 68.5 P	2014-06-27, 16:20:00	5	0.0000	3.0600	0.00	238.03	0.00	238.03	0.00	0.00	1,530.00	A:C
Total DD 27JUN14 68.5 P		0	0.0000	3.0600	242.00	0.00	-3.97	0.00	0.00	0.00	1,531.75	A:C
DD 03JUL14 69.0 C	2014-06-20, 10:42:05	-1	0.2600	0.3581	26.00	-25.21	-0.79	-25.21	0.00	0.00	-9.81	O
DD 03JUL14 69.0 C	2014-06-20, 10:46:58	-7	0.2757143	0.3581	193.00	-187.45	-5.55	-187.45	0.00	0.00	-57.67	O:P
DD 03JUL14 69.0 C	2014-06-27, 09:31:31	8	0.0400	0.0092	-32.00	212.66	-2.72	212.66	177.94	177.94	-24.64	C
Total DD 03JUL14 69.0 C		0	0.0400	0.0092	187.00	0.00	-9.06	0.00	177.94	177.94	-92.12	C

Trades													
DD 03JUL14 61.0 P	2014-06-20, 10:42:05	2	0.0300	0.0168	-6.00	-0.58	6.58	0.00	-2.64	O			
DD 03JUL14 61.0 P	2014-06-20, 10:46:58	14	0.0128571	0.0168	-18.00	-4.78	22.78	0.00	5.52	O:P			
Total DD 03JUL14 61.0 P		16			-24.00	-5.36	29.36	0.00	2.88				
DD 11JUL14 61.0 P	2014-06-27, 15:33:53	10	0.0660	0.0004	-66.00	-5.90	71.90	0.00	-65.60	O:P			
Total DD 11JUL14 61.0 P		10			-66.00	-5.90	71.90	0.00	-65.60				
DD 11JUL14 64.5 P	2014-06-27, 15:33:53	-5	0.4340	0.3193	217.00	-3.97	-213.03	0.00	57.35	O:P			
Total DD 11JUL14 64.5 P		-5			217.00	-3.97	-213.03	0.00	57.35				
DLR 21JUN14 50.0 P	2014-06-06, 15:59:20	5	0.0500	0.000175	-25.00	-0.50	25.50	0.00	-24.91	O			
DLR 21JUN14 50.0 P	2014-06-09, 10:10:54	10	0.0500	0.00004	-50.00	-1.00	51.00	0.00	-49.96	O			
DLR 21JUN14 50.0 P	2014-06-20, 16:20:00	-21	0.0000	0.0001	0.00	0.00	-194.41	-194.41	-0.21	C:Ep			
Total DLR 21JUN14 50.0 P		-6			-75.00	-1.50	-117.91	-194.41	-75.08				
DLR 19JUL14 60.0 C	2014-06-03, 10:51:38	-10	0.5000	0.524828	500.00	-4.47	-495.53	0.00	-24.83	O:P			
DLR 19JUL14 60.0 C	2014-06-05, 09:54:50	-5	0.5580	0.799821	279.00	-3.97	-275.03	0.00	-120.91	O:P			
DLR 19JUL14 60.0 C	2014-06-05, 11:09:35	-5	0.5600	0.799821	280.00	-3.97	-276.03	0.00	-119.91	O:P			
DLR 19JUL14 60.0 C	2014-06-09, 15:38:57	-5	0.4220	0.366588	211.00	-0.74	-210.26	0.00	27.71	O:P			
DLR 19JUL14 60.0 C	2014-06-10, 09:37:14	-10	0.4500	0.424993	450.00	-1.00	-449.00	0.00	25.01	O:P			
DLR 19JUL14 60.0 C	2014-06-19, 09:54:01	-5	0.4060	0.4500	203.00	-3.57	-199.43	0.00	-22.00	O:P			
DLR 19JUL14 60.0 C	2014-06-19, 10:26:53	-4	0.4900	0.4500	196.00	-3.17	-192.83	0.00	16.00	O:P			
Total DLR 19JUL14 60.0 C		-44			2,119.00	-20.89	-2,098.11	0.00	-218.94				
DLR 19JUL14 50.0 P	2014-06-03, 10:51:38	10	0.2500	0.226538	-250.00	-4.45	254.45	0.00	-23.46	O:P			
DLR 19JUL14 50.0 P	2014-06-05, 09:54:50	10	0.2040	0.184512	-204.00	-7.90	211.90	0.00	-19.49	O:P			
DLR 19JUL14 50.0 P	2014-06-05, 11:09:35	10	0.1800	0.184512	-180.00	-7.90	187.90	0.00	4.51	O:P			
DLR 19JUL14 50.0 P	2014-06-09, 15:38:57	5	0.1720	0.186899	-86.00	-0.74	86.74	0.00	7.45	O:P			
DLR 19JUL14 50.0 P	2014-06-10, 09:37:14	10	0.2000	0.194914	-200.00	-1.24	201.24	0.00	-5.09	O:P			
DLR 19JUL14 50.0 P	2014-06-19, 09:54:01	10	0.1030	0.1888	-103.00	-5.72	108.72	0.00	85.80	O:P			
DLR 19JUL14 50.0 P	2014-06-19, 10:26:53	8	0.1200	0.1888	-96.00	-6.32	102.32	0.00	55.04	O:P			
Total DLR 19JUL14 50.0 P		63			-1,119.00	-34.27	1,153.27	0.00	104.77				
ETP 21JUN14 50.0 P	2014-06-12, 14:40:03	-10	0.0580	0.000001	58.00	-4.45	-135.40	-81.86	58.00	C:P			
ETP 21JUN14 50.0 P	2014-06-12, 14:40:21	-17	0.0588235	0.000001	100.00	-6.14	-230.18	-136.32	100.00	C:P			
ETP 21JUN14 50.0 P	2014-06-20, 16:20:00	-31	0.0000	0.0001	0.00	0.00	-419.75	-419.75	-0.31	C:Ep			
Total ETP 21JUN14 50.0 P		-58			158.00	-10.59	-785.33	-637.92	157.69				

Trades													
ETP 21JUN14 55.0 P	2014-06-16, 09:48:22	20	0.0500	0.2746	-100.00	-11.80	853.58	741.78	449.20	C			
Total ETP 21JUN14 55.0 P		20			-100.00	-11.80	853.58	741.78	449.20				
ETP 19JUL14 57.5 C	2014-06-17, 09:39:30	-5	0.6000	0.6000	300.00	-2.58	-297.42	0.00	0.00	O:P			
ETP 19JUL14 57.5 C	2014-06-24, 09:46:24	-5	0.6000	0.4750	300.00	-1.89	-298.11	0.00	62.50	O:P			
ETP 19JUL14 57.5 C	2014-06-25, 13:52:55	-4	0.7500	0.8750	300.00	-3.18	-296.82	0.00	-50.00	O			
Total ETP 19JUL14 57.5 C		-14			900.00	-7.64	-892.36	0.00	12.50				
ETP 19JUL14 50.0 P	2014-06-12, 14:40:03	10	0.1180	0.150089	-118.00	-5.83	123.83	0.00	32.09	O:P			
ETP 19JUL14 50.0 P	2014-06-12, 14:40:21	17	0.1188235	0.150089	-202.00	-7.91	209.91	0.00	53.15	O:P			
Total ETP 19JUL14 50.0 P		27			-320.00	-13.74	333.74	0.00	85.24				
GIS 21JUN14 55.0 C	2014-06-17, 10:40:24	3	0.0500	0.0577	-15.00	-1.77	175.02	158.25	2.31	C			
Total GIS 21JUN14 55.0 C		3			-15.00	-1.77	175.02	158.25	2.31				
GIS 21JUN14 50.0 P	2014-06-19, 11:54:30	-10	0.0100	0.0180	10.00	-3.43	-124.61	-118.04	-8.00	C:P			
GIS 21JUN14 50.0 P	2014-06-20, 16:20:00	-6	0.0000	0.0001	0.00	0.00	-68.54	-68.54	-0.06	C:Ep			
Total GIS 21JUN14 50.0 P		-16			10.00	-3.43	-193.15	-186.58	-8.06				
GIS 21JUN14 52.5 P	2014-06-09, 09:42:09	10	0.0500	0.031306	-50.00	-2.90	448.74	395.84	-18.69	C			
Total GIS 21JUN14 52.5 P		10			-50.00	-2.90	448.74	395.84	-18.69				
GIS 21JUN14 55.0 P	2014-06-09, 12:36:58	-10	0.3000	0.401822	300.00	-6.43	-293.57	0.00	-101.82	O:P			
GIS 21JUN14 55.0 P	2014-06-20, 16:20:00	10	0.0000	0.4052	0.00	0.00	293.57	0.00	405.20	A:C			
Total GIS 21JUN14 55.0 P		0			300.00	-6.43	0.00	0.00	303.38				
GIS 19JUL14 49.0 P	2014-06-19, 11:49:07	7	0.0500	0.1487	-35.00	-2.03	37.03	0.00	69.09	O			
GIS 19JUL14 49.0 P	2014-06-19, 11:54:30	10	0.0700	0.1487	-70.00	-5.90	75.90	0.00	78.70	O:P			
Total GIS 19JUL14 49.0 P		17			-105.00	-7.93	112.93	0.00	147.79				
HCN 21JUN14 55.0 P	2014-06-09, 09:37:30	-25	0.0456	0.000068	114.00	-9.39	-429.02	-324.41	113.83	C:P			
HCN 21JUN14 55.0 P	2014-06-10, 12:15:02	2	0.0550	0.000043	-11.00	-0.44	11.44	0.00	-10.99	O:P			
HCN 21JUN14 55.0 P	2014-06-20, 16:20:00	-2	0.0000	0.0001	0.00	0.00	-11.44	-11.44	-0.02	C:Ep			
Total HCN 21JUN14 55.0 P		-25			103.00	-9.83	-429.02	-335.85	102.82				
HCN 21JUN14 57.5 P	2014-06-19, 09:51:05	-25	0.0376	0.0873	94.00	-9.09	-309.98	-225.07	-124.25	C:P			
Total HCN 21JUN14 57.5 P		-25			94.00	-9.09	-309.98	-225.07	-124.25				
HCN 21JUN14 62.5 P	2014-06-10, 12:15:02	-1	0.6600	0.43606	66.00	-0.34	-65.66	0.00	22.39	O			
HCN 21JUN14 62.5 P	2014-06-20, 16:20:00	1	0.0000	0.0340	0.00	0.00	65.66	65.66	3.40	C:Ep			
Total HCN 21JUN14 62.5 P		0			66.00	-0.34	0.00	65.66	25.79				
HCN 19JUL14 57.5 P	2014-06-09, 09:37:30	25	0.1056	0.032374	-264.00	-12.16	276.16	0.00	-183.06	O:P			
HCN 19JUL14 57.5 P	2014-06-19, 09:51:05	25	0.1576	0.0739	-394.00	-16.30	410.30	0.00	-209.25	O:P			

Trades													
HYG 19JUL14 88.0 P	2014-06-19, 14:58:51	50	0.0876	0.0750	-438.00	-30.10	468.10	0.00	-63.00	O:P			
Total HYG 19JUL14 88.0 P		50			-438.00	-30.10	468.10	0.00	-63.00				
IYR 06JUN14 71.5 C	2014-06-05, 16:20:00	21	0.00000	1.2500	0.00	0.00	735.80	0.00	2,625.00	A:C			
IYR 06JUN14 71.5 C	2014-06-06, 16:20:00	4	0.00000	1.2500	0.00	0.00	140.15	0.00	500.00	A:C			
Total IYR 06JUN14 71.5 C		25			0.00	0.00	875.95	0.00	3,125.00				
IYR 06JUN14 63.0 P	2014-06-06, 16:20:00	-50	0.00000	0.00000	0.00	0.00	-310.04	-310.04	0.00	C:Ep			
Total IYR 06JUN14 63.0 P		-50			0.00	0.00	-310.04	-310.04	0.00				
IYR 13JUN14 70.0 P	2014-06-06, 09:32:59	25	0.0500	0.027611	-125.00	-5.50	130.50	0.00	-55.97	O			
IYR 13JUN14 70.0 P	2014-06-13, 16:20:00	-25	0.00000	0.0199	0.00	0.00	-130.50	-130.50	-49.75	C:Ep			
Total IYR 13JUN14 70.0 P		0			-125.00	-5.50	0.00	-130.50	-105.72				
IYR 21JUN14 66.0 P	2014-06-09, 15:48:32	5	0.0500	0.033641	-25.00	-2.24	27.24	0.00	-8.18	O:P			
IYR 21JUN14 66.0 P	2014-06-20, 16:20:00	-5	0.00000	0.0774	0.00	0.00	-27.24	-27.24	-38.70	C:Ep			
Total IYR 21JUN14 66.0 P		0			-25.00	-2.24	0.00	-27.24	-46.88				
IYR 03JUL14 63.0 P	2014-06-12, 09:35:53	25	0.0500	0.029336	-125.00	-5.50	130.50	0.00	-51.66	O			
Total IYR 03JUL14 63.0 P		25			-125.00	-5.50	130.50	0.00	-51.66				
JNK 21JUN14 39.0 P	2014-06-09, 09:58:52	-50	0.0228	0.000001	114.00	-17.11	-596.96	-500.07	114.00	C:P			
JNK 21JUN14 39.0 P	2014-06-10, 09:31:40	-50	0.0312	0.000001	156.00	-17.11	-648.00	-509.11	156.00	C:P			
JNK 21JUN14 39.0 P	2014-06-10, 09:33:16	-50	0.0146	0.000001	73.00	-17.11	-648.00	-592.11	73.00	C:P			
JNK 21JUN14 39.0 P	2014-06-11, 09:33:03	-17	0.0300	0.000001	51.00	-5.82	-220.32	-175.14	51.00	C:P			
Total JNK 21JUN14 39.0 P		-167			394.00	-57.14	-2,113.29	-1,776.43	393.98				
JNK 19JUL14 39.0 P	2014-06-09, 09:58:52	50	0.0714	0.001375	-357.00	-29.50	386.50	0.00	-350.12	O:P			
JNK 19JUL14 39.0 P	2014-06-10, 09:31:40	50	0.0912	0.001632	-456.00	-31.90	487.90	0.00	-447.84	O:P			
JNK 19JUL14 39.0 P	2014-06-10, 09:33:16	50	0.0746	0.001632	-373.00	-29.50	402.50	0.00	-364.84	O:P			
JNK 19JUL14 39.0 P	2014-06-11, 09:33:03	17	0.0888235	0.000145	-151.00	-10.03	161.03	0.00	-150.75	O:P			
Total JNK 19JUL14 39.0 P		167			-1,337.00	-100.95	1,437.95	0.00	-1,313.56				
KMP 21JUN14 67.5 P	2014-06-12, 09:51:35	-25	0.0288	0.004193	72.00	-8.56	-358.02	-294.58	61.52	C:P			
KMP 21JUN14 67.5 P	2014-06-16, 12:42:58	-24	0.0100	0.0003	24.00	-8.21	-283.70	-267.91	23.28	C:P			
Total KMP 21JUN14 67.5 P		-49			96.00	-16.77	-641.72	-562.49	84.80				
KMP 19JUL14 72.5 P	2014-06-12, 09:51:35	25	0.1088	0.089602	-272.00	-19.75	291.75	0.00	-48.00	O:P			
KMP 19JUL14 72.5 P	2014-06-16, 12:42:58	24	0.1000	0.0303	-240.00	-18.96	258.96	0.00	-167.28	O:P			
Total KMP 19JUL14 72.5 P		49			-512.00	-38.71	550.71	0.00	-215.28				
LQD 21JUN14 110.0 P	2014-06-11, 09:38:20	-25	0.0272	0.000001	68.00	-8.55	-294.02	-234.57	68.00	C:P			
Total LQD 21JUN14 110.0 P		-25			68.00	-8.55	-294.02	-234.57	68.00				

Trades												
LQD 21JUN14 112.0 P	2014-06-13, 10:47:34	-12	0.0175	0.0807	21.00	-4.11	-146.41	-129.52	-75.84	C:P		
LQD 21JUN14 112.0 P	2014-06-16, 10:03:53	-13	0.0215385	0.0419	28.00	-4.47	-158.61	-135.08	-26.47	C:P		
Total LQD 21JUN14 112.0 P		-25			49.00	-8.58	-305.02	-264.60	-102.31			
LQD 19JUL14 113.0 P	2014-06-11, 09:38:20	25	0.0860	0.014663	-215.00	-15.95	230.95	0.00	-178.34	O:P		
Total LQD 19JUL14 113.0 P		25			-215.00	-15.95	230.95	0.00	-178.34			
LQD 19JUL14 114.0 P	2014-06-13, 10:47:34	12	0.0775	0.0001	-93.00	-7.08	100.08	0.00	-92.88	O:P		
LQD 19JUL14 114.0 P	2014-06-16, 10:03:53	13	0.0815385	0.0447	-106.00	-7.67	113.67	0.00	-47.89	O:P		
Total LQD 19JUL14 114.0 P		25			-199.00	-14.75	213.75	0.00	-140.77			
MMP 21JUN14 75.0 C	2014-06-20, 16:20:00	10	0.0000	7.1151	0.00	0.00	1,286.72	0.00	7,115.10	A:C		
Total MMP 21JUN14 75.0 C		10			0.00	0.00	1,286.72	0.00	7,115.10			
MMP 21JUN14 82.5 C	2014-06-18, 15:34:15	-1	0.3500	0.3891	35.00	-1.09	-33.91	0.00	-3.91	O		
MMP 21JUN14 82.5 C	2014-06-20, 10:07:05	14	0.0500	0.1427	-70.00	-8.26	597.70	519.44	129.78	C:P		
MMP 21JUN14 82.5 C	2014-06-20, 14:46:17	-10	0.2000	0.1427	200.00	-7.93	-192.07	0.00	57.30	O		
MMP 21JUN14 82.5 C	2014-06-20, 16:20:00	10	0.0000	0.1427	0.00	0.00	192.07	192.07	142.70	C:Ep		
Total MMP 21JUN14 82.5 C		13			165.00	-17.28	563.79	711.51	325.87			
MMP 21JUN14 67.5 P	2014-06-20, 16:20:00	-20	0.0000	0.0001	0.00	0.00	-956.46	-956.46	-0.20	C:Ep		
Total MMP 21JUN14 67.5 P		-20			0.00	0.00	-956.46	-956.46	-0.20			
MMP 21JUN14 70.0 P	2014-06-20, 16:20:00	-10	0.0000	0.0001	0.00	0.00	-178.23	-178.23	-0.10	C:Ep		
Total MMP 21JUN14 70.0 P		-10			0.00	0.00	-178.23	-178.23	-0.10			
MMP 21JUN14 72.5 P	2014-06-20, 16:20:00	-3	0.0000	0.0001	0.00	0.00	-78.98	-78.98	-0.03	C:Ep		
Total MMP 21JUN14 72.5 P		-3			0.00	0.00	-78.98	-78.98	-0.03			
MMP 21JUN14 75.0 P	2014-06-16, 09:49:08	10	0.0570	0.0447	-57.00	-5.90	62.90	0.00	-12.30	O:P		
MMP 21JUN14 75.0 P	2014-06-20, 16:20:00	-10	0.0000	0.0001	0.00	0.00	-62.90	-62.90	-0.10	C:Ep		
Total MMP 21JUN14 75.0 P		0			-57.00	-5.90	0.00	-62.90	-12.40			
MMP 21JUN14 82.5 P	2014-06-16, 09:49:08	-10	0.4120	0.3438	412.00	-7.93	-404.07	0.00	68.20	O:P		
MMP 21JUN14 82.5 P	2014-06-20, 14:39:58	10	0.1500	0.7053	-150.00	-1.00	404.07	253.07	555.30	C		
Total MMP 21JUN14 82.5 P		0			262.00	-8.93	0.00	253.07	623.50			
MMP 19JUL14 85.0 C	2014-06-18, 15:34:50	-5	0.5040	0.6000	252.00	-2.52	-249.48	0.00	-48.00	O:P		
MMP 19JUL14 85.0 C	2014-06-23, 11:01:57	-4	0.6000	0.5250	240.00	-1.79	-238.21	0.00	30.00	O:P		
MMP 19JUL14 85.0 C	2014-06-24, 09:49:38	-1	0.6000	0.5000	60.00	-1.09	-58.91	0.00	10.00	O		
Total MMP 19JUL14 85.0 C		-10			552.00	-5.40	-546.60	0.00	-8.00			
MMP 19JUL14 72.5 P	2014-06-18, 15:34:50	10	0.1270	0.0001	-127.00	-5.14	132.14	0.00	-126.90	O:P		
MMP 19JUL14 72.5 P	2014-06-20, 15:36:38	10	0.1500	0.0001	-150.00	-1.00	151.00	0.00	-149.90	O		

Trades												
Total MMP 19JUL14 72.5 P	20					-277.00	-6.14	283.14	0.00	-276.80		
MWE 21JUN14 67.5 C	10	0.0500	0.2406			-50.00	-5.90	276.76	220.86	190.60	C	
Total MWE 21JUN14 67.5 C	10					-50.00	-5.90	276.76	220.86	190.60		
MWE 21JUN14 55.0 P	-8	0.1300	0.0419			104.00	-6.34	-252.08	-154.42	70.48	C:P	
MWE 21JUN14 55.0 P	-6	0.4000	0.0001			240.00	-4.76	-189.06	46.18	239.94	C	
MWE 21JUN14 55.0 P	-10	0.0820	0.0968			82.00	-4.65	-315.10	-237.75	-14.80	C:P	
MWE 21JUN14 55.0 P	-10	0.1070	0.0001			107.00	-7.92	-315.10	-216.03	106.90	C:P	
MWE 21JUN14 55.0 P	-6	0.1083333	0.0001			65.00	-4.55	-189.06	-128.62	64.94	C:P	
MWE 21JUN14 55.0 P	-1	0.1000	0.0001			10.00	-1.09	-31.51	-22.60	9.99	C	
MWE 21JUN14 55.0 P	-29	0.0000	0.0001			0.00	0.00	-913.80	-913.80	-0.29	C:Ep	
Total MWE 21JUN14 55.0 P	-70					608.00	-29.31	-2,205.73	-1,627.04	477.16		
MWE 19JUL14 70.0 C	-11	0.6000	0.5154			660.00	-8.73	-651.27	0.00	93.06	O	
Total MWE 19JUL14 70.0 C	-11					660.00	-8.73	-651.27	0.00	93.06		
MWE 19JUL14 57.5 P	8	0.2100	0.0173			-168.00	-6.32	174.32	0.00	-154.16	O:P	
MWE 19JUL14 57.5 P	10	0.1000	0.0001			-100.00	-7.90	107.90	0.00	-99.90	O	
MWE 19JUL14 57.5 P	6	0.5000	0.0001			-300.00	-4.74	304.74	0.00	-299.94	O	
MWE 19JUL14 57.5 P	10	0.2000	0.0847			-200.00	-5.83	205.83	0.00	-115.30	O:P	
MWE 19JUL14 57.5 P	17	0.1000	0.0847			-170.00	-13.43	183.43	0.00	-26.01	O	
MWE 19JUL14 57.5 P	10	0.2070	0.0001			-207.00	-7.90	214.90	0.00	-206.90	O:P	
MWE 19JUL14 57.5 P	6	0.2066667	0.0001			-124.00	-4.74	128.74	0.00	-123.94	O:P	
MWE 19JUL14 57.5 P	1	0.2000	0.0001			-20.00	-1.09	21.09	0.00	-19.99	O	
Total MWE 19JUL14 57.5 P	68					-1,289.00	-51.96	1,340.96	0.00	-1,046.14		
PAA 21JUN14 57.5 C	25	0.0500	0.0775			-125.00	-14.75	1,720.91	1,581.16	68.75	C:P	
Total PAA 21JUN14 57.5 C	25					-125.00	-14.75	1,720.91	1,581.16	68.75		
PAA 21JUN14 50.0 P	-25	0.0000	0.0001			0.00	0.00	-494.09	-494.09	-0.25	C:Ep	
Total PAA 21JUN14 50.0 P	-25					0.00	0.00	-494.09	-494.09	-0.25		
PAA 21JUN14 52.5 P	-25	0.0000	0.0001			0.00	0.00	-746.05	-746.05	-0.25	C:Ep	
Total PAA 21JUN14 52.5 P	-25					0.00	0.00	-746.05	-746.05	-0.25		
PAA 19JUL14 57.5 C	-5	0.9500	1.05004			475.00	-3.22	-471.78	0.00	-50.02	O:P	
PAA 19JUL14 57.5 C	-5	0.7260	0.5968			363.00	-3.22	-359.78	0.00	64.60	O:P	
PAA 19JUL14 57.5 C	-5	0.4820	0.4574			241.00	-3.97	-237.03	0.00	12.30	O:P	
PAA 19JUL14 57.5 C	-5	0.6920	0.8954			346.00	-3.27	-342.73	0.00	-101.70	O:P	

Trades													
PAA 19JUL14 57.5 C	2014-06-19, 10:29:09	-5	0.8420	0.8954	421.00	-3.22	-417.78	0.00	-26.70	O:P			
Total PAA 19JUL14 57.5 C		-25			1,846.00	-16.90	-1,829.10	0.00	-101.52				
PAA 19JUL14 50.0 P	2014-06-18, 10:40:46	10	0.1130	0.0023	-113.00	-7.90	120.90	0.00	-110.70	O:P			
Total PAA 19JUL14 50.0 P		10			-113.00	-7.90	120.90	0.00	-110.70				
PAA 19JUL14 52.5 P	2014-06-10, 11:39:22	10	0.1440	0.032347	-144.00	-6.82	150.82	0.00	-111.65	O:P			
PAA 19JUL14 52.5 P	2014-06-16, 09:57:12	10	0.1130	0.0047	-113.00	-6.46	119.46	0.00	-108.30	O:P			
PAA 19JUL14 52.5 P	2014-06-19, 10:09:00	10	0.1210	0.1110	-121.00	-6.46	127.46	0.00	-10.00	O:P			
PAA 19JUL14 52.5 P	2014-06-19, 10:29:09	10	0.1210	0.1110	-121.00	-6.46	127.46	0.00	-10.00	O:P			
Total PAA 19JUL14 52.5 P		40			-499.00	-26.20	625.20	0.00	-239.95				
PCL 21JUN14 44.0 C	2014-06-20, 16:20:00	5	0.0000	0.7574	0.00	0.00	354.37	0.00	378.70	A:C			
Total PCL 21JUN14 44.0 C		5			0.00	0.00	354.37	0.00	378.70				
PCL 21JUN14 39.0 P	2014-06-20, 16:20:00	-30	0.0000	0.0190	0.00	0.00	-336.64	-336.64	-57.00	C:Ep			
Total PCL 21JUN14 39.0 P		-30			0.00	0.00	-336.64	-336.64	-57.00				
PCL 21JUN14 40.0 P	2014-06-10, 09:52:09	10	0.0300	0.001759	-30.00	-3.41	33.41	0.00	-28.24	O:P			
PCL 21JUN14 40.0 P	2014-06-11, 09:46:48	5	0.0400	0.002485	-20.00	-1.70	21.70	0.00	-18.76	O:P			
PCL 21JUN14 40.0 P	2014-06-20, 16:20:00	-25	0.0000	0.0229	0.00	0.00	-269.34	-269.34	-57.25	C:Ep			
Total PCL 21JUN14 40.0 P		-10			-50.00	-5.11	-214.23	-269.34	-104.25				
PCL 21JUN14 42.0 P	2014-06-09, 12:08:29	5	0.0600	0.044872	-30.00	-1.45	228.37	196.92	-7.56	C			
Total PCL 21JUN14 42.0 P		5			-30.00	-1.45	228.37	196.92	-7.56				
PCL 21JUN14 43.0 P	2014-06-18, 14:08:16	10	0.0700	0.0714	-70.00	-2.90	732.75	659.85	1.40	C:P			
Total PCL 21JUN14 43.0 P		10			-70.00	-2.90	732.75	659.85	1.40				
PCL 21JUN14 44.0 P	2014-06-10, 09:52:09	-10	0.2800	0.249473	280.00	-7.93	-272.07	0.00	30.53	O:P			
PCL 21JUN14 44.0 P	2014-06-11, 09:46:48	-5	0.3400	0.270795	170.00	-3.96	-166.04	0.00	34.60	O:P			
PCL 21JUN14 44.0 P	2014-06-19, 09:44:12	15	0.0700	0.0785	-105.00	-8.85	438.11	324.26	12.75	C			
Total PCL 21JUN14 44.0 P		0			345.00	-20.74	0.00	324.26	77.88				
PCL 19JUL14 45.0 C	2014-06-16, 09:53:30	-10	0.5100	0.3242	510.00	-7.93	-502.07	0.00	185.80	O:P			
Total PCL 19JUL14 45.0 C		-10			510.00	-7.93	-502.07	0.00	185.80				
PCL 19JUL14 40.0 P	2014-06-16, 09:53:30	20	0.1300	0.0452	-260.00	-15.80	275.80	0.00	-169.60	O:P			
PCL 19JUL14 40.0 P	2014-06-24, 09:40:55	5	0.0820	0.0024	-41.00	-2.95	43.95	0.00	-39.80	O:P			
Total PCL 19JUL14 40.0 P		25			-301.00	-18.75	319.75	0.00	-209.40				
PCL 19JUL14 44.0 P	2014-06-24, 09:40:55	-5	0.4820	0.3134	241.00	-3.97	-237.03	0.00	84.30	O:P			
Total PCL 19JUL14 44.0 P		-5			241.00	-3.97	-237.03	0.00	84.30				
PFF 21JUN14 36.0 P	2014-06-10, 09:51:46	-31	0.0112903	0.000001	35.00	-7.22	-368.20	-340.42	35.00	C:P			

Trades												
PFF 21JUN14 36.0 P	2014-06-10, 11:18:25	-10	0.0150	0.000001	15.00	-3.42	-127.57	-115.99	15.00	C:P		
PFF 21JUN14 36.0 P	2014-06-11, 12:25:12	-46	0.013913	0.000001	64.00	-15.74	-595.24	-546.98	64.00	C:P		
PFF 21JUN14 36.0 P	2014-06-16, 09:32:56	-18	0.0200	0.00099	36.00	-6.16	-232.92	-203.08	18.18	C:P		
PFF 21JUN14 36.0 P	2014-06-16, 10:06:08	-4	0.0200	0.00099	8.00	-1.39	-51.76	-45.15	4.04	C:P		
PFF 21JUN14 36.0 P	2014-06-20, 16:20:00	-4	0.0000	0.0001	0.00	0.00	-51.76	-51.76	-0.04	C:Ep		
Total PFF 21JUN14 36.0 P		-113			158.00	-33.93	-1,427.45	-1,303.38	136.17			
PFF 19JUL 14 36.0 P	2014-06-06, 10:56:58	5	0.0500	0.017347	-25.00	-0.50	25.50	0.00	-16.33	O		
Total PFF 19JUL 14 36.0 P		5			-25.00	-0.50	25.50	0.00	-16.33			
PFF 19JUL 14 37.0 P	2014-06-10, 09:51:46	31	0.0712903	0.023689	-221.00	-11.43	232.43	0.00	-147.56	O:P		
PFF 19JUL 14 37.0 P	2014-06-10, 11:18:25	10	0.0750	0.023689	-75.00	-5.90	80.90	0.00	-51.31	O:P		
PFF 19JUL 14 37.0 P	2014-06-11, 12:25:12	46	0.083913	0.018666	-386.00	-27.14	413.14	0.00	-300.14	O:P		
PFF 19JUL 14 37.0 P	2014-06-16, 09:32:56	18	0.0900	0.0001	-162.00	-10.62	172.62	0.00	-161.82	O:P		
PFF 19JUL 14 37.0 P	2014-06-16, 10:06:08	4	0.0900	0.0001	-36.00	-2.36	38.36	0.00	-35.96	O:P		
Total PFF 19JUL 14 37.0 P		109			-880.00	-57.46	937.46	0.00	-696.79			
RTN 21JUN14 97.5 C	2014-06-17, 14:12:44	10	0.2000	0.1350	-200.00	-7.18	1,030.74	823.56	-65.00	C		
Total RTN 21JUN14 97.5 C		10			-200.00	-7.18	1,030.74	823.56	-65.00			
RTN 21JUN14 100.0 C	2014-06-09, 09:44:57	-5	0.3800	0.463164	190.00	-3.96	-186.04	0.00	-41.58	O:P		
RTN 21JUN14 100.0 C	2014-06-12, 11:03:47	10	0.0600	0.039351	-60.00	-3.80	950.40	886.59	-20.65	C:P		
Total RTN 21JUN14 100.0 C		5			130.00	-7.77	764.36	886.59	-62.23			
RTN 21JUN14 85.0 P	2014-06-20, 16:20:00	-20	0.0000	0.0001	0.00	0.00	-470.40	-470.40	-0.20	C:Ep		
Total RTN 21JUN14 85.0 P		-20			0.00	0.00	-470.40	-470.40	-0.20			
RTN 21JUN14 90.0 P	2014-06-09, 09:44:57	5	0.0800	0.040381	-40.00	-2.91	42.91	0.00	-19.81	O:P		
RTN 21JUN14 90.0 P	2014-06-20, 16:20:00	-15	0.0000	0.0001	0.00	0.00	-336.14	-336.14	-0.15	C:Ep		
Total RTN 21JUN14 90.0 P		-10			-40.00	-2.91	-293.23	-336.14	-19.96			
RTN 19JUL 14 97.5 C	2014-06-18, 09:49:09	-10	0.9960	0.8450	996.00	-7.94	-988.06	0.00	151.00	O:P		
RTN 19JUL 14 97.5 C	2014-06-24, 10:32:08	-5	0.5300	0.3150	265.00	-3.97	-261.03	0.00	107.50	O:P		
Total RTN 19JUL 14 97.5 C		-15			1,261.00	-11.91	-1,249.09	0.00	258.50			
RTN 19JUL 14 100.0 C	2014-06-20, 09:55:11	-5	0.4100	0.2691	205.00	-3.97	-201.03	0.00	70.45	O:P		
RTN 19JUL 14 100.0 C	2014-06-25, 09:49:02	5	0.0700	0.1184	-35.00	-2.95	201.03	163.08	24.20	C		
Total RTN 19JUL 14 100.0 C		0			170.00	-6.92	0.00	163.08	94.65			
RTN 19JUL 14 87.5 P	2014-06-18, 09:49:09	20	0.2730	0.1171	-546.00	-15.80	561.80	0.00	-311.80	O:P		
RTN 19JUL 14 87.5 P	2014-06-20, 09:55:11	5	0.1600	0.1267	-80.00	-3.95	83.95	0.00	-16.65	O:P		

Trades													
RTN	19JUL14 87.5 P	2014-06-24, 10:32:08	10	0.1400	0.1783	-140.00	-7.90	147.90	0.00	38.30	O:P		
Total RTN	19JUL14 87.5 P		35			-766.00	-27.65	793.65	0.00	-290.15			
XLU	06JUN14 41.0 P	2014-06-06, 16:20:00	-45	0.0000	0.0000	0.00	0.00	-247.50	-247.50	0.00	C:Ep		
Total XLU	06JUN14 41.0 P		-45			0.00	0.00	-247.50	-247.50	0.00			
XLU	21JUN14 40.0 P	2014-06-05, 09:37:00	45	0.0500	0.031597	-225.00	-9.90	234.90	0.00	-82.81	O:P		
XLU	21JUN14 40.0 P	2014-06-18, 14:03:26	-45	0.0104444	0.0001	47.00	-12.73	-234.90	-200.64	46.55	C:P		
Total XLU	21JUN14 40.0 P		0			-178.00	-22.64	0.00	-200.64	-36.26			
XLU	27JUN14 43.5 C	2014-06-25, 12:28:50	-4	0.2500	0.2350	100.00	-3.17	-96.83	0.00	6.00	O		
XLU	27JUN14 43.5 C	2014-06-25, 15:20:09	-5	0.2500	0.2350	125.00	-3.96	-121.04	0.00	7.50	O		
XLU	27JUN14 43.5 C	2014-06-26, 11:05:52	-5	0.2500	0.2650	125.00	-3.96	-121.04	0.00	-7.50	O		
XLU	27JUN14 43.5 C	2014-06-27, 16:20:00	14	0.0000	0.4100	0.00	0.00	338.90	0.00	574.00	A:C		
Total XLU	27JUN14 43.5 C		0			350.00	-11.10	0.00	0.00	580.00			
XLU	19JUL14 40.0 P	2014-06-18, 11:55:00	45	0.0300	0.0150	-135.00	-7.39	142.39	0.00	-67.50	O:P		
XLU	27JUN14 40.0 P	2014-06-27, 16:20:00	-45	0.0000	0.0000	0.00	0.00	-142.39	-142.39	0.00	C:Ep		
Total XLU	27JUN14 40.0 P		0			-135.00	-7.39	0.00	-142.39	-67.50			
XLU	19JUL14 40.0 P	2014-06-18, 14:03:26	45	0.0804444	0.0550	-362.00	-22.48	384.48	0.00	-114.50	O:P		
Total XLU	19JUL14 40.0 P		45			-362.00	-22.48	384.48	0.00	-114.50			
Total						731.00	-1,737.82	-2,340.43	-8,268.64	10,338.30			

Transfers													
Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code		
Stocks													
USD													
ADM	2014-06-04	Internal	In		U1358796	2,400	--	106,584.00	0.00	0.00			
APL	2014-06-04	Internal	In		U1358796	6,700	--	219,291.00	0.00	0.00			
BX	2014-06-04	Internal	In		U1358796	2,600	--	81,406.00	0.00	0.00			
CQP	2014-06-04	Internal	In		U1358796	6,564	--	213,330.00	0.00	0.00			
CTL	2014-06-04	Internal	In		U1358796	11,700	--	441,792.00	0.00	0.00			
CTL	2014-06-04	Internal	In		U1358796	1,300	--	49,101.03	0.00	0.00			
DD	2014-06-04	Internal	In		U1358796	2,500	--	172,475.00	0.00	0.00			
DLR	2014-06-04	Internal	In		U1358796	1,000	--	58,000.00	0.00	0.00			
ETP	2014-06-04	Internal	In		U1358796	2,700	--	152,577.00	0.00	0.00			
GIS	2014-06-04	Internal	In		U1358796	600	--	33,114.02	0.00	0.00			
HCN	2014-06-04	Internal	In		U1358796	5,000	--	317,349.50	0.00	0.00			
HYG	2014-06-04	Internal	In		U1358796	10,800	--	1,018,980.00	0.00	0.00			
IYR	2014-06-04	Internal	In		U1358796	5,000	--	357,300.00	0.00	0.00			
JNK	2014-06-04	Internal	In		U1358796	16,505	--	681,986.60	0.00	0.00			
KMP	2014-06-04	Internal	In		U1358796	6,300	--	486,756.90	0.00	0.00			

Transfers

Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code
LQD	2014-06-04	Internal	In	--	U1358796	4,600	--	543,720.00	0.00	0.00	0.00
MMP	2014-06-04	Internal	In	--	U1358796	3,200	--	264,736.00	0.00	0.00	0.00
MWE	2014-06-04	Internal	In	--	U1358796	6,800	--	426,564.68	0.00	0.00	0.00
PAA	2014-06-04	Internal	In	--	U1358796	5,000	--	286,750.00	0.00	0.00	0.00
PCL	2014-06-04	Internal	In	--	U1358796	2,400	--	107,016.00	0.00	0.00	0.00
PFF	2014-06-04	Internal	In	--	U1358796	16,500	--	643,171.65	0.00	0.00	0.00
RTN	2014-06-04	Internal	In	--	U1358796	3,500	--	342,510.00	0.00	0.00	0.00
XLU	2014-06-04	Internal	In	--	U1358796	4,400	--	187,748.00	0.00	0.00	0.00
Total								7,192,259.98	0.00	0.00	0.00

Equity and Index Options

Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code
ADM 13JUN14 39.5 P	2014-06-04	Internal	In	--	U1358796	18	--	9.53	0.00	0.00	0.00
ADM 13JUN14 44.5 C	2014-06-04	Internal	In	--	U1358796	-18	--	-617.10	0.00	0.00	0.00
APL 21JUN14 28.0 P	2014-06-04	Internal	In	--	U1358796	51	--	1.95	0.00	0.00	0.00
APL 21JUN14 29.0 P	2014-06-04	Internal	In	--	U1358796	17	--	7.92	0.00	0.00	0.00
CQP 21JUN14 28.0 P	2014-06-04	Internal	In	--	U1358796	13	--	48.84	0.00	0.00	0.00
CQP 21JUN14 29.0 P	2014-06-04	Internal	In	--	U1358796	53	--	531.93	0.00	0.00	0.00
CQP 21JUN14 34.0 C	2014-06-04	Internal	In	--	U1358796	-33	--	-660.00	0.00	0.00	0.00
CTL 21JUN14 30.0 P	2014-06-04	Internal	In	--	U1358796	117	--	0.01	0.00	0.00	0.00
CTL 21JUN14 32.0 P	2014-06-04	Internal	In	--	U1358796	15	--	0.02	0.00	0.00	0.00
CTL 21JUN14 33.0 P	2014-06-04	Internal	In	--	U1358796	5	--	0.15	0.00	0.00	0.00
DD 06JUN14 62.0 P	2014-06-04	Internal	In	--	U1358796	26	--	0.00	0.00	0.00	0.00
DD 06JUN14 68.5 C	2014-06-04	Internal	In	--	U1358796	-13	--	-768.07	0.00	0.00	0.00
DLR 19JUL 14 50.0 P	2014-06-04	Internal	In	--	U1358796	5	--	113.27	0.00	0.00	0.00
DLR 19JUL 14 60.0 C	2014-06-04	Internal	In	--	U1358796	-5	--	-262.41	0.00	0.00	0.00
DLR 21JUN14 50.0 P	2014-06-04	Internal	In	--	U1358796	6	--	70.04	0.00	0.00	0.00
ETP 21JUN14 50.0 P	2014-06-04	Internal	In	--	U1358796	58	--	22.05	0.00	0.00	0.00
ETP 21JUN14 55.0 P	2014-06-04	Internal	In	--	U1358796	-20	--	-399.98	0.00	0.00	0.00
GIS 21JUN14 50.0 P	2014-06-04	Internal	In	--	U1358796	16	--	43.61	0.00	0.00	0.00
GIS 21JUN14 52.5 P	2014-06-04	Internal	In	--	U1358796	-10	--	-51.32	0.00	0.00	0.00
GIS 21JUN14 55.0 C	2014-06-04	Internal	In	--	U1358796	-3	--	-160.51	0.00	0.00	0.00
HCN 21JUN14 55.0 P	2014-06-04	Internal	In	--	U1358796	25	--	0.42	0.00	0.00	0.00
HCN 21JUN14 57.5 P	2014-06-04	Internal	In	--	U1358796	25	--	18.19	0.00	0.00	0.00
HYG 06JUN14 88.0 P	2014-06-04	Internal	In	--	U1358796	41	--	0.00	0.00	0.00	0.00
HYG 06JUN14 89.0 P	2014-06-04	Internal	In	--	U1358796	60	--	0.00	0.00	0.00	0.00
HYG 13JUN14 89.0 P	2014-06-04	Internal	In	--	U1358796	55	--	0.00	0.00	0.00	0.00
HYG 21JUN14 87.0 P	2014-06-04	Internal	In	--	U1358796	10	--	0.00	0.00	0.00	0.00
IYR 06JUN14 63.0 P	2014-06-04	Internal	In	--	U1358796	50	--	0.00	0.00	0.00	0.00
IYR 06JUN14 71.5 C	2014-06-04	Internal	In	--	U1358796	-25	--	-1,167.86	0.00	0.00	0.00

Transfers

JNK 21JUN14 39.0 P	2014-06-04	Internal	In	--	U1358796	167	--	0.03	0.00	0.00
KMP 19JUL14 70.0 P	2014-06-04	Internal	In	--	U1358796	16	--	224.00	0.00	0.00
KMP 21JUN14 67.5 P	2014-06-04	Internal	In	--	U1358796	49	--	125.07	0.00	0.00
LQD 21JUN14 110.0 P	2014-06-04	Internal	In	--	U1358796	25	--	0.19	0.00	0.00
LQD 21JUN14 112.0 P	2014-06-04	Internal	In	--	U1358796	25	--	6.72	0.00	0.00
MMP 21JUN14 67.5 P	2014-06-04	Internal	In	--	U1358796	20	--	0.00	0.00	0.00
MMP 21JUN14 70.0 P	2014-06-04	Internal	In	--	U1358796	10	--	0.00	0.00	0.00
MMP 21JUN14 72.5 P	2014-06-04	Internal	In	--	U1358796	3	--	0.02	0.00	0.00
MMP 21JUN14 75.0 C	2014-06-04	Internal	In	--	U1358796	-10	--	-7,706.13	0.00	0.00
MMP 21JUN14 82.5 C	2014-06-04	Internal	In	--	U1358796	-13	--	-1,462.54	0.00	0.00
MWE 21JUN14 55.0 P	2014-06-04	Internal	In	--	U1358796	70	--	24.12	0.00	0.00
MWE 21JUN14 67.5 C	2014-06-04	Internal	In	--	U1358796	-10	--	-27.75	0.00	0.00
PAA 21JUN14 50.0 P	2014-06-04	Internal	In	--	U1358796	25	--	0.10	0.00	0.00
PAA 21JUN14 52.5 P	2014-06-04	Internal	In	--	U1358796	25	--	15.92	0.00	0.00
PAA 21JUN14 57.5 C	2014-06-04	Internal	In	--	U1358796	-25	--	-1,250.04	0.00	0.00
PCL 21JUN14 39.0 P	2014-06-04	Internal	In	--	U1358796	30	--	12.22	0.00	0.00
PCL 21JUN14 40.0 P	2014-06-04	Internal	In	--	U1358796	10	--	17.52	0.00	0.00
PCL 21JUN14 42.0 P	2014-06-04	Internal	In	--	U1358796	-5	--	-37.26	0.00	0.00
PCL 21JUN14 43.0 P	2014-06-04	Internal	In	--	U1358796	-10	--	-126.40	0.00	0.00
PCL 21JUN14 44.0 C	2014-06-04	Internal	In	--	U1358796	-5	--	-454.10	0.00	0.00
PFF 19JUL14 37.0 P	2014-06-04	Internal	In	--	U1358796	57	--	537.48	0.00	0.00
PFF 21JUN14 36.0 P	2014-06-04	Internal	In	--	U1358796	113	--	0.01	0.00	0.00
RTN 21JUN14 100.0 C	2014-06-04	Internal	In	--	U1358796	-5	--	-244.56	0.00	0.00
RTN 21JUN14 85.0 P	2014-06-04	Internal	In	--	U1358796	20	--	45.98	0.00	0.00
RTN 21JUN14 90.0 P	2014-06-04	Internal	In	--	U1358796	10	--	104.07	0.00	0.00
RTN 21JUN14 97.5 C	2014-06-04	Internal	In	--	U1358796	-10	--	-1,554.41	0.00	0.00
Total								-14,969.03	0.00	0.00

Dividends		
Date	Description	Amount
2014-06-20	CTL Payment in Lieu of Dividend (Ordinary Dividend)	1,912.14
2014-06-20	CTL Dividend .54 USD per Share (Ordinary Dividend)	5,107.86
2014-06-30	DLR Payment in Lieu of Dividend (Ordinary Dividend)	5,643.17
2014-06-30	DLR Dividend .83 USD per Share (Ordinary Dividend)	0.83
2014-06-30	IYR Payment in Lieu of Dividend (Ordinary Dividend)	1,506.83
2014-06-30	XLU Payment in Lieu of Dividend (Ordinary Dividend)	1,644.85
Total		15,815.68

Interest Accruals	
Base Currency Summary	
Starting Accrual Balance	0.00
Interest Accrued	-221.60
Accrual Reversal	0.00
Ending Accrual Balance	-221.60

Deposits & Withdrawals		
Date	Description	Amount
USD		
2014-06-05	Internal Transfer Out To Account U1364172	-150,000.00
Total		-150,000.00

Change in Dividend Accruals											
Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code	
Starting Dividend Accruals in USD											
Stocks											
USD									0.00		
CTL	2014-06-04	2014-06-05	2014-06-20	13,000	0.00	0.00	0.54	7,020.00	7,020.00	Po	
CTL	2014-06-20	2014-06-05	2014-06-20	13,000	0.00	0.00	0.54	-7,020.00	-7,020.00	Re	
DLR	2014-06-10	2014-06-11	2014-06-30	6,800	0.00	0.00	0.83	5,644.00	5,644.00	Po	
DLR	2014-06-30	2014-06-11	2014-06-30	6,800	0.00	0.00	0.83	-5,644.00	-5,644.00	Re	
IYR	2014-06-23	2014-06-24	2014-06-30	2,500	0.00	0.00	0.60	1,506.83	1,506.83	Po	
IYR	2014-06-23	2014-06-24	2014-06-30	2,500	0.00	0.00	0.60	1,506.84	1,506.84	Po	
IYR	2014-06-23	2014-06-24	2014-06-30	2,500	0.00	0.00	0.60	1,506.83	1,506.83	Po	
IYR	2014-06-23	2014-06-24	2014-06-30	2,500	0.00	0.00	0.60	-1,506.83	-1,506.83	Re	
IYR	2014-06-23	2014-06-24	2014-06-30	2,500	0.00	0.00	0.60	-1,506.84	-1,506.84	Re	
IYR	2014-06-30	2014-06-24	2014-06-30	2,500	0.00	0.00	0.60	1,506.83	1,506.83	Re	
RTN	2014-06-27	2014-06-30	2014-08-07	3,500	0.00	0.00	0.60	2,117.50	2,117.50	Po	
XLU	2014-06-19	2014-06-20	2014-06-30	4,400	0.00	0.00	0.37	1,644.85	1,644.85	Po	
XLU	2014-06-19	2014-06-20	2014-06-30	4,400	0.00	0.00	0.37	1,644.86	1,644.86	Po	
XLU	2014-06-19	2014-06-20	2014-06-30	4,400	0.00	0.00	0.37	1,644.85	1,644.85	Po	
XLU	2014-06-19	2014-06-20	2014-06-30	4,400	0.00	0.00	0.37	-1,644.85	-1,644.85	Re	
XLU	2014-06-19	2014-06-20	2014-06-30	4,400	0.00	0.00	0.37	-1,644.86	-1,644.86	Re	
XLU	2014-06-30	2014-06-20	2014-06-30	4,400	0.00	0.00	0.37	-1,644.85	-1,644.85	Re	
Total					0.00	0.00		2,117.50	2,117.50		
Ending Dividend Accruals in USD											
									2,117.50		

Financial Instrument Information							
Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							

Financial Instrument Information

ADM	ARCHER-DANIELS-MIDLAND CO	4165	039483102	1					
APL	ATLAS PIPELINE PARTNERS LP	14443538	049392103	1					
BX	BLACKSTONE GROUP LP/THE	44539989	09253U108	1					
CQP	CHENIERE ENERGY PARTNERS LP	43502814	16411Q101	1					
CTL	CENTURYLINK INC	6180	156700106	1					
DD	DU PONT (E.I.) DE NEMOURS	6366	263534109	1					
DLR	DIGITAL REALTY TRUST INC.	31832526	253868103	1					
ETP	ENERGY TRANSFER PARTNERS LP	28004997	29273R109	1					
GIS	GENERAL MILLS INC	7616	370334104	1					
HGN	HEALTH CARE REIT INC	7918	42217K106	1					
HYG	ISHARES IBOXX HIGH YIELD COR	43652089	464288513	1					
IYR	ISHARES US REAL ESTATE ETF	10209369	464287739	1					
JNK	SPDR BARCLAYS HIGH YIELD BD	47549883	78464A417	1					
KMP	KINDER MORGAN ENERGY PRTRNS	2586269	494550106	1					
LQD	ISHARES IBOXX INVESTMENT GRA	15547816	464287242	1					
MMP	MAGELLAN MIDSTREAM PARTNERS	21555838	559080106	1					
MWE	MARKWEST ENERGY PARTNERS LP	15109564	570759100	1					
PAA	PLAINS ALL AMER PIPELINE LP	6608417	726503105	1					
PCL	PLUM CREEK TIMBER CO	10948	729251108	1					
PFF	ISHARES US PREFERRED STOCK E	41037032	464288687	1					
RTN	RAYTHEON COMPANY	11758	755111507	1					
XLU	UTILITIES SELECT SECTOR SPDR	4215235	81369Y886	1					

Symbol	Description	Conid	Listing Exch	Multiplier	Expiry	Delivery Month	Type	Strike	Code
Equity and Index Options									
ADM 140613C00044500	ADM 13JUN14 44.5 C	150357836		100	2014-06-13	2014-06	C	44.5	
ADM 140613P00039500	ADM 13JUN14 39.5 P	150357933		100	2014-06-13	2014-06	P	39.5	
ADM 140613P00041000	ADM 13JUN14 41.0 P	150357953		100	2014-06-13	2014-06	P	41	
ADM 140621P00040000	ADM 21JUN14 40.0 P	136603587		100	2014-06-21	2014-06	P	40	
ADM 140703C00045000	ADM 03JUL14 45.0 C	152575995		100	2014-07-03	2014-07	C	45	
ADM 140703C00045500	ADM 03JUL14 45.5 C	152575988		100	2014-07-03	2014-07	C	45.5	
ADM 140703P00040500	ADM 03JUL14 40.5 P	152576082		100	2014-07-03	2014-07	P	40.5	

Financial Instrument Information

ADM 140703P00041000	ADM 03JUL14 41.0 P	152576093	100	2014-07-03	2014-07	P	41
APL 140621P00028000	APL 21JUN14 28.0 P	149011406	100	2014-06-21	2014-06	P	28
APL 140621P00029000	APL 21JUN14 29.0 P	149011408	100	2014-06-21	2014-06	P	29
APL 140719P00029000	APL 19JUL14 29.0 P	151764847	100	2014-07-19	2014-07	P	29
APL 140719P00030000	APL 19JUL14 30.0 P	151764849	100	2014-07-19	2014-07	P	30
BX 140606P00028000	BX 06JUN14 28.0 P	149760191	100	2014-06-06	2014-06	P	28
BX 140613P00029500	BX 13JUN14 29.5 P	150370419	100	2014-06-13	2014-06	P	29.5
BX 140613P00030500	BX 13JUN14 30.5 P	150370425	100	2014-06-13	2014-06	P	30.5
BX 140613P00032500	BX 13JUN14 32.5 P	150370441	100	2014-06-13	2014-06	P	32.5
BX 140613P00033500	BX 13JUN14 33.5 P	150370450	100	2014-06-13	2014-06	P	33.5
BX 140621P00030000	BX 21JUN14 30.0 P	136641598	100	2014-06-21	2014-06	P	30
BX 140621P00033000	BX 21JUN14 33.0 P	136641609	100	2014-06-21	2014-06	P	33
BX 140627P00030500	BX 27JUN14 30.5 P	150838816	100	2014-06-27	2014-06	P	30.5
BX 140627P00031000	BX 27JUN14 31.0 P	150838831	100	2014-06-27	2014-06	P	31
BX 140627P00033500	BX 27JUN14 33.5 P	150838843	100	2014-06-27	2014-06	P	33.5
BX 140627P00034000	BX 27JUN14 34.0 P	150838854	100	2014-06-27	2014-06	P	34
BX 140703P00029500	BX 03JUL14 29.5 P	152588339	100	2014-07-03	2014-07	P	29.5
BX 140719P00030000	BX 19JUL14 30.0 P	151790056	100	2014-07-19	2014-07	P	30
CQP 140621C00034000	CQP 21JUN14 34.0 C	136663980	100	2014-06-21	2014-06	C	34
CQP 140621P00028000	CQP 21JUN14 28.0 P	136664027	100	2014-06-21	2014-06	P	28
CQP 140621P00029000	CQP 21JUN14 29.0 P	136664030	100	2014-06-21	2014-06	P	29
CQP 140621P00032000	CQP 21JUN14 32.0 P	136664040	100	2014-06-21	2014-06	P	32
CQP 140719P00028000	CQP 19JUL14 28.0 P	151813224	100	2014-07-19	2014-07	P	28
CQP 140719P00029000	CQP 19JUL14 29.0 P	151813229	100	2014-07-19	2014-07	P	29
CQP 140719P00032000	CQP 19JUL14 32.0 P	151813241	100	2014-07-19	2014-07	P	32
CTL 140621P00030000	CTL 21JUN14 30.0 P	149069134	100	2014-06-21	2014-06	P	30
CTL 140621P00032000	CTL 21JUN14 32.0 P	149069141	100	2014-06-21	2014-06	P	32
CTL 140621P00033000	CTL 21JUN14 33.0 P	149069146	100	2014-06-21	2014-06	P	33
CTL 140719P00033000	CTL 19JUL14 33.0 P	138588534	100	2014-07-19	2014-07	P	33

Financial Instrument Information

CTL 140719P00036000	CTL 19JUL14 36.0 P	138588547	100	2014-07-19	2014-07	P	36
DD 140606C00068500	DD 06JUN14 68.5 C	149770884	100	2014-06-06	2014-06	C	68.5
DD 140606P00062000	DD 06JUN14 62.0 P	149770964	100	2014-06-06	2014-06	P	62
DD 140613P00063000	DD 13JUN14 63.0 P	150379016	100	2014-06-13	2014-06	P	63
DD 140621P00062500	DD 21JUN14 62.5 P	149077868	100	2014-06-21	2014-06	P	62.5
DD 140621P00068500	DD 21JUN14 68.5 P	155600173	100	2014-06-21	2014-06	P	68.5
DD 140627P00062000	DD 27JUN14 62.0 P	150849987	100	2014-06-27	2014-06	P	62
DD 140627P00068500	DD 27JUN14 68.5 P	150850030	100	2014-06-27	2014-06	P	68.5
DD 140703C00069000	DD 03JUL14 69.0 C	152596623	100	2014-07-03	2014-07	C	69
DD 140703P00061000	DD 03JUL14 61.0 P	152596707	100	2014-07-03	2014-07	P	61
DD 140711P00061000	DD 11JUL14 61.0 P	153175851	100	2014-07-11	2014-07	P	61
DD 140711P00064500	DD 11JUL14 64.5 P	153175871	100	2014-07-11	2014-07	P	64.5
DLR 140621P00050000	DLR 21JUN14 50.0 P	149083372	100	2014-06-21	2014-06	P	50
DLR 140719C00060000	DLR 19JUL14 60.0 C	138598826	100	2014-07-19	2014-07	C	60
DLR 140719P00050000	DLR 19JUL14 50.0 P	138598857	100	2014-07-19	2014-07	P	50
ETP 140621P00050000	ETP 21JUN14 50.0 P	136700220	100	2014-06-21	2014-06	P	50
ETP 140621P00055000	ETP 21JUN14 55.0 P	136700228	100	2014-06-21	2014-06	P	55
ETP 140719C00057500	ETP 19JUL14 57.5 C	151851107	100	2014-07-19	2014-07	C	57.5
ETP 140719P00050000	ETP 19JUL14 50.0 P	151851158	100	2014-07-19	2014-07	P	50
GIS 140621C00055000	GIS 21JUN14 55.0 C	149134108	100	2014-06-21	2014-06	C	55
GIS 140621P00050000	GIS 21JUN14 50.0 P	149134139	100	2014-06-21	2014-06	P	50
GIS 140621P00052500	GIS 21JUN14 52.5 P	149134142	100	2014-06-21	2014-06	P	52.5
GIS 140621P00055000	GIS 21JUN14 55.0 P	149134147	100	2014-06-21	2014-06	P	55
GIS 140719P00049000	GIS 19JUL14 49.0 P	139224610	100	2014-07-19	2014-07	P	49
HCN 140621P00055000	HCN 21JUN14 55.0 P	136737849	100	2014-06-21	2014-06	P	55
HCN 140621P00057500	HCN 21JUN14 57.5 P	136737852	100	2014-06-21	2014-06	P	57.5
HCN 140621P00062500	HCN 21JUN14 62.5 P	136737860	100	2014-06-21	2014-06	P	62.5
HCN 140719P00057500	HCN 19JUL14 57.5 P	151887339	100	2014-07-19	2014-07	P	57.5
HYG 140606P0008000	HYG 06JUN14 88.0 P	149801824	100	2014-06-06	2014-06	P	88

Financial Instrument Information

HYG 140606P00089000	HYG 06JUN14 89.0 P	149801834	100	2014-06-06	2014-06	P	89
HYG 140606P00094500	HYG 06JUN14 94.5 P	149801870	100	2014-06-06	2014-06	P	94.5
HYG 140613P00089000	HYG 13JUN14 89.0 P	150402839	100	2014-06-13	2014-06	P	89
HYG 140621P00087000	HYG 21JUN14 87.0 P	136746680	100	2014-06-21	2014-06	P	87
HYG 140621P00088000	HYG 21JUN14 88.0 P	136746685	100	2014-06-21	2014-06	P	88
HYG 140621P00094000	HYG 21JUN14 94.0 P	136746708	100	2014-06-21	2014-06	P	94
HYG 140627P00089000	HYG 27JUN14 89.0 P	150882243	100	2014-06-27	2014-06	P	89
HYG 140627P00094500	HYG 27JUN14 94.5 P	150882276	100	2014-06-27	2014-06	P	94.5
HYG 140703P00088000	HYG 03JUL14 88.0 P	152619783	100	2014-07-03	2014-07	P	88
HYG 140711P00089000	HYG 11JUL14 89.0 P	153202893	100	2014-07-11	2014-07	P	89
HYG 140719P00088000	HYG 19JUL14 88.0 P	151896882	100	2014-07-19	2014-07	P	88
IYR 140606C00071500	IYR 06JUN14 71.5 C	149806374	100	2014-06-06	2014-06	C	71.5
IYR 140606P00063000	IYR 06JUN14 63.0 P	149806440	100	2014-06-06	2014-06	P	63
IYR 140613P00070000	IYR 13JUN14 70.0 P	150406199	100	2014-06-13	2014-06	P	70
IYR 140621P00066000	IYR 21JUN14 66.0 P	136764504	100	2014-06-21	2014-06	P	66
IYR 140703P00063000	IYR 03JUL14 63.0 P	152623784	100	2014-07-03	2014-07	P	63
JNK 140621P00039000	JNK 21JUN14 39.0 P	136767467	100	2014-06-21	2014-06	P	39
JNK 140719P00039000	JNK 19JUL14 39.0 P	151918868	100	2014-07-19	2014-07	P	39
KMP 140621P00067500	KMP 21JUN14 67.5 P	145462064	100	2014-06-21	2014-06	P	67.5
KMP 140719P00070000	KMP 19JUL14 70.0 P	151923854	100	2014-07-19	2014-07	P	70
KMP 140719P00072500	KMP 19JUL14 72.5 P	151923856	100	2014-07-19	2014-07	P	72.5
LQD 140621P00110000	LQD 21JUN14 110.0 P	136783189	100	2014-06-21	2014-06	P	110
LQD 140621P00112000	LQD 21JUN14 112.0 P	136783199	100	2014-06-21	2014-06	P	112
LQD 140719P00113000	LQD 19JUL14 113.0 P	151935126	100	2014-07-19	2014-07	P	113
LQD 140719P00114000	LQD 19JUL14 114.0 P	151935129	100	2014-07-19	2014-07	P	114
MMP 140621C00075000	MMP 21JUN14 75.0 C	149215541	100	2014-06-21	2014-06	C	75
MMP 140621C00082500	MMP 21JUN14 82.5 C	149215554	100	2014-06-21	2014-06	C	82.5
MMP 140621P00067500	MMP 21JUN14 67.5 P	149215574	100	2014-06-21	2014-06	P	67.5
MMP 140621P00070000	MMP 21JUN14 70.0 P	149215577	100	2014-06-21	2014-06	P	70

Financial Instrument Information

MMP	140621P00072500	MMP 21JUN14 72.5 P	149215580		100	2014-06-21	2014-06	P	72.5
MMP	140621P00075000	MMP 21JUN14 75.0 P	149215584		100	2014-06-21	2014-06	P	75
MMP	140621P00082500	MMP 21JUN14 82.5 P	149215599		100	2014-06-21	2014-06	P	82.5
MMP	140719C00085000	MMP 19JUL14 85.0 C	144010712		100	2014-07-19	2014-07	C	85
MMP	140719P00072500	MMP 19JUL14 72.5 P	144010717		100	2014-07-19	2014-07	P	72.5
MWE	140621C00067500	MWE 21JUN14 67.5 C	149223645		100	2014-06-21	2014-06	C	67.5
MWE	140621P00055000	MWE 21JUN14 55.0 P	149223681		100	2014-06-21	2014-06	P	55
MWE	140719C00070000	MWE 19JUL14 70.0 C	151955561		100	2014-07-19	2014-07	C	70
MWE	140719P00057500	MWE 19JUL14 57.5 P	151955566		100	2014-07-19	2014-07	P	57.5
PAA	140621C00057500	PAA 21JUN14 57.5 C	149248895		100	2014-06-21	2014-06	C	57.5
PAA	140621P00050000	PAA 21JUN14 50.0 P	149248926		100	2014-06-21	2014-06	P	50
PAA	140621P00052500	PAA 21JUN14 52.5 P	149248930		100	2014-06-21	2014-06	P	52.5
PAA	140719C00057500	PAA 19JUL14 57.5 C	151976271		100	2014-07-19	2014-07	C	57.5
PAA	140719P00050000	PAA 19JUL14 50.0 P	151976323		100	2014-07-19	2014-07	P	50
PAA	140719P00052500	PAA 19JUL14 52.5 P	151976326		100	2014-07-19	2014-07	P	52.5
PCL	140621C00044000	PCL 21JUN14 44.0 C	149251685		100	2014-06-21	2014-06	C	44
PCL	140621P00039000	PCL 21JUN14 39.0 P	149251733		100	2014-06-21	2014-06	P	39
PCL	140621P00040000	PCL 21JUN14 40.0 P	149251738		100	2014-06-21	2014-06	P	40
PCL	140621P00042000	PCL 21JUN14 42.0 P	149251747		100	2014-06-21	2014-06	P	42
PCL	140621P00043000	PCL 21JUN14 43.0 P	149251750		100	2014-06-21	2014-06	P	43
PCL	140621P00044000	PCL 21JUN14 44.0 P	149251753		100	2014-06-21	2014-06	P	44
PCL	140719C00045000	PCL 19JUL14 45.0 C	151978531		100	2014-07-19	2014-07	C	45
PCL	140719P00040000	PCL 19JUL14 40.0 P	151978577		100	2014-07-19	2014-07	P	40
PCL	140719P00044000	PCL 19JUL14 44.0 P	151978594		100	2014-07-19	2014-07	P	44
PFF	140621P00036000	PFF 21JUN14 36.0 P	149255293		100	2014-06-21	2014-06	P	36
PFF	140719P00036000	PFF 19JUL14 36.0 P	138723698		100	2014-07-19	2014-07	P	36
PFF	140719P00037000	PFF 19JUL14 37.0 P	138723703		100	2014-07-19	2014-07	P	37
RTN	140621C00097500	RTN 21JUN14 97.5 C	149284526		100	2014-06-21	2014-06	C	97.5
RTN	140621C00100000	RTN 21JUN14 100.0 C	149284461		100	2014-06-21	2014-06	C	100

Financial Instrument Information

RTN 140621P00085000	RTN 21JUN14 85.0 P	149284572	100	2014-06-21	2014-06	P	85
RTN 140621P00090000	RTN 21JUN14 90.0 P	149284581	100	2014-06-21	2014-06	P	90
RTN 140719C00097500	RTN 19JUL14 97.5 C	152012067	100	2014-07-19	2014-07	C	97.5
RTN 140719C00100000	RTN 19JUL14 100.0 C	152011992	100	2014-07-19	2014-07	C	100
RTN 140719P00087500	RTN 19JUL14 87.5 P	152012126	100	2014-07-19	2014-07	P	87.5
XLU 140606P00041000	XLU 06JUN14 41.0 P	149872189	100	2014-06-06	2014-06	P	41
XLU 140621P00040000	XLU 21JUN14 40.0 P	136943253	100	2014-06-21	2014-06	P	40
XLU 140627C00043500	XLU 27JUN14 43.5 C	150955608	100	2014-06-27	2014-06	C	43.5
XLU 140627P00040000	XLU 27JUN14 40.0 P	150955733	100	2014-06-27	2014-06	P	40
XLU 140719P00040000	XLU 19JUL14 40.0 P	152098263	100	2014-07-19	2014-07	P	40

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**
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Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Int)
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- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia) ("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX) ("THA") who executes such orders. Your ASX and Chi-X Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

June 1, 2014 - June 30, 2014

[Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information	
Name	Kinetic Funds I, LLC
Account Alias	KF-GOLD
Account	U1364162
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

	May 31, 2014			June 30, 2014			Change	Total	Change in NAV	Total
	Total	Long	Short	Total	Long	Short				
Cash	0.00	213,478.76	0.00	213,478.76	213,478.76	0.00	213,478.76	Starting Value		0.00
Stock	0.00	486,552.00	0.00	486,552.00	486,552.00	0.00	486,552.00	Mark-to-Market		27,473.65
Options	0.00	29,502.80	-12,849.60	16,653.20	16,653.20	0.00	16,653.20	Deposits & Withdrawals		475,000.00
Total	0.00	729,533.56	-12,849.60	716,683.96	716,683.96	0.00	716,683.96	Position Transfers		214,483.55
Time Weighted Rate of Return							5.31%	Commissions		-273.24
								Ending Value		716,683.96

Mark-to-Market Performance Summary										
Symbol	Quantity	Prior	Current	Price	Current	Position	Transaction	Commissions	Mark-to-Market P/L	Code
Stocks										
GLD	0	3,800	128.0400	--	17,768.00	2,500.00	-5.62	0.00	20,262.38	
Total					17,768.00	2,500.00	-5.62	0.00	20,262.38	
Equity and Index Options										
GLD 06JUN14 120.0 P	0	0	--	--	1,120.00	0.00	0.00	0.00	1,120.00	
GLD 06JUN14 121.0 P	0	0	--	--	-1,290.96	0.00	0.00	0.00	-1,290.96	
GLD 06JUN14 122.0 P	0	0	--	--	4,220.00	0.00	0.00	0.00	4,220.00	
GLD 06JUN14 122.5 P	0	0	--	--	-2,565.43	0.00	0.00	0.00	-2,565.43	
GLD 13JUN14 120.0 P	0	0	--	--	1,385.27	-65.27	-15.87	0.00	1,304.13	
GLD 13JUN14 120.5 P	0	0	--	--	-901.77	31.77	-7.90	0.00	-877.90	
GLD 13JUN14 121.0 P	0	0	--	--	-21.70	-18.30	-3.40	0.00	-43.40	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Current	Position	Mark-to-Market P/L			Total	Code
	Prior	Current	Prior	Current			Transaction	Commissions	Other		
GLD 21JUN14 119.0 P	0	0	--	--	--	609.10	-26.10	-11.89	0.00	571.11	
GLD 21JUN14 120.0 P	0	0	--	--	--	-499.92	11.92	-3.95	0.00	-491.95	
GLD 21JUN14 125.0 C	0	0	--	--	--	2,675.80	-3,080.80	-16.67	0.00	-421.67	
GLD 21JUN14 130.0 C	0	0	--	--	--	-157.66	-25.50	0.00	0.00	-183.16	
GLD 27JUN14 125.0 C	0	0	--	--	--	-3,238.00	-289.00	-34.66	0.00	-3,561.66	
GLD 19JUL14 123.0 C	0	0	--	--	--	5,235.80	288.20	-34.84	0.00	5,489.16	
GLD 19JUL14 124.0 C	0	10	--	--	4.2712	1,127.20	-129.00	-7.16	0.00	991.04	
GLD 19JUL14 130.0 C	0	-10	--	--	0.6870	-309.00	6.00	-7.19	0.00	-310.19	
GLD 16AUG14 114.0 P	0	60	--	--	0.0675	-112.20	-82.80	-47.41	0.00	-242.41	
GLD 30SEP14 128.0 C	0	40	--	--	3.2471	2,810.40	-62.00	-16.80	0.00	2,731.60	
GLD 17JAN15 119.0 P	0	-40	--	--	1.9656	465.60	385.00	-30.40	0.00	820.20	
GLD 17JAN15 120.0 P	0	20	--	--	2.1994	-290.40	-221.80	-15.06	0.00	-527.26	
GLD 17JAN15 124.0 C	0	10	--	--	7.4394	876.60	48.80	-7.16	0.00	918.24	
GLD 17JAN15 130.0 C	0	-10	--	--	4.3002	-624.10	-80.10	-7.26	0.00	-711.46	
Total						10,514.63	-3,308.98	-267.62	0.00	6,938.03	
Forex											
USD	0.00	213,478.76	1.0000	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	0.00
Total						0.00	0.00	0.00	0.00	0.00	0.00
Total (All Assets)						28,282.63	-808.98	-273.24	0.00	27,200.41	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized			Unrealized			Total	Code
		S/T Profit	S/T Loss	L/T Loss	S/T Profit	S/T Loss	L/T Profit		
Stocks									
GLD	0.00	2,676.61	-5,031.26	0.00	0.00	0.00	0.00	17,656.74	15,302.09
Total	0.00	2,676.61	-5,031.26	0.00	0.00	0.00	0.00	17,656.74	15,302.09
Equity and Index Options									
GLD 06JUN14 120.0 P	0.00	1,173.57	0.00	0.00	0.00	0.00	0.00	0.00	1,173.57
GLD 13JUN14 120.0 P	0.00	1,304.13	0.00	0.00	0.00	0.00	0.00	0.00	1,304.13
GLD 13JUN14 120.5 P	0.00	0.00	-877.90	0.00	0.00	0.00	0.00	0.00	-877.90
GLD 13JUN14 121.0 P	0.00	0.00	-43.40	0.00	0.00	0.00	0.00	0.00	-43.40
GLD 21JUN14 119.0 P	0.00	571.11	0.00	0.00	0.00	0.00	0.00	0.00	571.11
GLD 21JUN14 120.0 P	0.00	0.00	-491.95	0.00	0.00	0.00	0.00	0.00	-491.95
GLD 21JUN14 130.0 C	0.00	0.00	-4,666.04	0.00	0.00	0.00	0.00	0.00	-4,666.04
GLD 27JUN14 125.0 C	0.00	0.00	-3,561.66	0.00	0.00	0.00	0.00	0.00	-3,561.66
GLD 19JUL14 123.0 C	0.00	5,489.16	0.00	0.00	0.00	0.00	0.00	0.00	5,489.16
GLD 19JUL14 124.0 C	0.00	0.00	0.00	0.00	991.04	0.00	0.00	991.04	991.04
GLD 19JUL14 130.0 C	0.00	0.00	0.00	0.00	0.00	-310.19	0.00	-310.19	-310.19
GLD 16AUG14 114.0 P	0.00	0.00	0.00	0.00	0.00	-242.41	0.00	-242.41	-242.41
GLD 30SEP14 128.0 C	0.00	0.00	0.00	0.00	2,731.60	0.00	0.00	2,731.60	2,731.60
GLD 17JAN15 119.0 P	0.00	0.00	0.00	0.00	820.20	0.00	0.00	820.20	820.20
GLD 17JAN15 120.0 P	0.00	0.00	0.00	0.00	0.00	-527.26	0.00	-527.26	-527.26
GLD 17JAN15 124.0 C	0.00	0.00	0.00	0.00	918.24	0.00	0.00	918.24	918.24

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	S/T		Realized		L/T		Unrealized		Total	Code
		Profit	Loss	L/T Profit	L/T Loss	S/T Profit	S/T Loss	L/T Profit	L/T Loss		
GLD 17JAN15 130.0 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-711.46	0.00	-711.46	-711.46
Total	0.00	8,537.98	-9,640.95	0.00	0.00	-1,102.98	0.00	3,669.76	0.00	3,669.76	2,566.78
Total (All Assets)	0.00	11,214.59	-14,672.22	0.00	0.00	-3,457.63	0.00	21,326.50	0.00	21,326.50	17,868.87

Notes

1. This statement uses default P/L (no wash sales) for realized and unrealized P/L calculations.

Cash Report

Base Currency Summary	Total		Securities		Futures		
	Starting Cash	Commissions	Account Transfers	Net Trades (Sales)	Net Trades (Purchase)	Ending Cash	Ending Settled Cash
Starting Cash	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Commissions	-273.24	-273.24					
Account Transfers	475,000.00	475,000.00					
Net Trades (Sales)	267,660.00	267,660.00					
Net Trades (Purchase)	-528,908.00	-528,908.00					
Ending Cash	213,478.76	213,478.76					
Ending Settled Cash	213,478.76	213,478.76					

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
USD								
GLD	3,800	1	123.3934892	468,895.26	128.0400	486,552.00	17,656.74	
Total				468,895.26		486,552.00	17,656.74	
Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Equity and Index Options								
USD								
GLD 19JUL14 124.0 C	10	100	3.280161	3,280.16	4.2712	4,271.20	991.04	
GLD 19JUL14 130.0 C	-10	100	0.3768105	-376.81	0.6870	-687.00	-310.19	
GLD 16AUG14 114.0 P	60	100	0.107901	647.41	0.0675	405.00	-242.41	
GLD 30SEP14 128.0 C	40	100	2.564201	10,256.80	3.2471	12,988.40	2,731.60	
GLD 17JAN15 119.0 P	-40	100	2.1706509	-8,682.60	1.9656	-7,862.40	820.20	
GLD 17JAN15 120.0 P	20	100	2.463031	4,926.06	2.1994	4,398.80	-527.26	
GLD 17JAN15 124.0 C	10	100	6.521161	6,521.16	7.4394	7,439.40	918.24	
GLD 17JAN15 130.0 C	-10	100	3.5887395	-3,588.74	4.3002	-4,300.20	-711.46	
Total				12,983.44		16,653.20	3,669.76	

Trades

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Stocks										

Trades													
USD													
Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code			
GLD	2014-06-06, 16:20:00	2,000	122.0000	120.6500	-244,000.00	0.00	244,000.00	0.00	-2,700.00	A;O			
GLD	2014-06-06, 16:20:00	-1,000	121.0000	120.6500	121,000.00	-2.79	-122,487.51	-2,433.53	350.00	C;Ex			
GLD	2014-06-06, 16:20:00	-1,000	122.5000	120.6500	122,500.00	-2.83	-121,610.06	78.88	1,850.00	C;Ex			
GLD	2014-06-20, 16:20:00	2,000	125.0000	126.5000	-250,000.00	0.00	250,000.00	0.00	3,000.00	Ex;O			
Total GLD		2,000			-250,500.00	-5.62	249,902.43	-2,354.65	2,500.00				
Total					-250,500.00	-5.62	249,902.43	-2,354.65	2,500.00				
Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code			
Equity and Index Options													
USD													
GLD 06JUN14 120.0 P	2014-06-06, 16:20:00	20	0.0000	0.0000	0.00	0.00	1,173.57	1,173.57	0.00	C;Ep			
Total GLD 06JUN14 120.0 P		20			0.00	0.00	1,173.57	1,173.57	0.00				
GLD 06JUN14 121.0 P	2014-06-06, 16:20:00	-10	0.0000	0.0000	0.00	0.00	-943.23	0.00	0.00	C;Ex			
Total GLD 06JUN14 121.0 P		-10			0.00	0.00	-943.23	0.00	0.00				
GLD 06JUN14 122.0 P	2014-06-06, 16:20:00	20	0.0000	0.0000	0.00	0.00	1,251.57	0.00	0.00	A;C			
Total GLD 06JUN14 122.0 P		20			0.00	0.00	1,251.57	0.00	0.00				
GLD 06JUN14 122.5 P	2014-06-06, 16:20:00	-10	0.0000	0.0000	0.00	0.00	-808.23	0.00	0.00	C;Ex			
Total GLD 06JUN14 122.5 P		-10			0.00	0.00	-808.23	0.00	0.00				
GLD 13JUN14 120.0 P	2014-06-05, 11:30:41	-20	0.6600	0.697333	1,320.00	-15.87	-1,304.13	0.00	-74.67	O;P			
GLD 13JUN14 120.0 P	2014-06-13, 16:20:00	20	0.0000	0.0047	0.00	0.00	1,304.13	1,304.13	9.40	C;Ep			
Total GLD 13JUN14 120.0 P		0			1,320.00	-15.87	0.00	1,304.13	-65.27				
GLD 13JUN14 120.5 P	2014-06-05, 11:30:41	10	0.8700	0.906668	-870.00	-7.90	877.90	0.00	36.67	O;P			
GLD 13JUN14 120.5 P	2014-06-13, 16:20:00	-10	0.0000	0.0049	0.00	0.00	-877.90	-877.90	-4.90	C;Ep			
Total GLD 13JUN14 120.5 P		0			-870.00	-7.90	0.00	-877.90	31.77				
GLD 13JUN14 121.0 P	2014-06-12, 14:45:27	10	0.0400	0.027695	-40.00	-3.40	43.40	0.00	-12.30	O;P			
GLD 13JUN14 121.0 P	2014-06-13, 16:20:00	-10	0.0000	0.0060	0.00	0.00	-43.40	-43.40	-6.00	C;Ep			
Total GLD 13JUN14 121.0 P		0			-40.00	-3.40	0.00	-43.40	-18.30				
GLD 21JUN14 125.0 C	2014-06-13, 15:11:08	10	0.2050	0.2097	-205.00	-8.77	213.77	0.00	4.70	O;P			
GLD 21JUN14 125.0 C	2014-06-13, 15:11:21	10	0.2000	0.2097	-200.00	-7.90	207.90	0.00	9.70	O;P			
GLD 21JUN14 125.0 C	2014-06-20, 16:20:00	-20	0.0000	1.5476	0.00	0.00	-421.67	0.00	-3,095.20	C;Ex			
Total GLD 21JUN14 125.0 C		0			-405.00	-16.67	0.00	0.00	-3,080.80				
GLD 21JUN14 130.0 C	2014-06-20, 16:20:00	-50	0.0000	0.0051	0.00	0.00	-4,666.04	-4,666.04	-25.50	C;Ep			
Total GLD 21JUN14 130.0 C		-50			0.00	0.00	-4,666.04	-4,666.04	-25.50				
GLD 21JUN14 119.0 P	2014-06-05, 12:02:45	-10	0.6380	0.659749	638.00	-7.94	-630.06	0.00	-21.75	O;P			

Trades												
GLD 21JUN14 119.0 P	2014-06-12, 14:45:19	5	0.1100	0.1012	-55.00	-3.95	314.03	255.08	-4.40	C:P		
GLD 21JUN14 119.0 P	2014-06-20, 16:20:00	5	0.0000	0.0001	0.00	0.00	316.03	316.03	0.05	C:Ep		
Total GLD 21JUN14 119.0 P		0			583.00	-11.99	0.00	571.11	-26.10			
GLD 21JUN14 120.0 P	2014-06-05, 12:02:45	5	0.9760	0.999947	-488.00	-3.95	491.95	0.00	11.97	O:P		
GLD 21JUN14 120.0 P	2014-06-20, 16:20:00	-5	0.0000	0.0001	0.00	0.00	-491.95	-491.95	-0.05	C:Ep		
Total GLD 21JUN14 120.0 P		0			-488.00	-3.95	0.00	-491.95	11.92			
GLD 27JUN14 125.0 C	2014-06-13, 15:11:08	-10	0.3800	0.4179	380.00	-8.80	-371.20	0.00	-37.90	O:P		
GLD 27JUN14 125.0 C	2014-06-13, 15:11:21	-10	0.3800	0.4179	380.00	-7.93	-372.07	0.00	-37.90	O:P		
GLD 27JUN14 125.0 C	2014-06-25, 15:06:40	5	2.1500	2.0369	-1,075.00	-3.95	184.10	-894.86	-56.55	C:P		
GLD 27JUN14 125.0 C	2014-06-25, 15:06:52	5	2.1400	2.0369	-1,070.00	-5.20	187.11	-888.10	-51.55	C		
GLD 27JUN14 125.0 C	2014-06-25, 15:07:05	5	2.1400	2.0369	-1,070.00	-5.20	185.87	-889.33	-51.55	C		
GLD 27JUN14 125.0 C	2014-06-25, 15:07:09	5	2.1440	2.0369	-1,072.00	-3.58	186.20	-889.38	-53.55	C:P		
Total GLD 27JUN14 125.0 C		0			-3,527.00	-34.66	0.00	-3,561.66	-289.00			
GLD 19JUL14 123.0 C	2014-06-13, 15:11:08	10	1.6150	1.6837	-1,615.00	-8.77	1,623.77	0.00	68.70	O:P		
GLD 19JUL14 123.0 C	2014-06-13, 15:11:21	10	1.6100	1.6837	-1,610.00	-7.90	1,617.90	0.00	73.70	O:P		
GLD 19JUL14 123.0 C	2014-06-25, 15:06:40	-5	4.3800	4.3016	2,190.00	-4.01	-811.89	1,374.10	39.20	C:P		
GLD 19JUL14 123.0 C	2014-06-25, 15:06:52	-5	4.3700	4.3016	2,185.00	-5.26	-811.88	1,367.86	34.20	C		
GLD 19JUL14 123.0 C	2014-06-25, 15:07:05	-5	4.3700	4.3016	2,185.00	-5.26	-809.12	1,370.62	34.20	C		
GLD 19JUL14 123.0 C	2014-06-25, 15:07:09	-5	4.3780	4.3016	2,189.00	-3.64	-808.78	1,376.58	38.20	C:P		
Total GLD 19JUL14 123.0 C		0			5,524.00	-34.84	0.00	5,489.16	288.20			
GLD 19JUL14 124.0 C	2014-06-27, 15:53:45	10	3.2730	3.1440	-3,273.00	-7.16	3,280.16	0.00	-129.00	O:P		
Total GLD 19JUL14 124.0 C		10			-3,273.00	-7.16	3,280.16	0.00	-129.00			
GLD 19JUL14 130.0 C	2014-06-27, 15:53:45	-10	0.3840	0.3780	384.00	-7.19	-376.81	0.00	6.00	O:P		
Total GLD 19JUL14 130.0 C		-10			384.00	-7.19	-376.81	0.00	6.00			
GLD 16AUG14 114.0 P	2014-06-27, 15:57:40	20	0.1000	0.0862	-200.00	-15.80	215.80	0.00	-27.60	O:P		
GLD 16AUG14 114.0 P	2014-06-27, 15:58:02	20	0.1000	0.0862	-200.00	-15.80	215.80	0.00	-27.60	O:P		
GLD 16AUG14 114.0 P	2014-06-27, 15:58:13	20	0.1000	0.0862	-200.00	-15.80	215.80	0.00	-27.60	O:P		
Total GLD 16AUG14 114.0 P		60			-600.00	-47.41	647.41	0.00	-82.80			
GLD 30SEP14 128.0 C	2014-06-27, 15:59:21	20	2.5700	2.5445	-5,140.00	-8.40	5,148.40	0.00	-51.00	O:P		
GLD 30SEP14 128.0 C	2014-06-27, 15:59:43	20	2.5500	2.5445	-5,100.00	-8.40	5,108.40	0.00	-11.00	O:P		
Total GLD 30SEP14 128.0 C		40			-10,240.00	-16.80	10,256.80	0.00	-62.00			
GLD 17JAN15 124.0 C	2014-06-27, 15:52:59	10	6.5140	6.5628	-6,514.00	-7.16	6,521.16	0.00	48.80	O:P		

Trades												
Total GLD 17JAN15 124.0 C												
GLD 17JAN15 130.0 C												
2014-06-27, 15:52:59												
	10	3.5960	3.6761	-6,514.00	-7.16	6,521.16	0.00	0.00	48.80			
	-10	3.596.00	3.6761	3,596.00	-7.26	-3,588.74	0.00	0.00	-80.10			O:P
Total GLD 17JAN15 130.0 C												
2014-06-27, 15:57:35												
	-10	2.1765	2.0820	3,596.00	-7.26	-3,588.74	0.00	0.00	-80.10			
	-20	2.1765	2.0820	4,353.00	-14.46	-4,338.54	0.00	0.00	189.00			O:P
Total GLD 17JAN15 119.0 P												
2014-06-27, 15:57:55												
	-20	2.1800	2.0820	4,360.00	-15.94	-4,344.06	0.00	0.00	196.00			O:P
Total GLD 17JAN15 119.0 P												
2014-06-27, 15:57:35												
	-40	2.4610	2.3446	8,713.00	-30.40	-8,682.60	0.00	0.00	385.00			
Total GLD 17JAN15 120.0 P												
2014-06-27, 15:57:55												
	10	2.4500	2.3446	-2,450.00	-7.90	2,457.90	0.00	0.00	-116.40			O:P
Total GLD 17JAN15 120.0 P												
	20	2.4500	2.3446	-4,911.00	-15.06	4,926.06	0.00	0.00	-221.80			O:P
Total												
				-10,748.00	-267.62	8,991.08	-1,102.98		-3,308.98			

Transfers												
Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code	
Stocks												
USD												
GLD	2014-06-04	Internal	In		U1358796	1.800	--	215,784.00	0.00	0.00		
Total												
								215,784.00	0.00	0.00		
Equity and Index Options												
USD												
GLD 06JUN14 120.0 P	2014-06-04	Internal	In		U1358796	-20	--	-1,120.00	0.00	0.00		
GLD 06JUN14 121.0 P	2014-06-04	Internal	In		U1358796	10	--	1,290.96	0.00	0.00		
GLD 06JUN14 122.0 P	2014-06-04	Internal	In		U1358796	-20	--	-4,220.00	0.00	0.00		
GLD 06JUN14 122.5 P	2014-06-04	Internal	In		U1358796	10	--	2,565.43	0.00	0.00		
GLD 21JUN14 130.0 C	2014-06-04	Internal	In		U1358796	50	--	183.16	0.00	0.00		
Total												
								-1,300.45	0.00	0.00		

Deposits & Withdrawals		
Date	Description	Amount
USD		
2014-06-16	Internal Transfer In From Account U1364167	475,000.00
Total		475,000.00

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code			
GLD	SPDR GOLD SHARES	51529211	78463V107		1					
Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Expiry	Delivery Month	Strike	Type	Code
Equity and Index Options										
GLD	140606P00120000	149792578			100	2014-06-06	2014-06	120	P	
GLD	140606P00121000	149792584			100	2014-06-06	2014-06	121	P	
GLD	140606P00122000	149792595			100	2014-06-06	2014-06	122	P	
GLD	140606P00122500	149792589			100	2014-06-06	2014-06	122.5	P	
GLD	140613P00120000	150395211			100	2014-06-13	2014-06	120	P	
GLD	140613P00120500	150395204			100	2014-06-13	2014-06	120.5	P	
GLD	140613P00121000	150395216			100	2014-06-13	2014-06	121	P	
GLD	140621C00125000	125885141			100	2014-06-21	2014-06	125	C	
GLD	140621C00130000	125885151			100	2014-06-21	2014-06	130	C	
GLD	140621P00119000	128031118			100	2014-06-21	2014-06	119	P	
GLD	140621P00120000	125885300			100	2014-06-21	2014-06	120	P	
GLD	140627C00125000	150871033			100	2014-06-27	2014-06	125	C	
GLD	140719C00123000	146808481			100	2014-07-19	2014-07	123	C	
GLD	140719C00124000	146808484			100	2014-07-19	2014-07	124	C	
GLD	140719C00130000	146808511			100	2014-07-19	2014-07	130	C	
GLD	140816P00114000	149134613			100	2014-08-16	2014-08	114	P	
GLD	140930C00128000	135734121			100	2014-09-30	2014-09	128	C	
GLD	150117C00124000	139047423			100	2015-01-17	2015-01	124	C	
GLD	150117C00130000	117173239			100	2015-01-17	2015-01	130	C	
GLD	150117P00119000	139047472			100	2015-01-17	2015-01	119	P	
GLD	150117P00120000	117173333			100	2015-01-17	2015-01	120	P	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
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- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.

Notes/Legal Notes

9. Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
10. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
11. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
12. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
13. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

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3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
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6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Settlement Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091 191 141, AFSL: 245574, Participant on Chi-X Australia ("IB")) is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 2507 9893534, AFSL: 244380, Participant on the ASX ("THA")) who executes such orders. Your ASX and Chi-X Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

June 1, 2014 - June 30, 2014

[?](#) Help

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KF-INDEXX
Account	U1364165
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	May 31, 2014			June 30, 2014			Change	Total	Change in NAV	Total	
	Total	Long	Short	Total	Long	Short					Starting Value
Cash	0.00	125,027.55	0.00	125,027.55	125,027.55	0.00	125,027.55	0.00	0.00	0.00	
Stock	0.00	168.00	0.00	168.00	168.00	0.00	168.00	168.00	0.00	493.09	
Options	0.00	2,297.10	0.00	2,297.10	2,297.10	0.00	2,297.10	2,297.10	0.00	125,000.00	
Total	0.00	127,492.65	0.00	127,492.65	127,492.65	0.00	127,492.65	127,492.65	0.00	2,022.01	
Time Weighted Rate of Return							0.37%				

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L		Total	Code
	Prior	Current	Prior	Current		Transaction	Commissions		
Stocks									
OMEX	0	100	--	1.6800	21.00	0.00	0.00	0.00	21.00
Total					21.00	0.00	0.00	0.00	21.00
Equity and Index Options									
EBAY 21JUN14 46.5 P	0	0	--	--	-83.77	-15.23	-7.34	0.00	-106.34
EBAY 21JUN14 48.0 P	0	0	--	--	366.75	-21.75	-7.57	0.00	337.43
EBAY 21JUN14 50.0 C	0	0	--	--	-151.15	-44.85	-7.54	0.00	-203.54
OMEX 20SEP14 1.0 C	0	30	--	0.7657	422.09	0.00	0.00	0.00	422.09
Total					553.93	-81.84	-22.45	0.00	449.64
Forex									
USD	0.00	125,027.55	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L		Other	Total	Code
	Prior	Current	Prior	Current		Transaction	Commissions			
Total					0.00	0.00	0.00	0.00	0.00	0.00
Total (All Assets)					574.93	-81.84	-22.45	0.00	470.64	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized			Unrealized			Total	Code
		S/T Profit	S/T Loss	L/T Profit	S/T Loss	L/T Profit	L/T Loss		
Stocks									
OMEX	0.00	0.00	0.00	0.00	0.00	-4.35	0.00	-4.35	-4.35
Total	0.00	0.00	0.00	0.00	0.00	-4.35	0.00	-4.35	-4.35
Equity and Index Options									
EBAY 21JUN14 46.5 P	0.00	0.00	-106.34	0.00	0.00	0.00	0.00	0.00	-106.34
EBAY 21JUN14 48.0 P	0.00	337.43	0.00	0.00	0.00	0.00	0.00	0.00	337.43
EBAY 21JUN14 50.0 C	0.00	0.00	-203.54	0.00	0.00	0.00	0.00	0.00	-203.54
OMEX 20SEP14 1.0 C	0.00	0.00	0.00	0.00	27.56	0.00	0.00	27.56	27.56
Total	0.00	337.43	-309.88	0.00	27.56	0.00	0.00	27.56	55.11
Total (All Assets)	0.00	337.43	-309.88	0.00	27.56	-4.35	0.00	23.21	50.76

Notes

1. This statement uses default P/L (no wash sales) for realized and unrealized P/L calculations.

Cash Report

Base Currency Summary	Total			Securities			Futures			
	Starting Cash	Commissions	Account Transfers	Net Trades (Sales)	Net Trades (Purchase)	Ending Cash	Ending Settled Cash	Value	Unrealized P/L	Code
Starting Cash	0.00					0.00		0.00		0.00
Commissions		-22.45				-22.45				0.00
Account Transfers			125,000.00			125,000.00				0.00
Net Trades (Sales)			345.00			345.00				0.00
Net Trades (Purchase)			-295.00			-295.00				0.00
Ending Cash			125,027.55			125,027.55				0.00
Ending Settled Cash			125,027.55			125,027.55				0.00

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
USD								
OMEX	100	1	1.7235	172.35	1.6800	168.00	-4.35	
Total				172.35		168.00	-4.35	
Equity and Index Options								
Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
USD								

Open Positions

OMEX 20SEP14 1.0 C	30	100	0.7565133	0.7657	2,269.54	2,297.10	27.56
Total					2,269.54	2,297.10	27.56

Trades

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Equity and Index Options										
USD										
EBAY 21JUN14 50.0 C	2014-06-12, 15:00:06	10	0.1960	0.186148	-196.00	-7.54	203.54	0.00	-9.85	O:P
EBAY 21JUN14 50.0 C	2014-06-20, 16:20:00	-10	0.0000	0.0350	0.00	0.00	-203.54	-203.54	-35.00	C:Ep
Total EBAY 21JUN14 50.0 C		0			-196.00	-7.54	0.00	-203.54	-44.85	
EBAY 21JUN14 46.5 P	2014-06-12, 15:00:06	10	0.0990	0.09187	-99.00	-7.34	106.34	0.00	-7.13	O:P
EBAY 21JUN14 46.5 P	2014-06-20, 16:20:00	-10	0.0000	0.0081	0.00	0.00	-106.34	-106.34	-8.10	C:Ep
Total EBAY 21JUN14 46.5 P		0			-99.00	-7.34	0.00	-106.34	-15.23	
EBAY 21JUN14 48.0 P	2014-06-12, 15:00:06	-10	0.3450	0.376453	345.00	-7.57	-337.43	0.00	-31.45	O:P
EBAY 21JUN14 48.0 P	2014-06-20, 16:20:00	10	0.0000	0.0097	0.00	0.00	337.43	337.43	9.70	C:Ep
Total EBAY 21JUN14 48.0 P		0			345.00	-7.57	0.00	337.43	-21.75	
Total					50.00	-22.45	0.00	27.55	-81.84	

Transfers

Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code
Stocks											
USD											
OMEX	2014-06-12	Internal	In		U1358796	100	--	147.00	0.00	0.00	
Total								147.00	0.00	0.00	
Equity and Index Options											
USD											
OMEX 20SEP14 1.0 C	2014-06-12	Internal	In		U1358796	30	--	1,875.01	0.00	0.00	
Total								1,875.01	0.00	0.00	

Deposits & Withdrawals

Date	Description	Amount
2014-06-16	Internal Transfer In From Account U1364167	125,000.00
Total		125,000.00

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
OMEX	ODYSSEY MARINE EXPLORATION	26770563	676118102		1		
Equity and Index Options							
EBAY 140621C00050000	EBAY 21JUN14 50.0 C	147276926			100	2014-06-21 2014-06 C	50
EBAY 140621P00046500	EBAY 21JUN14 46.5 P	155598933			100	2014-06-21 2014-06 P	46.5
EBAY 140621P00048000	EBAY 21JUN14 48.0 P	155118610			100	2014-06-21 2014-06 P	48
OMEX 140920C00001000	OMEX 20SEP14 1.0 C	142592118			100	2014-09-20 2014-09 C	1

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEX	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

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8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia ("IB")) is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX ("THA")) who executes such orders. Your ASX and Chi-X Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

June 1, 2014 - June 30, 2014

[?](#) Help

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KF-INFLATION
Account	U1364166
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	May 31, 2014		June 30, 2014		Change	Total	Change in NAV	Total
	Total	Long	Short	Total				
Cash	0.00	292,295.46	0.00	292,295.46	292,295.46	292,295.46	0.00	0.00
Stock	0.00	634,965.00	-2,126.00	632,839.00	632,839.00	632,839.00	64,686.82	64,686.82
Options	0.00	78,695.45	-978.00	77,717.45	77,717.45	77,717.45	300,000.00	300,000.00
Interest Accruals	0.00	0.00	-2.20	-2.20	-2.20	-2.20	638,196.63	638,196.63
Total	0.00	1,005,955.91	-3,106.20	1,002,849.71	1,002,849.71	1,002,849.71	-2.20	-2.20
Time Weighted Rate of Return							8.81%	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Current	Position	Mark-to-Market P/L		Total	Code
	Prior	Current	Prior	Current			Transaction	Commissions		
Stocks										
CORN	0	500	--	--	29,4300	-505.05	0.00	0.00	0.00	-505.05
DBA	0	500	--	--	27,4600	15.00	0.00	0.00	0.00	15.00
DBC	0	500	--	--	26,5800	165.00	0.00	0.00	0.00	165.00
FXA	0	1,300	--	--	94,4200	246.87	0.00	0.00	0.00	246.87
FXF	0	200	--	--	110,2400	320.00	0.00	0.00	0.00	320.00
GLD	0	1,700	--	--	128,0400	9,384.00	0.00	0.00	0.00	9,384.00
JJC	0	700	--	--	39,1400	1,736.00	0.00	0.00	0.00	1,736.00
SLV	0	9,800	--	--	20,2500	15,810.00	0.00	0.00	0.00	15,810.00
UNG	0	200	--	--	24,6000	-268.00	0.00	0.00	0.00	-268.00

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Current	Position	Mark-to-Market P/L		Total	Code
	Prior	Current	Prior	Current			Transaction	Commissions		
UUP	0	-100	--	--	21,2600	29.00	0.00	0.00	29.00	
Total						26,932.82	0.00	0.00	26,932.82	
Equity and Index Options										
CORN 19JUL14 28.0 P	0	10	--	--	0.1425	142.10	-133.60	-7.90	0.00	0.60
CORN 19JUL14 30.0 P	0	-10	--	--	0.9780	-629.00	187.00	-7.93	0.00	-449.93
DBA 21JUN14 27.0 P	0	0	--	--	--	-3.40	-21.60	-0.50	0.00	-25.50
FXF 19JUL14 107.0 C	0	20	--	--	3.2473	2,925.40	-230.80	-2.00	0.00	2,692.60
FXF 19JUL14 107.0 P	0	10	--	--	0.1250	25.00	50.00	-1.00	0.00	74.00
GLD 25JUL14 120.0 C	0	10	--	--	8.0522	5,428.22	-136.02	-6.10	0.00	5,286.10
SLV 21JUN14 22.0 C	0	0	--	--	--	2.91	-3.00	0.00	0.00	-0.09
SLV 03JUL14 17.0 C	0	10	--	--	3.2594	1,885.42	-66.02	-6.10	0.00	1,813.30
SLV 17JAN15 18.0 C	0	225	--	--	2.6943	28,331.39	0.00	0.00	0.00	28,331.39
Total						38,108.04	-354.04	-31.54	0.00	37,722.47
Forex										
USD	0.00	292,295.46	1.0000	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00
Total						0.00	0.00	0.00	0.00	0.00
Total (All Assets)						65,040.86	-354.04	-31.54	0.00	64,655.29

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized			Unrealized			Total	Code
		S/T Profit	S/T Loss	L/T Profit	S/T Profit	S/T Loss	L/T Profit		
Stocks									
CORN	0.00	0.00	0.00	0.00	-2,323.42	0.00	0.00	-1,139.63	-3,463.05
DBA	0.00	0.00	0.00	0.00	0.00	717.15	0.00	0.00	717.15
DBC	0.00	0.00	0.00	0.00	0.00	202.15	0.00	0.00	202.15
FXA	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
FXF	0.00	0.00	0.00	0.00	0.00	972.85	0.00	0.00	972.85
GLD	0.00	0.00	0.00	0.00	6,436.29	0.00	0.00	0.00	6,436.29
JJC	0.00	0.00	0.00	0.00	0.00	137.83	-2,303.03	0.00	-2,165.20
SLV	0.00	0.00	0.00	0.00	4,269.34	0.00	0.00	0.00	3,665.21
UNG	0.00	0.00	0.00	0.00	634.42	0.00	0.00	0.00	954.85
UUP	0.00	0.00	0.00	0.00	118.32	0.00	0.00	0.00	118.32
Total	0.00	0.00	0.00	0.00	18,980.93	-2,927.55	2,350.41	-3,442.66	14,961.13
Equity and Index Options									
CORN 19JUL14 28.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.60
CORN 19JUL14 30.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-449.93
DBA 21JUN14 27.0 P	0.00	0.00	-25.50	0.00	-25.50	0.00	0.00	0.00	-25.50
FXF 19JUL14 107.0 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	2,692.60
FXF 19JUL14 107.0 P	0.00	0.00	0.00	0.00	0.00	74.00	0.00	0.00	74.00
GLD 25JUL14 120.0 C	0.00	0.00	0.00	0.00	0.00	5,286.10	0.00	0.00	5,286.10
SLV 21JUN14 22.0 C	0.00	0.00	-3,396.03	0.00	-3,396.03	0.00	0.00	0.00	-3,396.03
SLV 03JUL14 17.0 C	0.00	0.00	0.00	0.00	0.00	1,813.30	0.00	0.00	1,813.30
SLV 17JAN15 18.0 C	0.00	0.00	0.00	0.00	0.00	19,073.94	0.00	0.00	19,073.94

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized		Unrealized		Total	L/T Loss	L/T Profit	Total	S/T Profit	S/T Loss	Unrealized L/T Profit	L/T Loss	Total	Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss											
Total	0.00	0.00	-3,421.53	0.00	0.00	-3,421.53	0.00	0.00	-3,421.53	28,945.16	-454.55	0.00	0.00	28,490.60	25,069.07	
Total (All Assets)	0.00	0.00	-3,421.53	0.00	0.00	-3,421.53	0.00	0.00	-3,421.53	47,926.09	-3,382.10	2,350.41	-3,442.66	43,451.73	40,030.20	

Notes

1. This statement uses default P/L (no wash sales) for realized and unrealized P/L calculations.

Cash Report

Base Currency Summary	Total		Securities		Futures
	Value	Code	Value	Code	
Starting Cash	0.00		0.00		0.00
Commissions	-31.54		-31.54		0.00
Account Transfers	300,000.00		300,000.00		0.00
Net Trades (Sales)	536.00		536.00		0.00
Net Trades (Purchase)	-8,209.00		-8,209.00		0.00
Ending Cash	292,295.46		292,295.46		0.00
Ending Settled Cash	292,295.46		292,295.46		0.00

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
USD								
CORN	500	1	36.3561	18,178.05	29.4300	14,715.00	-3,463.05	
DBA	500	1	26.0257	13,012.85	27.4600	13,730.00	717.15	
DBC	500	1	26.1757	13,087.85	26.5800	13,290.00	202.15	
FXA	1,300	1	88.6334154	115,223.44	94.4200	122,746.00	7,522.56	
FXF	200	1	105.37575	21,075.15	110.2400	22,048.00	972.85	
GLD	1,700	1	124.2539471	211,231.71	128.0400	217,668.00	6,436.29	
JJC	700	1	42.2331429	29,563.20	39.1400	27,398.00	-2,165.20	
SLV	9,800	1	19.875999	194,784.79	20.2500	198,450.00	3,665.21	
UNG	200	1	19.82575	3,965.15	24.6000	4,920.00	954.85	
UUP	-100	1	22.4432	-2,244.32	21.2600	-2,126.00	118.32	
Total			617,877.87	617,877.87		632,839.00	14,961.13	

Equity and Index Options

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Equity and Index Options								
USD								
CORN 19JUL14 28.0 P	10	100	0.141901	141.90	0.1425	142.50	0.60	
CORN 19JUL14 30.0 P	-10	100	0.5280672	-528.07	0.9780	-978.00	-449.93	
FXF 19JUL14 107.0 C	20	100	1.9010	3,802.00	3.2473	6,494.60	2,692.60	
FXF 19JUL14 107.0 P	10	100	0.0510	51.00	0.1250	125.00	74.00	
GLD 25JUL14 120.0 C	10	100	2.766101	2,766.10	8.0522	8,052.20	5,286.10	
SLV 03JUL14 17.0 C	10	100	1.446101	1,446.10	3.2594	3,259.40	1,813.30	
SLV 17JAN15 18.0 C	225	100	1.8465693	41,547.81	2.6943	60,621.75	19,073.94	

Open Positions		49,226.85	77,717.45	28,490.60
Total				

Trades												
Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code		
Equity and Index Options												
USD												
CORN 19JUL14 28.0 P	2014-06-26, 11:32:21	10	0.1340	0.0004	-134.00	-7.90	141.90	0.00	-133.60	O:P		
Total CORN 19JUL14 28.0 P		10			-134.00	-7.90	141.90	0.00	-133.60			
CORN 19JUL14 30.0 P	2014-06-26, 11:32:21	-10	0.5360	0.3490	536.00	-7.93	-528.07	0.00	187.00	O:P		
Total CORN 19JUL14 30.0 P		-10			536.00	-7.93	-528.07	0.00	187.00			
DBA 21JUN14 27.0 P	2014-06-13, 15:55:45	5	0.0500	0.0478	-25.00	-0.50	25.50	0.00	-1.10	O		
DBA 21JUN14 27.0 P	2014-06-20, 16:20:00	-5	0.0000	0.0410	0.00	0.00	-25.50	-25.50	-20.50	C:Ep		
Total DBA 21JUN14 27.0 P		0			-25.00	-0.50	0.00	-25.50	-21.60			
FXF 19JUL14 107.0 C	2014-06-13, 15:53:15	10	1.9000	1.7846	-1,900.00	-1.00	1,901.00	0.00	-115.40	O		
FXF 19JUL14 107.0 C	2014-06-13, 15:53:23	10	1.9000	1.7846	-1,900.00	-1.00	1,901.00	0.00	-115.40	O:P		
Total FXF 19JUL14 107.0 C		20			-3,800.00	-2.00	3,802.00	0.00	-230.80			
FXF 19JUL14 107.0 P	2014-06-25, 10:03:17	10	0.0500	0.1000	-50.00	-1.00	51.00	0.00	50.00	O:P		
Total FXF 19JUL14 107.0 P		10			-50.00	-1.00	51.00	0.00	50.00			
GLD 25JUL14 120.0 C	2014-06-05, 10:55:49	10	2.7600	2.623978	-2,760.00	-6.10	2,766.10	0.00	-136.02	O		
Total GLD 25JUL14 120.0 C		10			-2,760.00	-6.10	2,766.10	0.00	-136.02			
SLV 21JUN14 22.0 C	2014-06-20, 16:20:00	-300	0.0000	0.0001	0.00	0.00	-3,396.03	-3,396.03	-3.00	C:Ep		
Total SLV 21JUN14 22.0 C		-300			0.00	0.00	-3,396.03	-3,396.03	-3.00			
SLV 03JUL14 17.0 C	2014-06-05, 14:51:37	10	1.4400	1.373984	-1,440.00	-6.10	1,446.10	0.00	-66.02	O:P		
Total SLV 03JUL14 17.0 C		10			-1,440.00	-6.10	1,446.10	0.00	-66.02			
Total					-7,673.00	-31.54	4,283.01	-3,421.53	-354.04			

Transfers												
Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code	
Stocks												
USD												
CORN	2014-06-12	Internal	In		U1358796	500	--	15,220.05	0.00	0.00		
DBA	2014-06-12	Internal	In		U1358796	500	--	13,715.00	0.00	0.00		
DBC	2014-06-12	Internal	In		U1358796	500	--	13,125.00	0.00	0.00		
FXA	2014-06-12	Internal	In		U1358796	1,300	--	122,499.13	0.00	0.00		
FXF	2014-06-12	Internal	In		U1358796	200	--	21,728.00	0.00	0.00		
GLD	2014-06-12	Internal	In		U1358796	1,700	--	208,284.00	0.00	0.00		
JJC	2014-06-12	Internal	In		U1358796	700	--	25,662.00	0.00	0.00		

Transfers

Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code
SLV	2014-06-04	Internal	In	--	U1358796	1,000	--	18,080.00	0.00	0.00	
SLV	2014-06-12	Internal	In	--	U1358796	8,800	--	164,560.00	0.00	0.00	
UNG	2014-06-12	Internal	In	--	U1358796	200	--	5,188.00	0.00	0.00	
UUP	2014-06-12	Internal	In	--	U1358796	-100	--	-2,155.00	0.00	0.00	
Total								605,906.18	0.00	0.00	

Equity and Index Options

Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code
SLV 17JAN15 18.0 C	2014-06-12	Internal	In	--	U1358796	225	--	32,290.36	0.00	0.00	
SLV 21JUN14 22.0 C	2014-06-12	Internal	In	--	U1358796	300	--	0.09	0.00	0.00	
Total								32,290.45	0.00	0.00	

Interest Accruals

Base Currency Summary	Date	Description	Amount
Starting Accrual Balance			0.00
Interest Accrued	2014-06-16	Internal Transfer In From Account U1364167	300,000.00
Accrual Reversal			0.00
Ending Accrual Balance			-2.20
Total			300,000.00

Deposits & Withdrawals

Date	Description	Amount
USD		
2014-06-16	Internal Transfer In From Account U1364167	300,000.00
Total		300,000.00

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code	
Stocks								
CORN	TEURIUM CORN FUND	76093929	88166A102		1			
DBA	POWERSHARES DB AGRICULTURE F	42393355	73936B408		1			
DBC	POWERSHARES DB COMMODITY IND	40369373	73935S105		1			
FXA	CURRENCYSHARES AUSTRALIAN DO	39881195	23129U101		1			
FXF	CURRENCYSHARES SWISS FRANC	39881181	23129V109		1			
GLD	SPDR GOLD SHARES	51529211	78463V107		1			
JJC	IPATH DJ-UBS COPPER SUBINDX	46943351	06739F101		1			
SLV	ISHARES SILVER TRUST	39039301	46428Q109		1			
UNG	US NATURAL GAS FUND LP	10219440	912318201		1			
UUP	POWERSHARES DB US DOL IND BU	42922245	73936D107		1			
Symbol	Description	Conid	Listing Exch	Multiplier	Expiry	Delivery Month	Strike	Code
Equity and Index Options								
CORN	140719P00028000	151810701		100	2014-07-19	2014-07	28	P
CORN	140719P00030000	151810710		100	2014-07-19	2014-07	30	P
DBA	140621P00027000	149076204		100	2014-06-21	2014-06	27	P

Financial Instrument Information

FXF 140719C00107000	FXF 19JUL14 107.0 C	151869943	100	2014-07-19	2014-07	C	107
FXF 140719P00107000	FXF 19JUL14 107.0 P	151870043	100	2014-07-19	2014-07	P	107
GLD 140725C00120000	GLD 25JUL14 120.0 C	154212184	100	2014-07-25	2014-07	C	120
SLV 140621C00022000	SLV 21JUN14 22.0 C	143949843	100	2014-06-21	2014-06	C	22
SLV 140703C00017000	SLV 03JUL14 17.0 C	152653446	100	2014-07-03	2014-07	C	17
SLV 150117C00018000	SLV 17JAN15 18.0 C	116737516	100	2015-01-17	2015-01	C	18

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

1. Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
2. Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
3. Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
4. Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
5. If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
6. In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
7. Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
8. Trade execution times are displayed in Eastern Time.
9. Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
10. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
11. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
12. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
13. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**
Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)
Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Int'l)
Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.

Notes/Legal Notes

10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia) ("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX) ("THA") who executes such orders. Your ASX and Chi-X Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member

EXHIBIT 6



Activity Statement

June 1, 2014 - June 30, 2014

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	TF
Account	U1364167
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	May 31, 2014		June 30, 2014			Change	Change in NAV		Total
	Total	Long	Short	Total	Starting Value				
Cash	0.00	0.00	-935,145.35	-935,145.35	-935,145.35	Starting Value	0.00		
Stock	0.00	6,583,527.36	0.00	6,583,527.36	6,583,527.36	Mark-to-Market	129,342.10		
Options	0.00	5,493.60	0.00	5,493.60	5,493.60	Deposits & Withdrawals	-900,000.00		
Interest Accruals	0.00	0.00	-416.04	-416.04	-416.04	Position Transfers	6,424,976.86		
Total	0.00	6,589,020.96	-935,561.39	5,653,459.57	5,653,459.57	Change in Interest Accruals	-416.04		
Time Weighted Rate of Return					2.12%	Commissions	-443.35		
						Ending Value	5,653,459.57		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Stocks										
JNJ	0	62,928	--	104.6200	166,129.92	0.00	0.00	0.00	166,129.92	
Total					166,129.92	0.00	0.00	0.00	166,129.92	
Equity and Index Options										
JNJ 21JUN14 97.5 P	0	0	--	--	-4,719.17	-1,154.20	0.00	0.00	-5,873.37	
JNJ 21JUN14 100.0 P	0	0	--	--	-1,517.56	249.50	-45.25	0.00	-1,313.30	
JNJ 19JUL14 100.0 P	0	630	--	0.0872	-29,182.04	-464.36	-398.10	0.00	-30,044.50	
Total					-35,418.76	-1,369.06	-443.35	0.00	-37,231.17	
Forex										
USD	0.00	-935,145.35	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code	
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total		
Total					0.00	0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					130,711.16	-1,369.06	-443.35	0.00	128,898.75		

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Stocks													
JNJ	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	2,293,366.08	0.00	2,293,366.08	2,293,366.08	
Total	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	2,293,366.08	0.00	2,293,366.08	2,293,366.08	
Equity and Index Options													
JNJ 21JUN14 97.5 P	0.00	0.00	-40,264.41	0.00	0.00	-40,264.41	0.00	0.00	0.00	0.00	0.00	-40,264.41	
JNJ 21JUN14 100.0 P	0.00	0.00	-6,913.19	0.00	0.00	-6,913.19	0.00	0.00	0.00	0.00	0.00	-6,913.19	
JNJ 19JUL14 100.0 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-30,044.50	0.00	0.00	-30,044.50	-30,044.50	
Total	0.00	0.00	-47,177.60	0.00	0.00	-47,177.60	0.00	-30,044.50	0.00	0.00	-30,044.50	-77,222.10	
Total (All Assets)	0.00	0.00	-47,177.60	0.00	0.00	-47,177.60	0.00	-30,044.50	2,293,366.08	0.00	2,263,321.58	2,216,143.98	

Notes

1. This statement uses default P/L (no wash sales) for realized and unrealized P/L calculations.

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	0.00	0.00	0.00
Commissions	-443.35	-443.35	0.00
Account Transfers	-900,000.00	-900,000.00	0.00
Net Trades (Sales)	518.00	518.00	0.00
Net Trades (Purchase)	-35,220.00	-35,220.00	0.00
Ending Cash	-935,145.35	-935,145.35	0.00
Ending Settled Cash	-935,145.35	-935,145.35	0.00

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
USD								
JNJ	62,928	1	68.1757132	4,290,161.28	104.6200	6,583,527.36	2,293,366.08	
Total				4,290,161.28		6,583,527.36	2,293,366.08	
Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Equity and Index Options								
USD								
JNJ 19JUL14 100.0 P	630	100	0.5640969	35,538.10	0.0872	5,493.60	-30,044.50	

Open Positions

Total						35,538.10		5,493.60		-30,044.50
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Trades

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Equity and Index Options										
USD										
JNJ 21JUN14 97.5 P	2014-06-20, 16:20:00	-580	0.0000	0.0199	0.00	0.00	-40,264.41	-40,264.41	-1,154.20	C;Ep
Total JNJ 21JUN14 97.5 P		-580			0.00	0.00	-40,264.41	-40,264.41	-1,154.20	
JNJ 21JUN14 100.0 P	2014-06-17, 10:38:35	-10	0.1100	0.0377	110.00	-7.92	-1,426.51	-1,324.44	72.30	C;P
JNJ 21JUN14 100.0 P	2014-06-17, 11:47:32	-10	0.1000	0.0377	100.00	-7.12	-1,426.51	-1,333.64	62.30	C;P
JNJ 21JUN14 100.0 P	2014-06-17, 13:39:20	-10	0.0800	0.0377	80.00	-5.92	-1,426.51	-1,352.44	42.30	C
JNJ 21JUN14 100.0 P	2014-06-17, 13:43:15	-10	0.0880	0.0377	88.00	-6.53	-1,513.20	-1,431.73	50.30	C;P
JNJ 21JUN14 100.0 P	2014-06-17, 13:45:00	-10	0.0700	0.0377	70.00	-5.92	-1,513.20	-1,449.12	32.30	C;P
JNJ 21JUN14 100.0 P	2014-06-17, 13:46:05	-10	0.0700	0.0377	70.00	-5.92	-64.08	0.00	32.30	O;P
JNJ 21JUN14 100.0 P	2014-06-17, 13:52:22	10	0.0800	0.0377	-80.00	-5.90	64.08	-21.82	-42.30	C;P
Total JNJ 21JUN14 100.0 P		-50			438.00	-45.25	-7,305.94	-6,913.19	249.50	
JNJ 19JUL14 100.0 P	2014-06-16, 09:39:13	10	0.6600	0.7140	-660.00	-4.90	664.90	0.00	54.00	O;P
JNJ 19JUL14 100.0 P	2014-06-16, 09:42:05	10	0.6500	0.7140	-650.00	-4.90	654.90	0.00	64.00	O
JNJ 19JUL14 100.0 P	2014-06-16, 09:42:14	10	0.6300	0.7140	-630.00	-4.90	634.90	0.00	84.00	O
JNJ 19JUL14 100.0 P	2014-06-16, 09:55:27	10	0.6200	0.7140	-620.00	-6.40	626.40	0.00	94.00	O;P
JNJ 19JUL14 100.0 P	2014-06-16, 10:16:38	2	0.6100	0.7140	-122.00	-1.28	123.28	0.00	20.80	O;P
JNJ 19JUL14 100.0 P	2014-06-17, 10:38:35	10	0.8900	0.8416	-890.00	-7.90	897.90	0.00	-48.40	O;P
JNJ 19JUL14 100.0 P	2014-06-17, 11:47:32	10	0.9000	0.8416	-900.00	-7.30	907.30	0.00	-58.40	O;P
JNJ 19JUL14 100.0 P	2014-06-17, 13:39:20	10	0.8600	0.8416	-860.00	-7.90	867.90	0.00	-18.40	O
JNJ 19JUL14 100.0 P	2014-06-17, 13:43:15	10	0.8580	0.8416	-858.00	-7.90	865.90	0.00	-16.40	O;P
JNJ 19JUL14 100.0 P	2014-06-17, 13:45:00	10	0.8300	0.8416	-830.00	-7.90	837.90	0.00	11.60	O;P
JNJ 19JUL14 100.0 P	2014-06-17, 13:46:05	10	0.8200	0.8416	-820.00	-7.90	827.90	0.00	21.60	O;P
JNJ 19JUL14 100.0 P	2014-06-17, 14:14:51	10	0.8000	0.8416	-800.00	-6.40	806.40	0.00	41.60	O;P
JNJ 19JUL14 100.0 P	2014-06-17, 14:24:51	10	0.8000	0.8416	-800.00	-4.90	804.90	0.00	41.60	O
JNJ 19JUL14 100.0 P	2014-06-17, 14:26:13	10	0.8000	0.8416	-800.00	-6.40	806.40	0.00	41.60	O;P

Trades												
JNJ 19JUL14 100.0 P	2014-06-17, 14:26:54		10	0.7900	0.8416	-790.00	-6.40	796.40	0.00		51.60	O;P
JNJ 19JUL14 100.0 P	2014-06-17, 15:33:26		10	0.7900	0.8416	-790.00	-6.40	796.40	0.00		51.60	O;P
JNJ 19JUL14 100.0 P	2014-06-18, 12:20:23		10	0.7500	0.6158	-750.00	-4.90	754.90	0.00		-134.20	O;P
JNJ 19JUL14 100.0 P	2014-06-18, 12:20:24		10	0.7400	0.6158	-740.00	-4.90	744.90	0.00		-124.20	O;P
JNJ 19JUL14 100.0 P	2014-06-18, 12:38:36		10	0.7300	0.6158	-730.00	-4.90	734.90	0.00		-114.20	O
JNJ 19JUL14 100.0 P	2014-06-18, 12:38:49		10	0.7200	0.6158	-720.00	-4.90	724.90	0.00		-104.20	O;P
JNJ 19JUL14 100.0 P	2014-06-18, 12:38:55		10	0.7200	0.6158	-720.00	-4.90	724.90	0.00		-104.20	O
JNJ 19JUL14 100.0 P	2014-06-18, 14:00:17		10	0.7100	0.6158	-710.00	-6.40	716.40	0.00		-94.20	O;P
JNJ 19JUL14 100.0 P	2014-06-18, 14:22:52		10	0.6500	0.6158	-650.00	-7.90	657.90	0.00		-34.20	O;P
JNJ 19JUL14 100.0 P	2014-06-18, 14:22:53		10	0.6500	0.6158	-650.00	-7.90	657.90	0.00		-34.20	O
JNJ 19JUL14 100.0 P	2014-06-18, 14:22:54		10	0.6500	0.6158	-650.00	-7.90	657.90	0.00		-34.20	O
JNJ 19JUL14 100.0 P	2014-06-18, 14:22:55		10	0.6500	0.6158	-650.00	-6.10	656.10	0.00		-34.20	O
JNJ 19JUL14 100.0 P	2014-06-18, 14:22:56		10	0.6500	0.6158	-650.00	-6.10	656.10	0.00		-34.20	O
JNJ 19JUL14 100.0 P	2014-06-18, 14:22:57		10	0.6500	0.6158	-650.00	-7.90	657.90	0.00		-34.20	O
JNJ 19JUL14 100.0 P	2014-06-18, 14:23:04		8	0.6500	0.6158	-520.00	-5.42	525.42	0.00		-27.36	O;P
JNJ 19JUL14 100.0 P	2014-06-18, 14:23:26		10	0.6400	0.6158	-640.00	-6.40	646.40	0.00		-24.20	O;P
JNJ 19JUL14 100.0 P	2014-06-18, 15:02:39		10	0.6100	0.6158	-610.00	-4.90	614.90	0.00		5.80	O;P
JNJ 19JUL14 100.0 P	2014-06-18, 15:03:34		10	0.6000	0.6158	-600.00	-6.40	606.40	0.00		15.80	O;P
JNJ 19JUL14 100.0 P	2014-06-18, 15:32:36		10	0.6000	0.6158	-600.00	-6.40	606.40	0.00		15.80	O;P
JNJ 19JUL14 100.0 P	2014-06-18, 15:42:28		10	0.5900	0.6158	-590.00	-6.40	596.40	0.00		25.80	O;P
JNJ 19JUL14 100.0 P	2014-06-18, 15:46:53		10	0.5700	0.6158	-570.00	-4.90	574.90	0.00		45.80	O;P
JNJ 19JUL14 100.0 P	2014-06-18, 15:59:20		10	0.5500	0.6158	-550.00	-6.40	556.40	0.00		65.80	O;P
JNJ 19JUL14 100.0 P	2014-06-19, 09:50:07		10	0.5200	0.4709	-520.00	-4.90	524.90	0.00		-49.10	O
JNJ 19JUL14 100.0 P	2014-06-19, 09:50:19		10	0.5200	0.4709	-520.00	-4.90	524.90	0.00		-49.10	O
JNJ 19JUL14 100.0 P	2014-06-19, 09:50:23		10	0.5200	0.4709	-520.00	-4.90	524.90	0.00		-49.10	O;P
JNJ 19JUL14 100.0 P	2014-06-19, 09:50:30		10	0.5200	0.4709	-520.00	-4.90	524.90	0.00		-49.10	O
JNJ 19JUL14 100.0 P	2014-06-19, 09:50:33		10	0.5200	0.4709	-520.00	-4.90	524.90	0.00		-49.10	O;P
JNJ 19JUL14 100.0 P	2014-06-19, 09:56:29		10	0.5100	0.4709	-510.00	-6.40	516.40	0.00		-39.10	O;P
JNJ 19JUL14 100.0 P	2014-06-19, 10:19:15		10	0.5000	0.4709	-500.00	-6.40	506.40	0.00		-29.10	O;P

Trades											
JNJ 19JUL14 100.0 P	2014-06-19, 10:32:05		10	0.4600	0.4709	-460.00	-7.00	467.00	0.00	10.90	O
JNJ 19JUL14 100.0 P	2014-06-19, 10:32:10		10	0.4500	0.4709	-450.00	-4.90	454.90	0.00	20.90	O
JNJ 19JUL14 100.0 P	2014-06-19, 10:41:02		10	0.4500	0.4709	-450.00	-6.40	456.40	0.00	20.90	O;P
JNJ 19JUL14 100.0 P	2014-06-19, 10:44:36		10	0.4400	0.4709	-440.00	-6.40	446.40	0.00	30.90	O;P
JNJ 19JUL14 100.0 P	2014-06-19, 11:26:47		10	0.4300	0.4709	-430.00	-6.40	436.40	0.00	40.90	O;P
JNJ 19JUL14 100.0 P	2014-06-19, 13:48:44		10	0.4100	0.4709	-410.00	-6.40	416.40	0.00	60.90	O;P
JNJ 19JUL14 100.0 P	2014-06-19, 13:52:13		10	0.4000	0.4709	-400.00	-6.40	406.40	0.00	70.90	O;P
JNJ 19JUL14 100.0 P	2014-06-19, 15:43:28		10	0.3600	0.4709	-360.00	-6.40	366.40	0.00	110.90	O;P
JNJ 19JUL14 100.0 P	2014-06-20, 09:36:34		10	0.3100	0.2433	-310.00	-6.40	316.40	0.00	-66.70	O;P
JNJ 19JUL14 100.0 P	2014-06-20, 09:39:34		10	0.3000	0.2433	-300.00	-4.90	304.90	0.00	-56.70	O;P
JNJ 19JUL14 100.0 P	2014-06-20, 09:42:44		10	0.2900	0.2433	-290.00	-6.40	296.40	0.00	-46.70	O;P
JNJ 19JUL14 100.0 P	2014-06-20, 09:50:38		10	0.2700	0.2433	-270.00	-6.40	276.40	0.00	-26.70	O;P
JNJ 19JUL14 100.0 P	2014-06-20, 09:57:52		10	0.2700	0.2433	-270.00	-6.82	276.82	0.00	-26.70	O;P
JNJ 19JUL14 100.0 P	2014-06-20, 10:02:44		10	0.2600	0.2433	-260.00	-4.90	264.90	0.00	-16.70	O;P
JNJ 19JUL14 100.0 P	2014-06-20, 10:21:29		10	0.2500	0.2433	-250.00	-6.40	256.40	0.00	-6.70	O;P
JNJ 19JUL14 100.0 P	2014-06-20, 10:25:56		10	0.2500	0.2433	-250.00	-7.90	257.90	0.00	-6.70	O
JNJ 19JUL14 100.0 P	2014-06-20, 11:04:57		10	0.2400	0.2433	-240.00	-6.40	246.40	0.00	3.30	O;P
JNJ 19JUL14 100.0 P	2014-06-20, 11:19:00		10	0.2300	0.2433	-230.00	-6.10	236.10	0.00	13.30	O
JNJ 19JUL14 100.0 P	2014-06-20, 11:19:08		10	0.2300	0.2433	-230.00	-6.82	236.82	0.00	13.30	O;P
JNJ 19JUL14 100.0 P	2014-06-20, 11:25:23		10	0.2300	0.2433	-230.00	-7.00	237.00	0.00	13.30	O;P
JNJ 19JUL14 100.0 P	2014-06-20, 11:25:27		10	0.2300	0.2433	-230.00	-12.40	242.40	0.00	13.30	O
Total JNJ 19JUL14 100.0 P			630			-35,140.00	-398.10	35,538.10	0.00	-464.36	
Total						-34,702.00	-443.35	-12,032.25	-47,177.60	-1,369.06	

Transfers											
Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code
Stocks											
USD											
JNJ	2014-06-04	Internal	In	--	U1358796	62,928	--	6,417,397.44	0.00	0.00	
Total								6,417,397.44	0.00	0.00	
Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code

Transfers

Equity and Index Options

USD

Symbol	Date	Internal	In	Multiplier	U1358796	50	--	1,706.06	0.00	0.00
JNJ 21JUN14 100.0 P	2014-06-04	Internal	In	--	U1358796	50	--	1,706.06	0.00	0.00
JNJ 21JUN14 97.5 P	2014-06-04	Internal	In	--	U1358796	580	--	5,873.37	0.00	0.00
Total								7,579.42	0.00	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	0.00
Interest Accrued	-416.04
Accrual Reversal	0.00
Ending Accrual Balance	-416.04

Deposits & Withdrawals

Date	Description	Amount
USD		
2014-06-16	Internal Transfer Out To Account U1364165	-125,000.00
2014-06-16	Internal Transfer Out To Account U1364166	-300,000.00
2014-06-16	Internal Transfer Out To Account U1364162	-475,000.00
Total		-900,000.00

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type				Code
Stocks										
JNJ	JOHNSON & JOHNSON	8719	478160104		1					
Symbol	Description	Conid	Listing Exch	Multiplier	Expiry	Delivery Month	Type	Strike	Code	
Equity and Index Options										
JNJ 140621P00097500	JNJ 21JUN14 97.5 P	146850864		100	2014-06-21	2014-06	P	97.5		
JNJ 140621P00100000	JNJ 21JUN14 100.0 P	147280061		100	2014-06-21	2014-06	P	100		
JNJ 140719P00100000	JNJ 19JUL14 100.0 P	138670629		100	2014-07-19	2014-07	P	100		

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
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Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
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C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
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IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
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- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

Notes/Legal Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**
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Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)
Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

June 1, 2014 - June 30, 2014

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	JF
Account	U1364170
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	May 31, 2014		June 30, 2014			Change	Change in NAV		Total
	Total	Long	Short	Total	Starting Value				
Stock	0.00	121,243.50	0.00	121,243.50	121,243.50			0.00	
Total	0.00	121,243.50	0.00	121,243.50	121,243.50			5,773.50	
Time Weighted Rate of Return						5.00%			115,470.00
									121,243.50

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Stocks										
GANS	0	11,547	--	10.5000	5,773.50	0.00	0.00	0.00	5,773.50	
Total					5,773.50	0.00	0.00	0.00	5,773.50	
Total (All Assets)					5,773.50	0.00	0.00	0.00	5,773.50	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Stocks													
GANS	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	16,664.63	0.00	16,664.63	16,664.63	
Total	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	16,664.63	0.00	16,664.63	16,664.63	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Total (All Assets)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	16,664.63	0.00	16,664.63	16,664.63	

Notes

1. This statement uses default P/L (no wash sales) for realized and unrealized P/L calculations.

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	0.00	0.00	0.00
Ending Cash	0.00	0.00	0.00
Ending Settled Cash	0.00	0.00	0.00

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
USD								
GANS	11,547	1	9.0568	104,578.87	10.5000	121,243.50	16,664.63	
Total				104,578.87		121,243.50	16,664.63	

Transfers

Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code
Stocks											
USD											
GANS	2014-06-04	Internal	In	--	U1358796	11,547	--	115,470.00	0.00	0.00	
Total								115,470.00	0.00	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
GANS	GAINSCO INC	60765093	363127309		1		

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan

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L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
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Notes/Legal Notes

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Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member

EXHIBIT 7

ACCOUNT NUMBER: 4812564255

Statement Period
 12/01/16 TO 12/31/16
 IM0099002900000000

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KINETIC FUNDS I, LLC
 1800 SECOND ST #955
 SARASOTA FL 34236

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IF YOU HAVE QUESTIONS ABOUT ANY OF YOUR BMO HARRIS ACCOUNTS, PLEASE CALL US
 TOLL-FREE AT 1-888-340-2265. BMO HARRIS BANK N.A. MEMBER FDIC. EQUAL HOUSING
 LENDER. NMLS401052 VISIT US ONLINE AT WWW.BMOHARRIS.COM.

CHECKING ACCOUNTS

BUSINESS ADVANTAGE CKG KINETIC FUNDS I, LLC
 ACCOUNT NUMBER 4812564255 (Checking)

Interest Paid YTD 601.26

DEPOSIT ACCOUNT SUMMARY

Previous Balance as of November 30, 2016	248,044.55
3 Deposits (Plus)	17,000,000.00
10 Withdrawals (Minus)	6,148,304.08
Interest Paid (Plus)	546.19
Ending Balance as of December 31, 2016	11,100,286.66

Deposits and Other Credits

Date	Amount	Description	
Dec 07	4,000,000.00	INCOMING WIRE	2719 68894
		FED WIRE TRANSFER CREDIT 1612070WIRE-IN	
Dec 07	11,000,000.00	INCOMING WIRE	2719 68895
		FED WIRE TRANSFER CREDIT 1612070WIRE-IN	
Dec 09	2,000,000.00	INCOMING WIRE	2719 15757
		FED WIRE TRANSFER CREDIT 1612090WIRE-IN	
Dec 30	546.19	INTEREST PAID	

Withdrawals and Other Debits

Date	Amount	Description	
Dec 12	85,132.24	OUTGOING WIRE	2719 98912
		WIRE TRANSFER DEBIT 161212112691	
Dec 13	6,964.04	PC TRANSFER DEBIT	2730 60258
Dec 13	7,300.00	PC TRANSFER DEBIT	2730 60259
Dec 13	34,050.00	PC TRANSFER DEBIT	2730 60257
Dec 16	49,221.59	PC TRANSFER DEBIT	2730 47913
Dec 19	900.00	OUTGOING WIRE	2719 14415
		FED WIRE TRANSFER DEBIT 161219202629	
Dec 21	938,732.07	PC TRANSFER DEBIT	2730 89795
Dec 29	5,000,000.00	OUTGOING WIRE	2719 72888
		FED WIRE TRANSFER DEBIT 161229315173	

ACCOUNT NUMBER: 4812564255

Statement Period
 12/01/16 TO 12/31/16
 IM0099002900000000

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KINETIC FUNDS I, LLC

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Checks by Serial Number

Date	Serial #	Amount	Date	Serial #	Amount		
Dec 01	10514	2,200.00	Dec 29	10515	23,804.14	27006 47107	26008 75723

Daily Balance Summary

Date	Balance	Date	Balance
Nov 30	248,044.55	Dec 16	17,063,176.68
Dec 01	245,844.55	Dec 19	17,062,276.68
Dec 07	15,245,844.55	Dec 21	16,123,544.61
Dec 09	17,245,844.55	Dec 29	11,099,740.47
Dec 12	17,160,712.31	Dec 30	11,100,286.66
Dec 13	17,112,398.27		

Statement Period Rates

Effective	Dec 01, 2016	Balance	Rate
		ZERO to	4,999 0.010 %
		5,000 to	9,999 0.010 %
		10,000 to	24,999 0.050 %
		25,000 to	49,999 0.050 %
		50,000 to	99,999 0.050 %
		100,000 to	249,999 0.050 %
		250,000 to	499,999 0.050 %
		500,000 to	999,999 0.050 %
		1,000,000 to	99,999,999,999 0.050 %



Activity Statement

December 1, 2016 - December 31, 2016

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	November 30, 2016		December 31, 2016			Change	Change in NAV		Total
	Total		Long	Short	Total				
Cash	-12,231,272.99		0.00	-7,235,813.69	-7,235,813.69	4,995,459.30	Starting Value	-12,235,695.31	
Interest Accruals	-4,422.33		7,618.29	-13,013.02	-5,394.73	-972.40	Deposits & Withdrawals	5,000,000.00	
Soft Dollars	0.00		0.00	0.00	0.00	0.00	Interest	-4,540.70	
Total	-12,235,695.31		7,618.30	-7,248,826.71	-7,241,208.41	4,994,486.90	Change in Interest Accruals	-972.40	
Time Weighted Rate of Return						0.05%	Ending Value	-7,241,208.41	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-12,231,272.99	-7,235,813.69	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									-4,540.70	
Total P/L for Statement Period									-4,540.70	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-12,231,272.99	-12,231,272.99	0.00
Deposits	5,000,000.00	5,000,000.00	0.00
Broker Interest Paid and Received	-4,540.70	-4,540.70	0.00
Ending Cash	-7,235,813.69	-7,235,813.69	0.00
Ending Settled Cash	-7,235,813.69	-7,235,813.69	0.00

Interest Accruals

Base Currency Summary

	Amount
Starting Accrual Balance	-4,422.33
Interest Accrued	-5,513.10
Accrual Reversal	4,540.70
FX Translation	0.00
Ending Accrual Balance	-5,394.73

Interest

Date	Description	Amount
USD		
2016-12-05	USD Debit Interest for Nov-2016	-10,783.86
2016-12-05	USD Debit Interest for Nov-2016 to U1364170	0.60
2016-12-05	USD Debit Interest for Nov-2016 to U1364161	3,061.27
2016-12-05	USD Debit Interest for Nov-2016 to U1364167	3,181.29
Total		-4,540.70

Deposits & Withdrawals

Date	Description	Amount
USD		
2016-12-29	Electronic Fund Transfer	5,000,000.00
Total		5,000,000.00

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	9,197.53	-9,197.53	0.00	0.00
U1364162	-	-	0.00	0.00	0.00	0.00
U1364165	-	-	53.23	-53.23	0.00	0.00
U1364167	-	-	934.82	-934.82	0.00	0.00
U1364170	-	-	61.84	-61.84	0.00	0.00
Total			10,247.41	-10,247.41	0.00	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
AI	Allocation	MEx	Manual exercise for dividend-related recommendation.

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.

Notes/Legal Notes

10. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
11. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
12. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
13. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**
Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)
Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)
Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member

EXHIBIT 8

ACCOUNT NUMBER: 4812564255

Statement Period
 10/01/16 TO 10/31/16
 IM0099002900000000

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KINETIC FUNDS I, LLC
 1800 SECOND ST #955
 SARASOTA FL 34236

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ATTENTION DEBIT CARDHOLDERS-AUTOMATIC BILLING UPDATER SERVICE DEBUTS
 BMO HARRIS BANK IS PLEASED TO ANNOUNCE WE WILL BEGIN PARTICIPATING IN
 THE MASTERCARD AUTOMATIC BILLING UPDATER (ABU) SERVICE BEGINNING ON
 NOVEMBER 20, 2016 FOR BMO WEALTH MANAGEMENT WORLD DEBIT MASTERCARD
 CARDHOLDERS.
 WHAT DOES THIS MEAN FOR BMO WEALTH MANAGEMENT WORLD DEBIT MASTERCARD
 CARDHOLDERS? WHEN YOUR BMO HARRIS DEBIT CARD NUMBER OR EXPIRATION DATE
 IS UPDATED, OR YOUR CARD IS CLOSED, WE WILL PROVIDE THE UPDATED CARD
 INFORMATION TO MERCHANTS WHO PARTICIPATE IN ABU WITH WHOM YOU HAVE
 AUTOMATIC BILLING ARRANGEMENTS (SUCH AS TELEPHONE, CABLE COMPANIES,
 INSURANCE, AND HEALTH CLUBS). SINCE NOT ALL MERCHANTS PARTICIPATE IN ABU,
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 ARRANGEMENTS OF THE UPDATED CARD INFORMATION TO ENSURE YOUR PAYMENTS
 ARE NOT INTERRUPTED.
 IF YOU DO NOT WANT BMO HARRIS BANK TO PROVIDE YOUR DEBIT CARD UPDATES
 THROUGH ABU, PLEASE CALL US AT 1-888-340-2265. ALLOW 60 DAYS FOR YOUR
 "OPT OUT" TO TAKE EFFECT.
 IF YOU HAVE QUESTIONS ABOUT ANY OF YOUR BMO HARRIS ACCOUNTS, PLEASE CALL
 US TOLL-FREE AT 1-888-340-2265. BMO HARRIS BANK N.A. MEMBER FDIC. EQUAL
 HOUSING LENDER. NMLS401052 VISIT US ONLINE AT WWW.BMOHARRIS.COM

CHECKING ACCOUNTS

BUSINESS ADVANTAGE CKG KINETIC FUNDS I, LLC
 ACCOUNT NUMBER 4812564255 (Checking)

Interest Paid YTD 50.46

DEPOSIT ACCOUNT SUMMARY

Previous Balance as of September 30, 2016	86,530.54
2 Deposits (Plus)	183,960.00
10 Withdrawals (Minus)	252,754.04
Interest Paid (Plus)	1.59
Ending Balance as of October 31, 2016	17,738.09

Deposits and Other Credits

Date	Amount	Description	
Oct 13	175,000.00	INCOMING WIRE	2719 67479
		FED WIRE TRANSFER CREDIT 1610134WIRE-IN	
Oct 26	8,960.00	INCOMING WIRE	2719 66139
		FED WIRE TRANSFER CREDIT 1610265WIRE-IN	
Oct 31	1.59	INTEREST PAID	

ACCOUNT NUMBER: 4812564255

Statement Period
 10/01/16 TO 10/31/16
 IM0099002900000000

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KINETIC FUNDS I, LLC

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Withdrawals and Other Debits

Date	Amount	Description		
Oct 05	6,919.33	PC TRANSFER DEBIT	2730	66132
Oct 07	25,000.00	PC TRANSFER DEBIT	2730	26024
Oct 11	7,800.00	PC TRANSFER DEBIT	2730	13058
Oct 14	3,000.00	OUTGOING WIRE	2719	28847
		FED WIRE TRANSFER DEBIT 161014471439		
Oct 14	6,270.00	OUTGOING WIRE	2719	28849
		FED WIRE TRANSFER DEBIT 161014471459		
Oct 14	27,216.96	PC TRANSFER DEBIT	2730	29554
Oct 14	175,000.00	OUTGOING WIRE	2719	28848
		FED WIRE TRANSFER DEBIT 161014471441		

Checks by Serial Number

Date	Serial #	Amount	Date	Serial #	Amount			
Oct 13	10508	136.75	Oct 27	10510	166.00	28005	2919	26004 1019
Oct 12	10509	1,245.00				28001	91643	

Daily Balance Summary

Date	Balance	Date	Balance
Sep 30	86,530.54	Oct 13	220,429.46
Oct 05	79,611.21	Oct 14	8,942.50
Oct 07	54,611.21	Oct 26	17,902.50
Oct 11	46,811.21	Oct 27	17,736.50
Oct 12	45,566.21	Oct 31	17,738.09

Statement Period Rates

Effective	Oct 01, 2016	Balance	Rate
		ZERO to	4,999 0.010 %
		5,000 to	9,999 0.010 %
		10,000 to	24,999 0.050 %
		25,000 to	49,999 0.050 %
		50,000 to	99,999 0.050 %
		100,000 to	249,999 0.050 %
		250,000 to	499,999 0.050 %
		500,000 to	999,999 0.050 %
		1,000,000 to	99,999,999,999 0.050 %

ACCOUNT NUMBER: 4812564255

Statement Period
 11/01/16 TO 11/30/16
 IM0099002900000000

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KINETIC FUNDS I, LLC
 1800 SECOND ST #955
 SARASOTA FL 34236

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GOOD NEWS: ACCOUNT UPDATE EFFECTIVE NOVEMBER 10, 2016

MINI STATEMENTS REQUESTED AT A BMO HARRIS ATM WILL BE PROVIDED FREE OF CHARGE AFTER NOVEMBER 10, 2016. PRIOR TO THIS CHANGE, WE CHARGED A \$1 FEE FOR THIS SERVICE.

PLEASE VISIT YOUR LOCAL BRANCH, OR CALL US AT 888-340-2265 IF YOU HAVE QUESTIONS ABOUT THIS CHANGE.

IF YOU HAVE QUESTIONS ABOUT ANY OF YOUR BMO HARRIS ACCOUNTS, PLEASE CALL US TOLL-FREE AT 1-888-340-2265. BMO HARRIS BANK N.A. MEMBER FDIC. EQUAL HOUSING LENDER. NMLS401052 VISIT US ONLINE AT WWW.BMOHARRIS.COM.

CHECKING ACCOUNTS

BUSINESS ADVANTAGE CKG KINETIC FUNDS I, LLC
 ACCOUNT NUMBER 4812564255 (Checking)

Interest Paid YTD 55.07

DEPOSIT ACCOUNT SUMMARY

Previous Balance as of October 31, 2016	17,738.09
2 Deposits (Plus)	725,000.00
9 Withdrawals (Minus)	494,698.15
Interest Paid (Plus)	4.61
Ending Balance as of November 30, 2016	248,044.55

Deposits and Other Credits

Date	Amount	Description	
Nov 02	225,000.00	INCOMING WIRE	2719 78320
		FED WIRE TRANSFER CREDIT 1611026WIRE-IN	
Nov 29	500,000.00	INCOMING WIRE	2719 77450
		FED WIRE TRANSFER CREDIT 1611299WIRE-IN	
Nov 30	4.61	INTEREST PAID	

Withdrawals and Other Debits

Date	Amount	Description	
Nov 03	95,632.92	PC TRANSFER DEBIT	2730 86583
Nov 10	6,000.00	PC TRANSFER DEBIT	2730 1499
Nov 10	6,965.45	PC TRANSFER DEBIT	2730 1498
Nov 14	20,000.00	OUTGOING WIRE	2719 28287
		FED WIRE TRANSFER DEBIT 161114806149	
Nov 15	46,350.62	PC TRANSFER DEBIT	2730 1192

ACCOUNT NUMBER: 4812564255

Statement Period
 11/01/16 TO 11/30/16
 IM0099002900000000

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KINETIC FUNDS I, LLC

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Nov 30 300,000.00 OUTGOING WIRE 2719 89964
 FED WIRE TRANSFER DEBIT 161130989271

Checks by Serial Number

Date	Serial #	Amount	Date	Serial #	Amount			
Nov 01	10511	8,960.00	Nov 30	10513	8,589.16	28004	15697	26009 2285
Nov 01	10512	2,200.00				27004	33436	

Daily Balance Summary

Date	Balance	Date	Balance
Oct 31	17,738.09	Nov 14	102,979.72
Nov 01	6,578.09	Nov 15	56,629.10
Nov 02	231,578.09	Nov 29	556,629.10
Nov 03	135,945.17	Nov 30	248,044.55
Nov 10	122,979.72		

Statement Period Rates

Effective	Nov 01, 2016	Balance	Rate
		ZERO to 4,999	0.010 %
		5,000 to 9,999	0.010 %
		10,000 to 24,999	0.050 %
		25,000 to 49,999	0.050 %
		50,000 to 99,999	0.050 %
		100,000 to 249,999	0.050 %
		250,000 to 499,999	0.050 %
		500,000 to 999,999	0.050 %
		1,000,000 to 99,999,999,999	0.050 %

ACCOUNT NUMBER: 4812564255

Statement Period
 12/01/16 TO 12/31/16
 IM0099002900000000

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KINETIC FUNDS I, LLC
 1800 SECOND ST #955
 SARASOTA FL 34236

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IF YOU HAVE QUESTIONS ABOUT ANY OF YOUR BMO HARRIS ACCOUNTS, PLEASE CALL US
 TOLL-FREE AT 1-888-340-2265. BMO HARRIS BANK N.A. MEMBER FDIC. EQUAL HOUSING
 LENDER. NMLS401052 VISIT US ONLINE AT WWW.BMOHARRIS.COM.

CHECKING ACCOUNTS

BUSINESS ADVANTAGE CKG KINETIC FUNDS I, LLC
 ACCOUNT NUMBER 4812564255 (Checking)

Interest Paid YTD 601.26

DEPOSIT ACCOUNT SUMMARY

Previous Balance as of November 30, 2016	248,044.55
3 Deposits (Plus)	17,000,000.00
10 Withdrawals (Minus)	6,148,304.08
Interest Paid (Plus)	546.19
Ending Balance as of December 31, 2016	11,100,286.66

Deposits and Other Credits

Date	Amount	Description	
Dec 07	4,000,000.00	INCOMING WIRE	2719 68894
		FED WIRE TRANSFER CREDIT 1612070WIRE-IN	
Dec 07	11,000,000.00	INCOMING WIRE	2719 68895
		FED WIRE TRANSFER CREDIT 1612070WIRE-IN	
Dec 09	2,000,000.00	INCOMING WIRE	2719 15757
		FED WIRE TRANSFER CREDIT 1612090WIRE-IN	
Dec 30	546.19	INTEREST PAID	

Withdrawals and Other Debits

Date	Amount	Description	
Dec 12	85,132.24	OUTGOING WIRE	2719 98912
		WIRE TRANSFER DEBIT 161212112691	
Dec 13	6,964.04	PC TRANSFER DEBIT	2730 60258
Dec 13	7,300.00	PC TRANSFER DEBIT	2730 60259
Dec 13	34,050.00	PC TRANSFER DEBIT	2730 60257
Dec 16	49,221.59	PC TRANSFER DEBIT	2730 47913
Dec 19	900.00	OUTGOING WIRE	2719 14415
		FED WIRE TRANSFER DEBIT 161219202629	
Dec 21	938,732.07	PC TRANSFER DEBIT	2730 89795
Dec 29	5,000,000.00	OUTGOING WIRE	2719 72888
		FED WIRE TRANSFER DEBIT 161229315173	

ACCOUNT NUMBER: 4812564255

Statement Period
 12/01/16 TO 12/31/16
 IM0099002900000000

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KINETIC FUNDS I, LLC

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Checks by Serial Number

Date	Serial #	Amount	Date	Serial #	Amount		
Dec 01	10514	2,200.00	Dec 29	10515	23,804.14	27006 47107	26008 75723

Daily Balance Summary

Date	Balance	Date	Balance
Nov 30	248,044.55	Dec 16	17,063,176.68
Dec 01	245,844.55	Dec 19	17,062,276.68
Dec 07	15,245,844.55	Dec 21	16,123,544.61
Dec 09	17,245,844.55	Dec 29	11,099,740.47
Dec 12	17,160,712.31	Dec 30	11,100,286.66
Dec 13	17,112,398.27		

Statement Period Rates

Effective	Dec 01, 2016	Balance	Rate
		ZERO to 4,999	0.010 %
		5,000 to 9,999	0.010 %
		10,000 to 24,999	0.050 %
		25,000 to 49,999	0.050 %
		50,000 to 99,999	0.050 %
		100,000 to 249,999	0.050 %
		250,000 to 499,999	0.050 %
		500,000 to 999,999	0.050 %
		1,000,000 to 99,999,999,999	0.050 %

EXHIBIT 9



Activity Statement

June 1, 2018 - June 30, 2018

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	May 31, 2018		June 30, 2018			Change	Change in NAV		Total
	Total	Long	Short	Total	Starting Value		Ending Value		
Cash	-7,336,638.53	75,000.00	0.00	75,000.00	7,411,638.53		-7,345,954.46		
Interest Accruals	-9,315.94	72,058.95	-79,223.53	-7,164.58	2,151.36		7,421,265.03		
Soft Dollars	0.00	0.00	0.00	0.00	0.00		-9,626.50		
Total	-7,345,954.46	147,058.96	-79,223.53	67,835.43	7,413,789.89		2,151.36		
Time Weighted Rate of Return						12.82%	Ending Value	67,835.43	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,336,638.53	75,000.00	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									-9,626.50	
Total P/L for Statement Period									-9,626.50	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,336,638.53	-7,336,638.53	0.00
Deposits	5,000,000.00	5,000,000.00	0.00
Account Transfers	2,421,265.03	2,421,265.03	0.00
Broker Interest Paid and Received	-9,626.50	-9,626.50	0.00
Ending Cash	75,000.00	75,000.00	0.00
Ending Settled Cash	75,000.00	75,000.00	0.00

Interest

Date	Description	Amount
USD		
2018-06-05	USD Debit Interest for May-2018	-71,042.60
2018-06-05	USD Net Short Stock Interest for May-2018	-86.96
2018-06-05	USD Credit Interest for May-2018 to U1362008	-510.24
2018-06-05	USD Credit Interest for May-2018 to U1364172	-446.69
2018-06-05	USD Credit Interest for May-2018 to U1364170	-277.12
2018-06-05	USD Debit Interest for May-2018 to U1364161	55,214.05
2018-06-05	USD Credit Interest for May-2018 to U1364162	-672.04
2018-06-05	USD Debit Interest for May-2018 to U1364165	566.29
2018-06-05	USD Debit Interest for May-2018 to U1364167	8,264.22
2018-06-05	USD Credit Interest for May-2018 to U1364166	-722.37
2018-06-05	USD Net Short Stock Interest for May-2018 to U1364166	86.96
Total		-9,626.50

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	-9,315.94
Interest Accrued	-7,475.14
Accrual Reversal	9,626.50
FX Translation	0.00
Ending Accrual Balance	-7,164.58

Deposits & Withdrawals

Date	Description	Amount
USD		
2018-06-25	Internal Transfer In From Account U1362028 (STL transfer per client request)	7,346,265.03
2018-06-28	Electronic Fund Transfer	5,000,000.00
2018-06-28	Internal Transfer In From Account U1364172	70,000.00
2018-06-29	Internal Transfer Out To Account U1364161	-4,995,000.00
Total		7,421,265.03

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	7,831.13	-7,831.13	0.00	0.00
U1364162	-	-	27.84	-27.84	0.00	0.00
U1364165	-	-	3.50	-3.50	0.00	0.00
U1364166	-	-	5.97	-5.97	0.00	0.00
U1364167	-	-	789.38	-789.38	0.00	0.00
Total			8,657.82	-8,657.82	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
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Financial Instrument Information

Stocks

SPY	SPDR S&P 500 ETF TRUST	756733	78462F103	ARCA	1	ETF
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Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

1. Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
2. Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.

Notes/Legal Notes

3. Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
4. Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
5. If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
6. In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
7. Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
8. Trade execution times are displayed in Eastern Time.
9. Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
10. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
11. Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
12. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
13. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
14. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**
Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)
Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)
Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.

Notes/Legal Notes

11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOCH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

July 1, 2018 - July 31, 2018

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	June 30, 2018		July 31, 2018			Change	Change in NAV	
	Total	Long	Short	Total	Total			
Cash	75,000.00	68,052.64	0.00	68,052.64	-6,947.36	Starting Value	67,835.43	
Interest Accruals	-7,164.58	62,350.62	-59,086.87	3,263.75	10,428.33	Interest	-6,947.36	
Soft Dollars	0.00	0.00	0.00	0.00	0.00	Change in Interest Accruals	10,428.33	
Total	67,835.43	130,403.27	-59,086.87	71,316.40	3,480.97	Ending Value	71,316.40	
Time Weighted Rate of Return						5.13%		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	75,000.00	68,052.64	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									-6,947.36	
Total P/L for Statement Period									-6,947.36	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	75,000.00	75,000.00	0.00
Broker Interest Paid and Received	-6,947.36	-6,947.36	0.00
Ending Cash	68,052.64	68,052.64	0.00
Ending Settled Cash	68,052.64	68,052.64	0.00

Interest

Date	Description	Amount
USD		
2018-07-05	USD Debit Interest for Jun-2018	-81,217.60
2018-07-05	USD Net Short Stock Interest for Jun-2018	-88.18
2018-07-05	USD Credit Interest for Jun-2018 to U1364172	-425.05
2018-07-05	USD Credit Interest for Jun-2018 to U1364170	-295.24
2018-07-05	USD Debit Interest for Jun-2018 to U1364161	65,689.27
2018-07-05	USD Credit Interest for Jun-2018 to U1364162	-715.88
2018-07-05	USD Debit Interest for Jun-2018 to U1364165	316.11
2018-07-05	USD Debit Interest for Jun-2018 to U1364167	8,054.37
2018-07-05	USD Credit Interest for Jun-2018 to U1364166	-769.41
2018-07-05	USD Net Short Stock Interest for Jun-2018 to U1364166	88.18
2018-07-05	USD Credit Interest for Jun-2018 to U1362008	-485.53
2018-07-05	USD Debit Interest for Jun-2018 to U1362028	2,901.60
Total		-6,947.36

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	-7,164.58
Interest Accrued	3,480.97
Accrual Reversal	6,947.36
FX Translation	0.00
Ending Accrual Balance	3,263.75

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	7,458.41	-7,458.41	0.00	0.00
U1364162	-	-	4.92	-4.92	0.00	0.00
U1364165	-	-	0.00	0.00	0.00	0.00
U1364166	-	-	0.00	0.00	0.00	0.00
U1364167	-	-	674.69	-674.69	0.00	0.00
Total			8,138.01	-8,138.01	0.00	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.

Codes			
Code	Meaning	Code (Cont.)	Meaning (Cont.)
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.

Notes/Legal Notes

10. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
11. Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
12. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
13. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
14. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**
Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)
Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)
Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
 2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
 3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
 4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
 5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
 6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
 7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
 8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
 9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
 10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
 11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
 12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

August 1, 2018 - August 31, 2018

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	July 31, 2018		August 31, 2018			Change	Change in NAV		Total
	Total	Long	Short	Total	Starting Value		Ending Value		
Cash	68,052.64	71,425.36	0.00	71,425.36	3,372.72	71,316.40	74,680.30		
Interest Accruals	3,263.75	80,267.45	-77,012.52	3,254.93	-8.82	3,372.72			
Soft Dollars	0.00	0.00	0.00	0.00	0.00				
Total	71,316.40	151,692.82	-77,012.52	74,680.30	3,363.90				
Time Weighted Rate of Return						4.72%			

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	68,052.64	71,425.36	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									3,372.72	
Total P/L for Statement Period									3,372.72	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	68,052.64	68,052.64	0.00
Broker Interest Paid and Received	3,372.72	3,372.72	0.00
Ending Cash	71,425.36	71,425.36	0.00
Ending Settled Cash	71,425.36	71,425.36	0.00

Interest

Date	Description	Amount
USD		
2018-08-03	USD Debit Interest for Jul-2018	-58,658.95
2018-08-03	USD Net Short Stock Interest for Jul-2018	-74.26
2018-08-03	USD Debit Interest for Jul-2018 to U1362028	14,975.38
2018-08-03	USD Credit Interest for Jul-2018 to U1364170	-345.29
2018-08-03	USD Debit Interest for Jul-2018 to U1364161	40,513.92
2018-08-03	USD Net Short Stock Interest for Jul-2018 to U1364161	-3.08
2018-08-03	USD Credit Interest for Jul-2018 to U1364162	-790.36
2018-08-03	USD Net Short Stock Interest for Jul-2018 to U1364162	5.61
2018-08-03	USD Debit Interest for Jul-2018 to U1364167	8,528.57
2018-08-03	USD Credit Interest for Jul-2018 to U1364166	-853.85
2018-08-03	USD Net Short Stock Interest for Jul-2018 to U1364166	75.03
Total		3,372.72

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	3,263.75
Interest Accrued	3,363.90
Accrual Reversal	-3,372.72
FX Translation	0.00
Ending Accrual Balance	3,254.93

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	8,320.18	-8,320.18	0.00	0.00
U1364166	-	-	0.00	0.00	0.00	0.00
U1364167	-	-	583.74	-583.74	0.00	0.00
Total			8,903.92	-8,903.92	0.00	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
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Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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Notes/Legal Notes

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Legal Notes

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Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
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5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOCH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

September 1, 2018 - September 30, 2018

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	August 31, 2018		September 30, 2018			Change	Change in NAV		Total
	Total		Long	Short	Total		Starting Value		
Cash	71,425.36		74,788.80	0.00	74,788.80	3,363.44	Starting Value	74,680.30	
Interest Accruals	3,254.93		88,575.77	-85,627.42	2,948.35	-306.58	Interest	3,363.44	
Soft Dollars	0.00		0.00	0.00	0.00	0.00	Change in Interest Accruals	-306.58	
Total	74,680.30		163,364.58	-85,627.42	77,737.16	3,056.86	Ending Value	77,737.16	
Time Weighted Rate of Return						4.09%			

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	71,425.36	74,788.80	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									3,363.44	
Total P/L for Statement Period									3,363.44	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	71,425.36	71,425.36	0.00
Broker Interest Paid and Received	3,363.44	3,363.44	0.00
Ending Cash	74,788.80	74,788.80	0.00
Ending Settled Cash	74,788.80	74,788.80	0.00

Interest

Date	Description	Amount
USD		
2018-09-06	USD Debit Interest for Aug-2018	-77,834.36
2018-09-06	USD Net Short Stock Interest for Aug-2018	-82.07
2018-09-06	USD Debit Interest for Aug-2018 to U1362028	15,033.38
2018-09-06	USD Credit Interest for Aug-2018 to U1364170	-356.11
2018-09-06	USD Debit Interest for Aug-2018 to U1364161	59,325.39
2018-09-06	USD Credit Interest for Aug-2018 to U1364162	-791.78
2018-09-06	USD Net Short Stock Interest for Aug-2018 to U1364162	21.71
2018-09-06	USD Debit Interest for Aug-2018 to U1364167	8,787.00
2018-09-06	USD Credit Interest for Aug-2018 to U1364166	-810.60
2018-09-06	USD Net Short Stock Interest for Aug-2018 to U1364166	70.88
Total		3,363.44

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	3,254.93
Interest Accrued	3,056.86
Accrual Reversal	-3,363.44
FX Translation	0.00
Ending Accrual Balance	2,948.35

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	8,335.06	-8,335.06	0.00	0.00
U1364167	-	-	523.64	-523.64	0.00	0.00
Total			8,858.71	-8,858.71	0.00	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election

Codes			
Code	Meaning	Code (Cont.)	Meaning (Cont.)
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).

Notes/Legal Notes

14. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

October 1, 2018 - October 31, 2018

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	September 30, 2018		October 31, 2018			Change	Change in NAV	
	Total	Long	Short	Total	Total			
Cash	74,788.80	78,067.12	0.00	78,067.12	3,278.32	Starting Value	77,737.16	
Interest Accruals	2,948.35	93,445.41	-90,139.07	3,306.34	357.99	Interest	3,278.32	
Soft Dollars	0.00	0.00	0.00	0.00	0.00	Change in Interest Accruals	357.99	
Total	77,737.16	171,512.54	-90,139.07	81,373.47	3,636.31	Ending Value	81,373.47	
Time Weighted Rate of Return						4.68%		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	74,788.80	78,067.12	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									3,278.32	
Total P/L for Statement Period									3,278.32	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	74,788.80	74,788.80	0.00
Broker Interest Paid and Received	3,278.32	3,278.32	0.00
Ending Cash	78,067.12	78,067.12	0.00
Ending Settled Cash	78,067.12	78,067.12	0.00

Interest

Date	Description	Amount
USD		
2018-10-03	USD Debit Interest for Sep-2018	-94,087.00
2018-10-03	USD Net Short Stock Interest for Sep-2018	-61.84
2018-10-03	USD Credit Interest for Sep-2018 to U1364170	-354.63
2018-10-03	USD Debit Interest for Sep-2018 to U1364161	75,773.87
2018-10-03	USD Net Short Stock Interest for Sep-2018 to U1364161	1.24
2018-10-03	USD Credit Interest for Sep-2018 to U1364162	-788.92
2018-10-03	USD Net Short Stock Interest for Sep-2018 to U1364162	21.78
2018-10-03	USD Debit Interest for Sep-2018 to U1364167	8,702.79
2018-10-03	USD Credit Interest for Sep-2018 to U1364166	-807.70
2018-10-03	USD Net Short Stock Interest for Sep-2018 to U1364166	60.42
2018-10-03	USD Debit Interest for Sep-2018 to U1362028	14,818.31
Total		3,278.32

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	2,948.35
Interest Accrued	3,636.31
Accrual Reversal	-3,278.32
FX Translation	0.00
Ending Accrual Balance	3,306.34

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	7,055.42	-7,055.42	0.00	0.00
U1364162	-	-	0.00	0.00	0.00	0.00
U1364167	-	-	767.85	-767.85	0.00	0.00
Total			7,823.27	-7,823.27	0.00	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
AI	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
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Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
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G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
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IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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Notes/Legal Notes

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Fixed Income Notes

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Legal Notes

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Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

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4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOCH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

November 1, 2018 - November 30, 2018

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	October 31, 2018		November 30, 2018			Change	Change in NAV		Total
	Total		Long	Short	Total		Starting Value		
Cash	78,067.12		81,483.73	0.00	81,483.73	3,416.61	Starting Value	81,373.47	
Interest Accruals	3,306.34		65,663.53	-62,481.16	3,182.37	-123.97	Interest	3,416.61	
Soft Dollars	0.00		0.00	0.00	0.00	0.00	Change in Interest Accruals	-123.97	
Total	81,373.47		147,147.27	-62,481.16	84,666.11	3,292.64	Ending Value	84,666.11	
Time Weighted Rate of Return						4.05%			

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	78,067.12	81,483.73	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received										3,416.61
Total P/L for Statement Period										3,416.61

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	78,067.12	78,067.12	0.00
Broker Interest Paid and Received	3,416.61	3,416.61	0.00
Ending Cash	81,483.73	81,483.73	0.00
Ending Settled Cash	81,483.73	81,483.73	0.00

Interest

Date	Description	Amount
USD		
2018-11-05	USD Debit Interest for Oct-2018	-89,927.62
2018-11-05	USD Net Short Stock Interest for Oct-2018	-97.26
2018-11-05	USD Credit Interest for Oct-2018 to U1364170	-425.76
2018-11-05	USD Debit Interest for Oct-2018 to U1364161	68,895.76
2018-11-05	USD Credit Interest for Oct-2018 to U1364162	-944.59
2018-11-05	USD Net Short Stock Interest for Oct-2018 to U1364162	44.84
2018-11-05	USD Debit Interest for Oct-2018 to U1364167	9,945.37
2018-11-05	USD Credit Interest for Oct-2018 to U1364166	-969.31
2018-11-05	USD Net Short Stock Interest for Oct-2018 to U1364166	67.32
2018-11-05	USD Debit Interest for Oct-2018 to U1362028	16,827.86
Total		3,416.61

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	3,306.34
Interest Accrued	3,292.64
Accrual Reversal	-3,416.61
FX Translation	0.00
Ending Accrual Balance	3,182.37

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	4,253.09	-4,253.09	0.00	0.00
U1364162	-	-	17.84	-17.84	0.00	0.00
U1364165	-	-	248.01	-248.01	0.00	0.00
U1364167	-	-	392.89	-392.89	0.00	0.00
Total			4,911.83	-4,911.83	0.00	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
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Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
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Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
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ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
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G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
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I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

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- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
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- Trade execution times are displayed in Eastern Time.
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- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
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Notes/Legal Notes

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14. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

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Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOCH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

December 1, 2018 - December 31, 2018

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	November 30, 2018		December 31, 2018			Change	Change in NAV	
	Total		Long	Short	Total			Total
Cash	81,483.73		84,775.25	0.00	84,775.25	3,291.52	Starting Value	84,666.11
Interest Accruals	3,182.37		65,855.13	-62,595.68	3,259.45	77.08	Interest	3,291.52
Soft Dollars	0.00		0.00	0.00	0.00	0.00	Change in Interest Accruals	77.08
Total	84,666.11		150,630.39	-62,595.68	88,034.71	3,368.60	Ending Value	88,034.71
Time Weighted Rate of Return						3.98%		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	81,483.73	84,775.25	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									3,291.52	
Total P/L for Statement Period									3,291.52	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	81,483.73	81,483.73	0.00
Broker Interest Paid and Received	3,291.52	3,291.52	0.00
Ending Cash	84,775.25	84,775.25	0.00
Ending Settled Cash	84,775.25	84,775.25	0.00

Interest

Date	Description	Amount
USD		
2018-12-05	USD Debit Interest for Nov-2018	-61,977.08
2018-12-05	USD Net Short Stock Interest for Nov-2018	-80.92
2018-12-05	USD Credit Interest for Nov-2018 to U1364170	-415.09
2018-12-05	USD Debit Interest for Nov-2018 to U1364161	41,320.81
2018-12-05	USD Credit Interest for Nov-2018 to U1364162	-920.58
2018-12-05	USD Net Short Stock Interest for Nov-2018 to U1364162	23.11
2018-12-05	USD Debit Interest for Nov-2018 to U1364165	4.70
2018-12-05	USD Debit Interest for Nov-2018 to U1364167	9,831.46
2018-12-05	USD Credit Interest for Nov-2018 to U1364166	-944.11
2018-12-05	USD Net Short Stock Interest for Nov-2018 to U1364166	70.66
2018-12-05	USD Debit Interest for Nov-2018 to U1362028	16,378.56
Total		3,291.52

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	3,182.37
Interest Accrued	3,368.60
Accrual Reversal	-3,291.52
FX Translation	0.00
Ending Accrual Balance	3,259.45

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	4,817.37	-4,817.37	0.00	0.00
U1364162	-	-	0.00	0.00	0.00	0.00
U1364167	-	-	712.24	-712.24	0.00	0.00
Total			5,529.61	-5,529.61	0.00	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
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Aw	Away Trade	ML	Maximize Losses tax basis election
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Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
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CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
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G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
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L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
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Notes/Legal Notes

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7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOCH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

January 1, 2019 - January 31, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	December 31, 2018		January 31, 2019			Change	Change in NAV		Total
	Total	Long	Short	Total	Starting Value		Ending Value		
Cash	84,775.25	88,143.53	0.00	88,143.53	3,368.28	88,034.71	91,416.99		
Interest Accruals	3,259.45	93,739.74	-90,466.29	3,273.45	14.00	3,368.28	14.00		
Soft Dollars	0.00	0.00	0.00	0.00	0.00				
Total	88,034.71	181,883.28	-90,466.29	91,416.99	3,382.28				
Time Weighted Rate of Return						3.84%			

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	84,775.25	88,143.53	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									3,368.28	
Total P/L for Statement Period									3,368.28	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	84,775.25	84,775.25	0.00
Broker Interest Paid and Received	3,368.28	3,368.28	0.00
Ending Cash	88,143.53	88,143.53	0.00
Ending Settled Cash	88,143.53	88,143.53	0.00

Interest

Date	Description	Amount
USD		
2019-01-04	USD Debit Interest for Dec-2018	-63,186.26
2019-01-04	USD Net Short Stock Interest for Dec-2018	-12.18
2019-01-04	USD Debit Interest for Dec-2018 to U13642028	17,449.22
2019-01-04	USD Credit Interest for Dec-2018 to U1364170	-448.86
2019-01-04	USD Debit Interest for Dec-2018 to U1364161	41,402.74
2019-01-04	USD Net Short Stock Interest for Dec-2018 to U1364161	-60.74
2019-01-04	USD Credit Interest for Dec-2018 to U1364162	-999.06
2019-01-04	USD Debit Interest for Dec-2018 to U1364165	14.81
2019-01-04	USD Debit Interest for Dec-2018 to U1364167	10,148.66
2019-01-04	USD Credit Interest for Dec-2018 to U1364166	-1,021.07
2019-01-04	USD Net Short Stock Interest for Dec-2018 to U1364166	81.02
Total		3,368.28

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	3,259.45
Interest Accrued	3,382.28
Accrual Reversal	-3,368.28
FX Translation	0.00
Ending Accrual Balance	3,273.45

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	7,403.55	-7,403.55	0.00	0.00
U1364167	-	-	735.35	-735.35	0.00	0.00
Total			8,138.89	-8,138.89	0.00	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).

Notes/Legal Notes

14. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

February 1, 2019 - February 28, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	January 31, 2019		February 28, 2019			Change	Change in NAV		Total
	Total	Long	Short	Total	Starting Value		Ending Value		
Cash	88,143.53	91,262.78	0.00	91,262.78	3,119.25	91,416.99	91,416.99		
Interest Accruals	3,273.45	88,712.99	-85,759.29	2,953.70	-319.75	-70.00	-70.00		
Dividend Accruals	0.00	83,635.20	-83,635.20	0.00	0.00	3,383.12	3,383.12		
Soft Dollars	0.00	0.00	0.00	0.00	0.00	-319.75	-319.75		
Total	91,416.99	263,610.97	-169,394.49	94,216.48	2,799.50	-97.00	-97.00		
Time Weighted Rate of Return						3.06%	-96.87	-96.87	
							94,216.48	94,216.48	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Equity and Index Options										
SPY 15MAR19 278.0 C	0	0	--	--	0.00	40.00	-48.43	0.00	-8.43	CP
SPY 15MAR19 278.0 P	0	0	--	--	0.00	-110.00	-48.44	0.00	-158.44	CP
Total					0.00	-70.00	-96.87	0.00	-166.87	
Forex										
USD	88,143.53	91,262.78	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	-70.00	-96.87	0.00	-166.87	
Broker Interest Paid and Received										3,383.12
Other Fees										-97.00
Total P/L for Statement Period										3,119.25

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Equity and Index Options													
SPY 15MAR19 278.0 C	0.00	4.17	-12.60	0.00	0.00	-8.43	0.00	0.00	0.00	0.00	0.00	-8.43	CP
SPY 15MAR19 278.0 P	0.00	0.00	-158.44	0.00	0.00	-158.44	0.00	0.00	0.00	0.00	0.00	-158.44	CP
Total	0.00	4.17	-171.04	0.00	0.00	-166.87	0.00	0.00	0.00	0.00	0.00	-166.87	
Total (All Assets)	0.00	4.17	-171.04	0.00	0.00	-166.87	0.00	0.00	0.00	0.00	0.00	-166.87	

Notes

1. This statement uses default P/L (no wash sales) for realized and unrealized P/L calculations.

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	88,143.53	88,143.53	0.00
Commissions	-96.87	-96.87	0.00
Broker Interest Paid and Received	3,383.12	3,383.12	0.00
Net Trades (Sales)	22,230.00	22,230.00	0.00
Net Trades (Purchase)	-22,300.00	-22,300.00	0.00
Other Fees	-97.00	-97.00	0.00
Ending Cash	91,262.78	91,262.78	0.00
Ending Settled Cash	91,262.78	91,262.78	0.00

Complex Positions Summary

Description	Symbol	Quantity	Multiplier	Close Price	Value	MTM P/L
USD						
SPY Mar'19 278 Straddle		0	-	-	-	-166.87
SPY 15MAR19 278.0 C	SPY 190315C00278000	0	-	-	-	-8.43
SPY 15MAR19 278.0 P	SPY 190315P00278000	0	-	-	-	-158.44
Total					0.00	-166.87

Trades

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Equity and Index Options										
USD										
SPY 15MAR19 278.0 C	2019-02-19, 13:59:33	10	3.6000	3.4406	-3,600.00	-7.88	3,607.88	0.00	-159.40	CP;O;P
SPY 15MAR19 278.0 C	2019-02-19, 13:59:38	10	3.6000	3.4406	-3,600.00	-8.82	3,608.82	0.00	-159.40	O;P
SPY 15MAR19 278.0 C	2019-02-19, 13:59:40	10	3.6000	3.4406	-3,600.00	-7.88	3,607.88	0.00	-159.40	O;P
SPY 15MAR19 278.0 C	2019-02-19, 14:00:41	-10	3.6100	3.4406	3,610.00	-7.95	-3,607.88	-5.83	169.40	C;P

Trades

SPY 15MAR19 278.0 C	2019-02-19, 14:00:49		-10	3.6100	3.4406	3,610.00	-7.95	-3,608.82	-6.77	169.40	C;P
SPY 15MAR19 278.0 C	2019-02-19, 14:01:14		-10	3.6200	3.4406	3,620.00	-7.95	-3,607.88	4.17	179.40	C;P
Total SPY 15MAR19 278.0 C			0			40.00	-48.43	0.00	-8.43	40.00	
SPY 15MAR19 278.0 P	2019-02-19, 13:59:33		10	3.8320	3.9094	-3,832.00	-7.88	3,839.88	0.00	77.40	CP;O;P
SPY 15MAR19 278.0 P	2019-02-19, 13:59:38		10	3.8370	3.9094	-3,837.00	-8.82	3,845.82	0.00	72.40	O;P
SPY 15MAR19 278.0 P	2019-02-19, 13:59:40		10	3.8310	3.9094	-3,831.00	-7.88	3,838.88	0.00	78.40	O;P
SPY 15MAR19 278.0 P	2019-02-19, 14:00:41		-10	3.8000	3.9094	3,800.00	-7.95	-3,839.88	-47.83	-109.40	C;P
SPY 15MAR19 278.0 P	2019-02-19, 14:00:49		-10	3.8000	3.9094	3,800.00	-7.95	-3,843.82	-51.77	-109.40	C;P
SPY 15MAR19 278.0 P	2019-02-19, 14:01:14		-10	3.7900	3.9094	3,790.00	-7.95	-3,840.88	-58.83	-119.40	C;P
Total SPY 15MAR19 278.0 P			0			-110.00	-48.44	0.00	-158.44	-110.00	
Total						-70.00	-96.87	0.00	-166.87	-70.00	

Interest

Date	Description	Amount
USD		
2019-02-05	USD Debit Interest for Jan-2019	-90,470.46
2019-02-05	USD Net Short Stock Interest for Jan-2019	-93.26
2019-02-05	USD Debit Interest for Jan-2019 to U1362028	18,295.06
2019-02-05	USD Credit Interest for Jan-2019 to U1364170	-481.37
2019-02-05	USD Debit Interest for Jan-2019 to U1364161	68,087.73
2019-02-05	USD Credit Interest for Jan-2019 to U1364162	-1,071.52
2019-02-05	USD Debit Interest for Jan-2019 to U1364165	15.47
2019-02-05	USD Debit Interest for Jan-2019 to U1364167	10,104.09
2019-02-05	USD Credit Interest for Jan-2019 to U1364166	-1,095.88
2019-02-05	USD Net Short Stock Interest for Jan-2019 to U1364166	93.26
Total		3,383.12

Interest Accruals

Base Currency Summary

Starting Accrual Balance	3,273.45
Interest Accrued	3,063.37
Accrual Reversal	-3,383.12
Ending Accrual Balance	2,953.70

Fees

Date	Description	Amount
Other Fees		
USD		
2019-02-27	ANNUAL LEI REGISTRATION FEE FOR FEB 2019	-97.00
Total		-97.00

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Starting Dividend Accruals in USD										0.00
Stocks										
USD										
JNJ	2019-02-22	2019-02-25	2019-03-12	62,928	0.00	0.00	0.90	-56,635.20	-56,635.20	Po;AI
JNJ	2019-02-22	2019-02-25	2019-03-12	30,000	0.00	0.00	0.90	-27,000.00	-27,000.00	Po;AI
JNJ	2019-02-22	2019-02-25	2019-03-12	92,928	0.00	0.00	0.90	83,635.20	83,635.20	Po
Total					0.00	0.00		0.00	0.00	

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Ending Dividend Accruals in USD									0.00	

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	6,293.18	-6,293.18	0.00	0.00
U1364165	-	-	0.00	0.00	0.00	0.00
U1364167	-	-	600.23	-600.23	0.00	0.00
Total			6,893.41	-6,893.41	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type			Code
Stocks									
JNJ	JOHNSON & JOHNSON	8719	478160104	NYSE	1	COMMON			
Symbol	Description	Conid	Listing Exch	Multiplier	Expiry	Delivery Month	Type	Strike	Code
Equity and Index Options									
SPY 190315C00278000	SPY 15MAR19 278.0 C	336559154		100	2019-03-15	2019-03	C	278	CP
SPY 190315P00278000	SPY 15MAR19 278.0 P	336559324		100	2019-03-15	2019-03	P	278	CP

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

Notes/Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**
Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)
Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)
Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

March 1, 2019 - March 31, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	February 28, 2019		March 31, 2019			Change	Change in NAV	
	Total	Long	Short	Total	Total			
Cash	91,262.78	94,326.42	0.00	94,326.42	3,063.64	Starting Value	94,216.48	
Interest Accruals	2,953.70	75,100.03	-72,028.65	3,071.38	117.68	Interest	3,063.64	
Dividend Accruals	0.00	0.00	0.00	0.00	0.00	Change in Interest Accruals	117.68	
Soft Dollars	0.00	0.00	0.00	0.00	0.00	Ending Value	97,397.80	
Total	94,216.48	169,426.45	-72,028.65	97,397.80	3,181.32			
Time Weighted Rate of Return						3.38%		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	91,262.78	94,326.42	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									3,063.64	
Total P/L for Statement Period									3,063.64	

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	91,262.78	91,262.78	0.00
Dividends	-8,605.80	-8,605.80	0.00
Broker Interest Paid and Received	3,063.64	3,063.64	0.00
Payment In Lieu of Dividends	8,605.80	8,605.80	0.00
Ending Cash	94,326.42	94,326.42	0.00
Ending Settled Cash	94,326.42	94,326.42	0.00

Complex Positions Summary

Description	Symbol	Quantity	Multiplier	Close Price	Value	MTM P/L
USD						
SPY Combo		0	-	-	-	0.00
SPY 15MAR19 278.0 C	SPY 190315C00278000	0	-	-	-	0.00
SPY 15MAR19 278.0 P	SPY 190315P00278000	0	-	-	-	0.00
Total					0.00	0.00

Interest

Date	Description	Amount
USD		
2019-03-05	USD Debit Interest for Feb-2019	-86,370.64
2019-03-05	USD Net Short Stock Interest for Feb-2019	-98.98
2019-03-05	USD Debit Interest for Feb-2019 to U1362028	16,561.00
2019-03-05	USD Credit Interest for Feb-2019 to U1364170	-435.56
2019-03-05	USD Debit Interest for Feb-2019 to U1364161	65,903.06
2019-03-05	USD Credit Interest for Feb-2019 to U1364162	-969.40
2019-03-05	USD Debit Interest for Feb-2019 to U1364165	14.00
2019-03-05	USD Debit Interest for Feb-2019 to U1364167	9,351.82
2019-03-05	USD Credit Interest for Feb-2019 to U1364166	-990.64
2019-03-05	USD Net Short Stock Interest for Feb-2019 to U1364166	98.98
Total		3,063.64

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	2,953.70
Interest Accrued	3,181.32
Accrual Reversal	-3,063.64
FX Translation	0.00
Ending Accrual Balance	3,071.38

Dividends

Date	Description	Amount
USD		
2019-03-12	JNJ(US4781601046) Cash Dividend 0.90000000 USD per Share (Ordinary Dividend)	75,029.40
2019-03-12	JNJ(US4781601046) Payment in Lieu of Dividend (Ordinary Dividend)	8,605.80
2019-03-12	JNJ(US4781601046) Cash Dividend 0.90000000 USD per Share (Ordinary Dividend) to U1364161	-27,000.00
2019-03-12	JNJ(US4781601046) Cash Dividend 0.90000000 USD per Share (Ordinary Dividend) to U1364167	-56,635.20
Total		0.00

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Starting Dividend Accruals in USD									0.00	
Stocks										
USD										
JNJ	2019-02-22	2019-02-25	2019-03-12	62,928	0.00	0.00	0.90	56,635.20	56,635.20	Re;AI
JNJ	2019-02-22	2019-02-25	2019-03-12	30,000	0.00	0.00	0.90	27,000.00	27,000.00	Re;AI
JNJ	2019-03-12	2019-02-25	2019-03-12	92,928	0.00	0.00	0.90	-83,635.20	-83,635.20	Re
Total					0.00	0.00		0.00	0.00	
Ending Dividend Accruals in USD									0.00	

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	6,042.85	-6,042.85	0.00	0.00
U1364167	-	-	770.28	-770.28	0.00	0.00
Total			6,813.13	-6,813.13	0.00	

Financial Instrument Information

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9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

April 1, 2019 - April 30, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	March 31, 2019		April 30, 2019			Change	Change in NAV		Total
	Total	Long	Short	Total	Starting Value				
Cash	94,326.42	92,215.20	0.00	92,215.20	-2,111.21	97,397.80		97,397.80	
Options	0.00	4,471.70	0.00	4,471.70	4,471.70	-1,028.30		-1,028.30	
Interest Accruals	3,071.38	53,512.96	-50,320.74	3,192.22	120.84	3,400.90		3,400.90	
Soft Dollars	0.00	0.00	0.00	0.00	0.00	120.84		120.84	
Total	97,397.80	150,199.87	-50,320.74	99,879.13	2,481.33			-12.11	
							Commissions		-12.11
							Ending Value		99,879.13
Time Weighted Rate of Return						2.55%			

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Equity and Index Options										
GM 03MAY19 40.0 C	0	20	--	0.0530	-1,651.80	-83.20	-8.08	0.00	-1,743.08	CP
GM 21JUN19 43.0 P	0	10	--	4.3657	804.70	-98.00	-4.04	0.00	702.66	CP
Total					-847.10	-181.20	-12.11	0.00	-1,040.41	
Forex										
USD	94,326.42	92,215.20	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)						-847.10	-181.20	-12.11	0.00	-1,040.41
Broker Interest Paid and Received									3,400.90	
Total P/L for Statement Period									2,360.49	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Equity and Index Options													
GM 03MAY19 40.0 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,743.08	0.00	0.00	-1,743.08	-1,743.08	CP
GM 21JUN19 43.0 P	0.00	0.00	0.00	0.00	0.00	0.00	702.66	0.00	0.00	0.00	702.66	702.66	CP
Total	0.00	0.00	0.00	0.00	0.00	0.00	702.66	-1,743.08	0.00	0.00	-1,040.41	-1,040.41	
Total (All Assets)	0.00	0.00	0.00	0.00	0.00	0.00	702.66	-1,743.08	0.00	0.00	-1,040.41	-1,040.41	

Notes

1. This statement uses default P/L (no wash sales) for realized and unrealized P/L calculations.

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	94,326.42	94,326.42	0.00
Commissions	-12.11	-12.11	0.00
Broker Interest Paid and Received	3,400.90	3,400.90	0.00
Net Trades (Purchase)	-5,500.00	-5,500.00	0.00
Ending Cash	92,215.20	92,215.20	0.00
Ending Settled Cash	92,215.20	92,215.20	0.00

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Equity and Index Options								
USD								
GM 03MAY19 40.0 C	20	100	0.924538	1,849.08	0.0530	106.00	-1,743.08	CP
GM 21JUN19 43.0 P	10	100	3.663038	3,663.04	4.3657	4,365.70	702.66	CP
Total				5,512.11		4,471.70	-1,040.41	

Complex Positions Summary

Description	Symbol	Quantity	Multiplier	Close Price	Value	MTM P/L
USD						
GM 190621P00043000,GM 190503C00040000 +2xGM 190503C00040000 May03 '19 40 Call +GM 190621P00043000 Jun'19 43 Put		10	-	-	4,471.70	-1,040.41
GM 21JUN19 43.0 P	GM 190621P00043000	10	100	4.3657	4,365.70	702.66
GM 03MAY19 40.0 C	GM 190503C00040000	20	100	0.0530	106.00	-1,743.08
Total					4,471.70	-1,040.41

Trades

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
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Trades

Equity and Index Options

USD												
GM 03MAY19 40.0 C	2019-04-29, 10:36:38		20	0.9205	0.8789	-1,841.00	-8.08	1,849.08	0.00		-83.20	CP;O;P
Total GM 03MAY19 40.0 C			20			-1,841.00	-8.08	1,849.08	0.00		-83.20	
GM 21JUN19 43.0 P	2019-04-29, 10:36:38		10	3.6590	3.5610	-3,659.00	-4.04	3,663.04	0.00		-98.00	CP;O;P
Total GM 21JUN19 43.0 P			10			-3,659.00	-4.04	3,663.04	0.00		-98.00	
Total						-5,500.00	-12.11	5,512.11	0.00		-181.20	

Interest

Date	Description	Amount
USD		
2019-04-03	USD Debit Interest for Mar-2019	-74,686.73
2019-04-03	USD Net Short Stock Interest for Mar-2019	-88.83
2019-04-03	USD Debit Interest for Mar-2019 to U1362028	18,408.32
2019-04-03	USD Credit Interest for Mar-2019 to U1364170	-484.21
2019-04-03	USD Debit Interest for Mar-2019 to U1364161	51,811.70
2019-04-03	USD Net Short Stock Interest for Mar-2019 to U1364161	1.22
2019-04-03	USD Credit Interest for Mar-2019 to U1364162	-1,077.79
2019-04-03	USD Debit Interest for Mar-2019 to U1364165	15.50
2019-04-03	USD Debit Interest for Mar-2019 to U1364167	10,515.25
2019-04-03	USD Credit Interest for Mar-2019 to U1364166	-1,101.14
2019-04-03	USD Net Short Stock Interest for Mar-2019 to U1364166	87.61
Total		3,400.90

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	3,071.38
Interest Accrued	3,521.74
Accrual Reversal	-3,400.90
FX Translation	0.00
Ending Accrual Balance	3,192.22

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	6,615.80	-6,615.80	0.00	0.00
U1364167	-	-	812.42	-812.42	0.00	0.00
Total			7,428.22	-7,428.22	0.00	

Financial Instrument Information

Symbol	Description	Conid	Listing Exch	Multiplier	Expiry	Delivery Month	Type	Strike	Code
Equity and Index Options									
GM 190503C00040000	GM 03MAY19 40.0 C	358255844		100	2019-05-03	2019-05	C	40	CP
GM 190621P00043000	GM 21JUN19 43.0 P	340130521		100	2019-06-21	2019-06	P	43	CP

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.

Notes/Legal Notes

7. Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
8. Trade execution times are displayed in Eastern Time.
9. Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
10. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
11. Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
12. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
13. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
14. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**
Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)
Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)
Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOCH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member

Notes/Legal Notes



Activity Statement

May 1, 2019 - May 31, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	April 30, 2019		May 31, 2019			Change	Change in NAV	
	Total	Long	Short	Total	Total			
Cash	92,215.20	95,517.73	0.00	95,517.73	3,302.53	Starting Value	99,879.13	
Options	4,471.70	10,020.30	0.00	10,020.30	5,548.60	Mark-to-Market	5,548.60	
Interest Accruals	3,192.22	54,677.08	-51,392.83	3,284.25	92.03	Interest	3,302.53	
Dividend Accruals	0.00	68,331.60	-68,331.60	0.00	0.00	Change in Interest Accruals	92.03	
Soft Dollars	0.00	0.00	0.00	0.00	0.00	Ending Value	108,822.29	
Total	99,879.13	228,546.72	-119,724.43	108,822.29	8,943.16			
Time Weighted Rate of Return						8.95%		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Equity and Index Options										
GM 03MAY19 40.0 C	20	0	0.0530	--	-106.00	0.00	0.00	0.00	-106.00	CP
GM 21JUN19 43.0 P	10	10	4.3657	10.0203	5,654.60	0.00	0.00	0.00	5,654.60	CP
Total					5,548.60	0.00	0.00	0.00	5,548.60	
Forex										
USD	92,215.20	95,517.73	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					5,548.60	0.00	0.00	0.00	5,548.60	
Broker Interest Paid and Received									3,302.53	
Total P/L for Statement Period									8,851.13	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Equity and Index Options													
GM 03MAY19 40.0 C	0.00	0.00	-1,849.08	0.00	0.00	-1,849.08	0.00	0.00	0.00	0.00	0.00	-1,849.08	CP
GM 21JUN19 43.0 P	0.00	0.00	0.00	0.00	0.00	0.00	6,357.26	0.00	0.00	0.00	6,357.26	6,357.26	CP
Total	0.00	0.00	-1,849.08	0.00	0.00	-1,849.08	6,357.26	0.00	0.00	0.00	6,357.26	4,508.19	
Total (All Assets)	0.00	0.00	-1,849.08	0.00	0.00	-1,849.08	6,357.26	0.00	0.00	0.00	6,357.26	4,508.19	

Notes

1. This statement uses default P/L (no wash sales) for realized and unrealized P/L calculations.

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	92,215.20	92,215.20	0.00
Broker Interest Paid and Received	3,302.53	3,302.53	0.00
Ending Cash	95,517.73	95,517.73	0.00
Ending Settled Cash	95,517.73	95,517.73	0.00

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Equity and Index Options								
USD								
GM 21JUN19 43.0 P	10	100	3.663038	3,663.04	10.0203	10,020.30	6,357.26	CP
Total				3,663.04		10,020.30	6,357.26	

Complex Positions Summary

Description	Symbol	Quantity	Multiplier	Close Price	Value	MTM P/L
USD						
GM 190621P00043000,GM +2xGM May03 '19 40 Call +GM 190621P00043000 Jun'19 43 Put		0	-	-	-	-808.66
GM 21JUN19 43.0 P	GM 190621P00043000	0	-	-	-	-702.66
GM 03MAY19 40.0 C	GM 190503C00040000	0	-	-	-	-106.00
Total					0.00	-808.66

Trades

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Equity and Index Options										
USD										
GM 03MAY19 40.0 C	2019-05-03, 16:20:00	-20	0.0000	0.0000	0.00	0.00	-1,849.08	-1,849.08	0.00	C;CP;Ep

Trades

Total GM 03MAY19 40.0 C	-20	0.00	0.00	-1,849.08	-1,849.08	0.00
Total		0.00	0.00	-1,849.08	-1,849.08	0.00

Interest

Date	Description	Amount
USD		
2019-05-03	USD Debit Interest for Apr-2019	-49,186.39
2019-05-03	USD Net Short Stock Interest for Apr-2019	-83.39
2019-05-03	USD Debit Interest for Apr-2019 to U1362028	17,967.44
2019-05-03	USD Credit Interest for Apr-2019 to U1364170	-473.70
2019-05-03	USD Debit Interest for Apr-2019 to U1364167	10,340.55
2019-05-03	USD Credit Interest for Apr-2019 to U1364166	-1,077.09
2019-05-03	USD Net Short Stock Interest for Apr-2019 to U1364166	83.39
2019-05-03	USD Credit Interest for Apr-2019 to U1364162	-1,054.45
2019-05-03	USD Debit Interest for Apr-2019 to U1364165	15.09
2019-05-03	USD Debit Interest for Apr-2019 to U1364161	26,771.08
Total		3,302.53

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	3,192.22
Interest Accrued	3,394.56
Accrual Reversal	-3,302.53
FX Translation	0.00
Ending Accrual Balance	3,284.25

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Starting Dividend Accruals in USD									0.00	
Stocks										
USD										
JNJ	2019-05-23	2019-05-24	2019-06-11	9,000	0.00	0.00	0.95	-8,550.00	-8,550.00	Po;AI
JNJ	2019-05-23	2019-05-24	2019-06-11	71,928	0.00	0.00	0.95	68,331.60	68,331.60	Po
JNJ	2019-05-23	2019-05-24	2019-06-11	62,928	0.00	0.00	0.95	-59,781.60	-59,781.60	Po;AI
Total					0.00	0.00		0.00	0.00	
Ending Dividend Accruals in USD									0.00	

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	7,566.49	-7,566.49	0.00	0.00
U1364165	-	-	0.00	0.00	0.00	0.00
U1364167	-	-	744.75	-744.75	0.00	0.00
Total			8,311.24	-8,311.24	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type			Code	
Stocks										
JNJ	JOHNSON & JOHNSON	8719	478160104	NYSE	1	COMMON				
Symbol	Description	Conid	Listing Exch	Multiplier	Expiry	Delivery Month	Type	Strike	Code	
Equity and Index Options										
GM 190503C00040000	GM 03MAY19 40.0 C	358255844			100	2019-05-03	2019-05	C	40	CP
GM 190621P00043000	GM 21JUN19 43.0 P	340130521			100	2019-06-21	2019-06	P	43	CP

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

1. Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
2. Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
3. Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
4. Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
5. If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
6. In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
7. Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
8. Trade execution times are displayed in Eastern Time.
9. Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
10. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
11. Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
12. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
13. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
14. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**
Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)
Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)
Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.

Notes/Legal Notes

10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

June 1, 2019 - June 30, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	May 31, 2019		June 30, 2019			Change	Change in NAV		Total
	Total	Long	Short	Total	Starting Value		Mark-to-Market		
Cash	95,517.73	141,911.38	0.00	141,911.38	46,393.65	108,822.29	-5,550.30	108,822.29	
Stock	0.00	0.00	-38,530.00	-38,530.00	-38,530.00	3,394.66	-308.97	3,394.66	
Options	10,020.30	0.00	0.00	0.00	-10,020.30	-1.01	-308.97	-1.01	
Interest Accruals	3,284.25	40,775.15	-37,799.87	2,975.28	-308.97	106,356.67			
Dividend Accruals	0.00	0.00	0.00	0.00	0.00				
Soft Dollars	0.00	0.00	0.00	0.00	0.00				
Total	108,822.29	182,686.54	-76,329.87	106,356.67	-2,465.62				
Time Weighted Rate of Return					-2.27%				

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Stocks										
GM	0	-1,000	--	38.5300	-1,610.00	6,080.00	-1.01	0.00	4,468.99	
Total					-1,610.00	6,080.00	-1.01	0.00	4,468.99	
Equity and Index Options										
GM 21JUN19 43.0 P	10	0	10.0203	--	-3,940.30	-6,080.00	0.00	0.00	-10,020.30	
Total					-3,940.30	-6,080.00	0.00	0.00	-10,020.30	
Forex										
USD	95,517.73	141,911.38	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L			Total	Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other		
Total (All Assets)					-5,550.30	0.00	-1.01	0.00	-5,551.31	
Broker Interest Paid and Received									3,394.66	
Total P/L for Statement Period									-2,156.65	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code	
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total			
Stocks														
GM	0.00	0.00	0.00	0.00	0.00	0.00	805.95	0.00	0.00	0.00	805.95	805.95		
Total	0.00	0.00	0.00	0.00	0.00	0.00	805.95	0.00	0.00	0.00	805.95	805.95		
Total (All Assets)	0.00	0.00	0.00	0.00	0.00	0.00	805.95	0.00	0.00	0.00	805.95	805.95		

Notes

1. This statement uses default P/L (no wash sales) for realized and unrealized P/L calculations.

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	95,517.73	95,517.73	0.00
Commissions	-1.01	-1.01	0.00
Dividends	-230.85	-230.85	0.00
Broker Interest Paid and Received	3,394.66	3,394.66	0.00
Net Trades (Sales)	43,000.00	43,000.00	0.00
Payment In Lieu of Dividends	230.85	230.85	0.00
Ending Cash	141,911.38	141,911.38	0.00
Ending Settled Cash	141,911.38	141,911.38	0.00

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
USD								
GM	-1,000	1	39.3359529	-39,335.95	38.5300	-38,530.00	805.95	
Total				-39,335.95		-38,530.00	805.95	

Trades

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Stocks										
USD										

Trades										
Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
GM	2019-06-21, 16:20:00	-1,000	43.0000	36.9200	43,000.00	-1.01	-42,998.99	0.00	6,080.00	Ex;O
Total GM		-1,000			43,000.00	-1.01	-42,998.99	0.00	6,080.00	
Total					43,000.00	-1.01	-42,998.99	0.00	6,080.00	
Equity and Index Options										
USD										
GM 21JUN19 43.0 P	2019-06-21, 16:20:00	-10	0.0000	6.0800	0.00	0.00	-3,663.04	0.00	-6,080.00	C;Ex
Total GM 21JUN19 43.0 P		-10			0.00	0.00	-3,663.04	0.00	-6,080.00	
Total					0.00	0.00	-3,663.04	0.00	-6,080.00	

Interest		
Date	Description	Amount
USD		
2019-06-05	USD Debit Interest for May-2019	-50,273.97
2019-06-05	USD Net Short Stock Interest for May-2019	-86.42
2019-06-05	USD Debit Interest for May-2019 to U1362028	18,403.60
2019-06-05	USD Credit Interest for May-2019 to U1364170	-482.26
2019-06-05	USD Debit Interest for May-2019 to U1364167	10,720.54
2019-06-05	USD Credit Interest for May-2019 to U1364166	-1,096.25
2019-06-05	USD Net Short Stock Interest for May-2019 to U1364166	86.42
2019-06-05	USD Credit Interest for May-2019 to U1364162	-1,073.36
2019-06-05	USD Debit Interest for May-2019 to U1364165	15.51
2019-06-05	USD Debit Interest for May-2019 to U1364161	27,180.85
Total		3,394.66

Interest Accruals	
Base Currency Summary	
Starting Accrual Balance	3,284.25
Interest Accrued	3,085.69
Accrual Reversal	-3,394.66
FX Translation	0.00
Ending Accrual Balance	2,975.28

Dividends		
Date	Description	Amount
USD		
2019-06-11	JNJ(US4781601046) Cash Dividend 0.95000000 USD per Share (Ordinary Dividend)	68,100.75
2019-06-11	JNJ(US4781601046) Payment in Lieu of Dividend (Ordinary Dividend)	230.85
2019-06-11	JNJ(US4781601046) Cash Dividend 0.95000000 USD per Share (Ordinary Dividend) to U1364161	-8,550.00
2019-06-11	JNJ(US4781601046) Cash Dividend 0.95000000 USD per Share (Ordinary Dividend) to U1364167	-59,781.60
Total		0.00

Change in Dividend Accruals										
Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Starting Dividend Accruals in USD									0.00	
Stocks										
USD										
JNJ	2019-05-23	2019-05-24	2019-06-11	62,928	0.00	0.00	0.95	59,781.60	59,781.60	Re;AI
JNJ	2019-05-23	2019-05-24	2019-06-11	9,000	0.00	0.00	0.95	8,550.00	8,550.00	Re;AI
JNJ	2019-06-11	2019-05-24	2019-06-11	71,928	0.00	0.00	0.95	-68,331.60	-68,331.60	Re
Total					0.00	0.00		0.00	0.00	

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Ending Dividend Accruals in USD									0.00	

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	7,010.08	-7,010.08	0.00	0.00
U1364167	-	-	403.97	-403.97	0.00	0.00
Total			7,414.05	-7,414.05	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type			Code
Stocks									
GM	GENERAL MOTORS CO	80986742	37045V100	NYSE	1	COMMON			
JNJ	JOHNSON & JOHNSON	8719	478160104	NYSE	1	COMMON			
Symbol	Description	Conid	Listing Exch	Multiplier	Expiry	Delivery Month	Type	Strike	Code
Equity and Index Options									
GM 190621P00043000	GM 21JUN19 43.0 P	340130521		100	2019-06-21	2019-06	P	43	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
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ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor

Codes			
Code	Meaning	Code (Cont.)	Meaning (Cont.)
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
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HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
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IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

Notes/Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**
Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)
Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)
Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

July 1, 2019 - July 31, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	June 30, 2019	July 31, 2019			Change	Change in NAV		
	Total	Long	Short	Total			Total	
Cash	141,911.38	145,217.05	0.00	145,217.05	3,305.67	Starting Value	106,356.67	
Stock	-38,530.00	0.00	-40,340.00	-40,340.00	-1,810.00	Mark-to-Market	-1,810.00	
Interest Accruals	2,975.28	37,734.73	-34,269.01	3,465.72	490.44	Interest	3,305.67	
Soft Dollars	0.00	0.00	0.00	0.00	0.00	Change in Interest Accruals	490.44	
Total	106,356.67	182,951.79	-74,609.01	108,342.78	1,986.11	Ending Value	108,342.78	
Time Weighted Rate of Return						1.87%		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Stocks										
GM	-1,000	-1,000	38.5300	40.3400	-1,810.00	0.00	0.00	0.00	-1,810.00	
Total					-1,810.00	0.00	0.00	0.00	-1,810.00	
Forex										
USD	141,911.38	145,217.05	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					-1,810.00	0.00	0.00	0.00	-1,810.00	
Broker Interest Paid and Received										3,305.67
Total P/L for Statement Period										1,495.67

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Stocks													
GM	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,004.05	0.00	0.00	-1,004.05	-1,004.05	
Total	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,004.05	0.00	0.00	-1,004.05	-1,004.05	
Total (All Assets)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,004.05	0.00	0.00	-1,004.05	-1,004.05	

Notes

1. This statement uses default P/L (no wash sales) for realized and unrealized P/L calculations.

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	141,911.38	141,911.38	0.00
Broker Interest Paid and Received	3,305.67	3,305.67	0.00
Ending Cash	145,217.05	145,217.05	0.00
Ending Settled Cash	145,217.05	145,217.05	0.00

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
USD								
GM	-1,000	1	39.3359529	-39,335.95	40.3400	-40,340.00	-1,004.05	
Total				-39,335.95		-40,340.00	-1,004.05	

Interest

Date	Description	Amount
USD		
2019-07-03	USD Debit Interest for Jun-2019	-39,094.33
2019-07-03	USD Net Short Stock Interest for Jun-2019	-81.37
2019-07-03	USD Debit Interest for Jun-2019 to U1362028	17,764.63
2019-07-03	USD Credit Interest for Jun-2019 to U1364170	-464.09
2019-07-03	USD Debit Interest for Jun-2019 to U1364167	10,351.87
2019-07-03	USD Credit Interest for Jun-2019 to U1364166	-1,055.29
2019-07-03	USD Net Short Stock Interest for Jun-2019 to U1364166	79.58
2019-07-03	USD Credit Interest for Jun-2019 to U1364162	-1,032.97
2019-07-03	USD Debit Interest for Jun-2019 to U1364165	15.00
2019-07-03	USD Credit Interest for Jun-2019 to U1364161	-78.74
2019-07-03	USD Debit Interest for Jun-2019 to U1364161	16,901.38
Total		3,305.67

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	2,975.28
Interest Accrued	3,796.11
Accrual Reversal	-3,305.67
FX Translation	0.00
Ending Accrual Balance	3,465.72

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	7,367.34	-7,367.34	0.00	0.00
U1364167	-	-	759.07	-759.07	0.00	0.00
Total			8,126.41	-8,126.41	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
GM	GENERAL MOTORS CO	80986742	37045V100	NYSE	1	COMMON	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
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- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
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Notes/Legal Notes

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

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Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

August 1, 2019 - August 31, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	July 31, 2019		August 31, 2019			Change	Change in NAV		Total
	Total	Long	Short	Total	Starting Value		Mark-to-Market		
Cash	145,217.05	148,787.72	0.00	148,787.72	3,570.67	108,342.78	3,250.00	3,250.00	
Stock	-40,340.00	0.00	-37,090.00	-37,090.00	3,250.00	3,570.67	-283.95	3,250.00	
Interest Accruals	3,465.72	47,252.12	-44,070.35	3,181.77	-283.95	0.00	-283.95	3,570.67	
Dividend Accruals	0.00	79,731.60	-79,731.60	0.00	0.00	0.00	0.00	0.00	
Soft Dollars	0.00	0.00	0.00	0.00	0.00	114,879.50	0.00	114,879.50	
Total	108,342.78	275,771.45	-160,891.95	114,879.50	6,536.72				
Time Weighted Rate of Return						6.03%			

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Stocks										
GM	-1,000	-1,000	40.3400	37.0900	3,250.00	0.00	0.00	0.00	3,250.00	
Total					3,250.00	0.00	0.00	0.00	3,250.00	
Forex										
USD	145,217.05	148,787.72	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					3,250.00	0.00	0.00	0.00	3,250.00	
Broker Interest Paid and Received									3,570.67	
Total P/L for Statement Period									6,820.67	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
Stocks													
GM	0.00	0.00	0.00	0.00	0.00	0.00	2,245.95	0.00	0.00	0.00	2,245.95	2,245.95	
Total	0.00	0.00	0.00	0.00	0.00	0.00	2,245.95	0.00	0.00	0.00	2,245.95	2,245.95	
Total (All Assets)	0.00	0.00	0.00	0.00	0.00	0.00	2,245.95	0.00	0.00	0.00	2,245.95	2,245.95	

Notes

1. This statement uses default P/L (no wash sales) for realized and unrealized P/L calculations.

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	145,217.05	145,217.05	0.00
Broker Interest Paid and Received	3,570.67	3,570.67	0.00
Ending Cash	148,787.72	148,787.72	0.00
Ending Settled Cash	148,787.72	148,787.72	0.00

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
USD								
GM	-1,000	1	39.3359529	-39,335.95	37.0900	-37,090.00	2,245.95	
Total				-39,335.95		-37,090.00	2,245.95	

Interest

Date	Description	Amount
USD		
2019-08-05	USD Debit Interest for Jul-2019	-31,539.41
2019-08-05	USD Net Short Stock Interest for Jul-2019	-101.64
2019-08-05	USD Debit Interest for Jul-2019 to U1362028	18,564.96
2019-08-05	USD Credit Interest for Jul-2019 to U1364170	-486.78
2019-08-05	USD Debit Interest for Jul-2019 to U1364167	10,918.26
2019-08-05	USD Credit Interest for Jul-2019 to U1364166	-1,107.11
2019-08-05	USD Net Short Stock Interest for Jul-2019 to U1364166	84.90
2019-08-05	USD Credit Interest for Jul-2019 to U1364162	-1,083.56
2019-08-05	USD Debit Interest for Jul-2019 to U1364165	15.64
2019-08-05	USD Credit Interest for Jul-2019 to U1364161	-981.73
2019-08-05	USD Debit Interest for Jul-2019 to U1364161	9,287.14
Total		3,570.67

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	3,465.72
Interest Accrued	3,286.72
Accrual Reversal	-3,570.67
FX Translation	0.00
Ending Accrual Balance	3,181.77

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Starting Dividend Accruals in USD									0.00	
Stocks										
USD										
JNJ	2019-08-23	2019-08-26	2019-09-10	21,000	0.00	0.00	0.95	-19,950.00	-19,950.00	Po;AI
JNJ	2019-08-23	2019-08-26	2019-09-10	62,928	0.00	0.00	0.95	-59,781.60	-59,781.60	Po;AI
JNJ	2019-08-23	2019-08-26	2019-09-10	83,928	0.00	0.00	0.95	79,731.60	79,731.60	Po
Total					0.00	0.00		0.00	0.00	
Ending Dividend Accruals in USD									0.00	

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	10,030.05	-10,030.05	0.00	0.00
U1364167	-	-	747.60	-747.60	0.00	0.00
Total			10,777.65	-10,777.65	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
GM	GENERAL MOTORS CO	80986742	37045V100	NYSE	1	COMMON	
JNJ	JOHNSON & JOHNSON	8719	478160104	NYSE	1	COMMON	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.

Notes/Legal Notes

7. Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
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10. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
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13. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
14. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

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Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)
Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)
Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOCH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member

Notes/Legal Notes



Activity Statement

September 1, 2019 - September 30, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	August 31, 2019		September 30, 2019			Change	Change in NAV		Total
	Total		Long	Short	Total				
Cash	148,787.72		61,809.70	0.00	61,809.70	-86,978.02	Starting Value	114,879.50	
Stock	-37,090.00		0.00	0.00	0.00	37,090.00	Mark-to-Market	-2,170.00	
Interest Accruals	3,181.77		63,921.03	-60,758.23	3,162.80	-18.97	Deposits & Withdrawals	-90,000.00	
Dividend Accruals	0.00		0.00	0.00	0.00	0.00	Position Transfers	39,260.00	
Soft Dollars	0.00		0.00	0.00	0.00	0.00	Dividends	-380.00	
Total	114,879.50		125,730.74	-60,758.23	64,972.51	-49,906.99	Interest	3,401.98	
Time Weighted Rate of Return						1.96%	Change in Interest Accruals	-18.97	
							Ending Value	64,972.51	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Stocks										
GM	-1,000	0	37.0900	--	-2,170.00	0.00	0.00	-380.00	-2,550.00	
Total					-2,170.00	0.00	0.00	-380.00	-2,550.00	
Forex										
USD	148,787.72	61,809.70	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					-2,170.00	0.00	0.00	-380.00	-2,550.00	
Broker Interest Paid and Received										3,401.98
Total P/L for Statement Period										851.98

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	148,787.72	148,787.72	0.00
Account Transfers	-90,000.00	-90,000.00	0.00
Broker Interest Paid and Received	3,401.98	3,401.98	0.00
Payment In Lieu of Dividends	-380.00	-380.00	0.00
Ending Cash	61,809.70	61,809.70	0.00
Ending Settled Cash	61,809.70	61,809.70	0.00

Transfers

Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code
Stocks											
USD											
GM	2019-09-13	Internal	Out	--	U1364165	1,000	--	39,260.00	0.00	0.00	
Total								39,260.00	0.00	0.00	

Interest		
Date	Description	Amount
USD		
2019-09-05	USD Debit Interest for Aug-2019	-45,832.62
2019-09-05	USD Net Short Stock Interest for Aug-2019	-90.75
2019-09-05	USD Debit Interest for Aug-2019 to U1362028	16,799.63
2019-09-05	USD Credit Interest for Aug-2019 to U1364170	-416.49
2019-09-05	USD Debit Interest for Aug-2019 to U1364167	10,045.46
2019-09-05	USD Credit Interest for Aug-2019 to U1364166	-946.84
2019-09-05	USD Net Short Stock Interest for Aug-2019 to U1364166	81.66
2019-09-05	USD Credit Interest for Aug-2019 to U1364162	-927.19
2019-09-05	USD Debit Interest for Aug-2019 to U1364165	14.57
2019-09-05	USD Debit Interest for Aug-2019 to U1364161	24,674.55
Total		3,401.98

Interest Accruals	
Base Currency Summary	
Starting Accrual Balance	3,181.77
Interest Accrued	3,383.01
Accrual Reversal	-3,401.98
FX Translation	0.00
Ending Accrual Balance	3,162.80

Dividends		
Date	Description	Amount
USD		
2019-09-10	JNJ(US4781601046) Cash Dividend USD 0.95 per Share (Ordinary Dividend)	79,731.60
2019-09-10	JNJ(US4781601046) Cash Dividend USD 0.95 per Share (Ordinary Dividend) to U1364161	-19,950.00
2019-09-10	JNJ(US4781601046) Cash Dividend USD 0.95 per Share (Ordinary Dividend) to U1364167	-59,781.60
2019-09-20	GM(US37045V1008) Payment in Lieu of Dividend (Ordinary Dividend)	-380.00
Total		-380.00

Deposits & Withdrawals		
Date	Description	Amount
USD		
2019-09-13	Internal Transfer Out To Account U1364165	-90,000.00
Total		-90,000.00

Change in Dividend Accruals										
Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Starting Dividend Accruals in USD									0.00	
Stocks										
USD										
GM	2019-09-04	2019-09-05	2019-09-20	-1,000	0.00	0.00	0.38	-380.00	-380.00	Po
GM	2019-09-20	2019-09-05	2019-09-20	-1,000	0.00	0.00	0.38	380.00	380.00	Re
JNJ	2019-08-23	2019-08-26	2019-09-10	21,000	0.00	0.00	0.95	19,950.00	19,950.00	Re;AI
JNJ	2019-08-23	2019-08-26	2019-09-10	62,928	0.00	0.00	0.95	59,781.60	59,781.60	Re;AI
JNJ	2019-09-10	2019-08-26	2019-09-10	83,928	0.00	0.00	0.95	-79,731.60	-79,731.60	Re
Total					0.00	0.00		0.00	0.00	
Ending Dividend Accruals in USD									0.00	

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	10,439.79	-10,439.79	0.00	0.00
U1364162	-	-	58.70	-58.70	0.00	0.00
U1364165	-	-	98.91	-98.91	0.00	0.00
U1364166	-	-	13.78	-13.78	0.00	0.00
U1364167	-	-	779.50	-779.50	0.00	0.00
U1364170	-	-	0.90	-0.90	0.00	0.00
Total			11,391.58	-11,391.58	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
GM	GENERAL MOTORS CO	80986742	37045V100	NYSE	1	COMMON	
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HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
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I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
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L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

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Legal Notes

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Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

Notes/Legal Notes

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

October 1, 2019 - October 31, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	September 30, 2019		October 31, 2019			Change	Change in NAV	
	Total	Long	Short	Total	Total			
Cash	61,809.70	65,082.18	0.00	65,082.18	3,272.48	Starting Value	64,972.51	
Interest Accruals	3,162.80	70,945.09	-67,718.02	3,227.07	64.27	Interest	3,272.48	
Soft Dollars	0.00	0.00	0.00	0.00	0.00	Change in Interest Accruals	64.27	
Total	64,972.51	136,027.28	-67,718.02	68,309.26	3,336.75	Ending Value	68,309.26	
Time Weighted Rate of Return						5.14%		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	61,809.70	65,082.18	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									3,272.48	
Total P/L for Statement Period									3,272.48	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	61,809.70	61,809.70	0.00
Broker Interest Paid and Received	3,272.48	3,272.48	0.00
Ending Cash	65,082.18	65,082.18	0.00
Ending Settled Cash	65,082.18	65,082.18	0.00

Interest

Date	Description	Amount
USD		
2019-10-03	USD Debit Interest for Sep-2019	-60,616.49
2019-10-03	USD Net Short Stock Interest for Sep-2019	-82.35
2019-10-03	USD Debit Interest for Sep-2019 to U1362028	15,768.15
2019-10-03	USD Credit Interest for Sep-2019 to U1364170	-385.98
2019-10-03	USD Debit Interest for Sep-2019 to U1364167	9,416.61
2019-10-03	USD Credit Interest for Sep-2019 to U1364166	-870.39
2019-10-03	USD Net Short Stock Interest for Sep-2019 to U1364166	77.13
2019-10-03	USD Credit Interest for Sep-2019 to U1364162	-854.70
2019-10-03	USD Credit Interest for Sep-2019 to U1364165	-14.62
2019-10-03	USD Debit Interest for Sep-2019 to U1364165	5.64
2019-10-03	USD Net Short Stock Interest for Sep-2019 to U1364165	0.79
2019-10-03	USD Debit Interest for Sep-2019 to U1364161	40,828.69
Total		3,272.48

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	3,162.80
Interest Accrued	3,336.75
Accrual Reversal	-3,272.48
FX Translation	0.00
Ending Accrual Balance	3,227.07

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	9,809.06	-9,809.06	0.00	0.00
U1364162	-	-	76.48	-76.48	0.00	0.00
U1364165	-	-	14.07	-14.07	0.00	0.00
U1364166	-	-	6.10	-6.10	0.00	0.00
U1364167	-	-	806.04	-806.04	0.00	0.00
U1364170	-	-	32.29	-32.29	0.00	0.00
Total			10,744.05	-10,744.05	0.00	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.

Notes/Legal Notes

10. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
11. Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
12. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
13. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
14. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**
Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)
Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)
Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
 2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
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 4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
 5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
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 7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
 8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
 9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
 10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
 11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
 12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

November 1, 2019 - November 30, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	October 31, 2019	November 30, 2019		Total	Change	Change in NAV		
	Total	Long	Short			Starting Value	Total	
Cash	65,082.18	68,414.51	0.00	68,414.51	3,332.33	Starting Value	68,309.26	
Interest Accruals	3,227.07	60,806.88	-57,871.05	2,935.83	-291.24	Interest	3,332.33	
Dividend Accruals	0.00	64,531.60	-64,531.60	0.00	0.00	Change in Interest Accruals	-291.24	
Soft Dollars	0.00	0.00	0.00	0.00	0.00	Ending Value	71,350.35	
Total	68,309.26	193,753.00	-122,402.65	71,350.35	3,041.09			
Time Weighted Rate of Return						4.45%		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	65,082.18	68,414.51	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									3,332.33	
Total P/L for Statement Period									3,332.33	

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	65,082.18	65,082.18	0.00
Broker Interest Paid and Received	3,332.33	3,332.33	0.00
Ending Cash	68,414.51	68,414.51	0.00
Ending Settled Cash	68,414.51	68,414.51	0.00

Interest

Date	Description	Amount
USD		
2019-11-05	USD Debit Interest for Oct-2019	-67,891.05
2019-11-05	USD Net Short Stock Interest for Oct-2019	-73.32
2019-11-05	USD Debit Interest for Oct-2019 to U1362028	14,931.82
2019-11-05	USD Credit Interest for Oct-2019 to U1364170	-347.47
2019-11-05	USD Debit Interest for Oct-2019 to U1364167	9,003.13
2019-11-05	USD Credit Interest for Oct-2019 to U1364166	-773.13
2019-11-05	USD Net Short Stock Interest for Oct-2019 to U1364166	73.32
2019-11-05	USD Credit Interest for Oct-2019 to U1364162	-721.99
2019-11-05	USD Credit Interest for Oct-2019 to U1364165	-18.09
2019-11-05	USD Debit Interest for Oct-2019 to U1364161	49,149.11
Total		3,332.33

Interest Accruals

Base Currency Summary		
Starting Accrual Balance		3,227.07
Interest Accrued		3,041.09
Accrual Reversal		-3,332.33
Ending Accrual Balance		2,935.83

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Starting Dividend Accruals in USD									0.00	
Stocks										
USD										
JNJ	2019-11-22	2019-11-25	2019-12-10	67,928	0.00	0.00	0.95	64,531.60	64,531.60	Po
JNJ	2019-11-22	2019-11-25	2019-12-10	5,000	0.00	0.00	0.95	-4,750.00	-4,750.00	Po;AI
JNJ	2019-11-22	2019-11-25	2019-12-10	62,928	0.00	0.00	0.95	-59,781.60	-59,781.60	Po;AI
Total					0.00	0.00		0.00	0.00	
Ending Dividend Accruals in USD									0.00	

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	4,769.07	-4,769.07	0.00	0.00
U1364162	-	-	172.26	-172.26	0.00	0.00
U1364165	-	-	14.89	-14.89	0.00	0.00
U1364166	-	-	61.06	-61.06	0.00	0.00

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
U1364167	-	-	806.04	-806.04	0.00	0.00
U1364170	-	-	83.14	-83.14	0.00	0.00
Total			5,906.45	-5,906.45	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
JNJ	JOHNSON & JOHNSON	8719	478160104	NYSE	1	COMMON	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
- Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
- All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.

Notes/Legal Notes

6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

December 1, 2019 - December 31, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	November 30, 2019		December 31, 2019			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	68,414.51	71,555.06	0.00	71,555.06	3,140.55	Starting Value	71,350.35	
Interest Accruals	2,935.83	49,436.85	-46,413.35	3,023.50	87.67	Interest	3,140.55	
Dividend Accruals	0.00	0.00	0.00	0.00	0.00	Change in Interest Accruals	87.67	
Soft Dollars	0.00	0.00	0.00	0.00	0.00	Ending Value	74,578.57	
Total	71,350.35	120,991.92	-46,413.35	74,578.57	3,228.22			
Time Weighted Rate of Return						4.52%		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	68,414.51	71,555.06	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									3,140.55	
Total P/L for Statement Period									3,140.55	

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	68,414.51	68,414.51	0.00
Dividends	-4,671.15	-4,671.15	0.00
Broker Interest Paid and Received	3,140.55	3,140.55	0.00
Payment In Lieu of Dividends	4,671.15	4,671.15	0.00
Ending Cash	71,555.06	71,555.06	0.00
Ending Settled Cash	71,555.06	71,555.06	0.00

Interest

Date	Description	Amount
USD		
2019-12-04	USD Debit Interest for Nov-2019	-59,857.40
2019-12-04	USD Net Short Stock Interest for Nov-2019	-52.57
2019-12-04	USD Debit Interest for Nov-2019 to U1362028	12,720.95
2019-12-04	USD Credit Interest for Nov-2019 to U1364170	-266.13
2019-12-04	USD Debit Interest for Nov-2019 to U1364167	7,830.48
2019-12-04	USD Credit Interest for Nov-2019 to U1364166	-581.22
2019-12-04	USD Net Short Stock Interest for Nov-2019 to U1364166	52.57
2019-12-04	USD Credit Interest for Nov-2019 to U1364162	-508.42
2019-12-04	USD Credit Interest for Nov-2019 to U1364165	-7.35
2019-12-04	USD Debit Interest for Nov-2019 to U1364161	43,809.64
Total		3,140.55

Interest Accruals

Base Currency Summary		
Starting Accrual Balance		2,935.83
Interest Accrued		3,228.22
Accrual Reversal		-3,140.55
FX Translation		0.00
Ending Accrual Balance		3,023.50

Dividends

Date	Description	Amount
USD		
2019-12-10	JNJ(US4781601046) Payment in Lieu of Dividend (Ordinary Dividend)	4,671.15
2019-12-10	JNJ(US4781601046) Cash Dividend USD 0.95 per Share (Ordinary Dividend)	59,860.45
2019-12-10	JNJ(US4781601046) Cash Dividend USD 0.95 per Share (Ordinary Dividend) to U1364161	-4,750.00
2019-12-10	JNJ(US4781601046) Cash Dividend USD 0.95 per Share (Ordinary Dividend) to U1364167	-59,781.60
Total		0.00

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Starting Dividend Accruals in USD									0.00	
Stocks										
USD										
JNJ	2019-11-22	2019-11-25	2019-12-10	5,000	0.00	0.00	0.95	4,750.00	4,750.00	Re;AI
JNJ	2019-11-22	2019-11-25	2019-12-10	62,928	0.00	0.00	0.95	59,781.60	59,781.60	Re;AI
JNJ	2019-12-10	2019-11-25	2019-12-10	67,928	0.00	0.00	0.95	-64,531.60	-64,531.60	Re
Total					0.00	0.00		0.00	0.00	
Ending Dividend Accruals in USD									0.00	

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	7,246.25	-7,246.25	0.00	0.00
U1364162	-	-	91.54	-91.54	0.00	0.00
U1364165	-	-	15.24	-15.24	0.00	0.00
U1364166	-	-	127.07	-127.07	0.00	0.00
U1364167	-	-	528.13	-528.13	0.00	0.00
U1364170	-	-	23.98	-23.98	0.00	0.00
Total			8,032.21	-8,032.21	0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
JNJ	JOHNSON & JOHNSON	8719	478160104	NYSE	1	COMMON	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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Notes/Legal Notes

3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
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7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
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9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
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12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

January 1, 2020 - January 31, 2020

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	December 31, 2019		January 31, 2020			Change	Change in NAV	
	Total	Long	Short	Total	Total			
Cash	71,555.06	74,679.20	0.00	74,679.20	3,124.14	Starting Value	74,578.57	
Interest Accruals	3,023.50	40,362.91	-37,389.70	2,973.21	-50.29	Interest	3,124.14	
Soft Dollars	0.00	0.00	0.00	0.00	0.00	Change in Interest Accruals	-50.29	
Total	74,578.57	115,042.12	-37,389.70	77,652.42	3,073.85	Ending Value	77,652.42	
Time Weighted Rate of Return						4.12%		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	71,555.06	74,679.20	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									3,124.14	
Total P/L for Statement Period									3,124.14	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	71,555.06	71,555.06	0.00
Broker Interest Paid and Received	3,124.14	3,124.14	0.00
Ending Cash	74,679.20	74,679.20	0.00
Ending Settled Cash	74,679.20	74,679.20	0.00

Interest

Date	Description	Amount
USD		
2020-01-06	USD Debit Interest for Dec-2019	-46,314.88
2020-01-06	USD Net Short Stock Interest for Dec-2019	-55.23
2020-01-06	USD Debit Interest for Dec-2019 to U1362028	13,150.87
2020-01-06	USD Credit Interest for Dec-2019 to U1364170	-275.00
2020-01-06	USD Debit Interest for Dec-2019 to U1364167	8,140.34
2020-01-06	USD Credit Interest for Dec-2019 to U1364166	-561.36
2020-01-06	USD Net Short Stock Interest for Dec-2019 to U1364166	55.17
2020-01-06	USD Credit Interest for Dec-2019 to U1364162	-387.79
2020-01-06	USD Credit Interest for Dec-2019 to U1364165	-8.04
2020-01-06	USD Debit Interest for Dec-2019 to U1364161	29,380.00
2020-01-06	USD Net Short Stock Interest for Dec-2019 to U1364161	0.06
Total		3,124.14

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	3,023.50
Interest Accrued	3,073.85
Accrual Reversal	-3,124.14
FX Translation	0.00
Ending Accrual Balance	2,973.21

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	7,414.49	-7,414.49	0.00	0.00
U1364162	-	-	14.88	-14.88	0.00	0.00
U1364165	-	-	0.00	0.00	0.00	0.00
U1364166	-	-	48.72	-48.72	0.00	0.00
U1364167	-	-	0.00	0.00	0.00	0.00
U1364170	-	-	46.91	-46.91	0.00	0.00
Total			7,524.99	-7,524.99	0.00	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.

Notes/Legal Notes

10. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
11. Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
12. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
13. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
14. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**
Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)
Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)
Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
 2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
 3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
 4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
 5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
 6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
 7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
 8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
 9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
 10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
 11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
 12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

February 1, 2020 - February 29, 2020

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	January 31, 2020		February 29, 2020			Change	Change in NAV	
	Total	Long	Short	Total	Total			
Cash	74,679.20	77,749.55	0.00	77,749.55	3,070.35	Starting Value	77,652.42	
Interest Accruals	2,973.21	27,675.18	-24,986.97	2,688.21	-285.00	Interest	3,070.35	
Soft Dollars	0.00	0.00	0.00	0.00	0.00	Change in Interest Accruals	-285.00	
Total	77,652.42	105,424.74	-24,986.97	80,437.77	2,785.35	Ending Value	80,437.77	
Time Weighted Rate of Return						3.59%		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	74,679.20	77,749.55	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									3,070.35	
Total P/L for Statement Period									3,070.35	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	74,679.20	74,679.20	0.00
Broker Interest Paid and Received	3,070.35	3,070.35	0.00
Ending Cash	77,749.55	77,749.55	0.00
Ending Settled Cash	77,749.55	77,749.55	0.00

Interest

Date	Description	Amount
USD		
2020-02-05	USD Debit Interest for Jan-2020	-37,401.08
2020-02-05	USD Net Short Stock Interest for Jan-2020	-0.79
2020-02-05	USD Debit Interest for Jan-2020 to U1362028	13,168.36
2020-02-05	USD Credit Interest for Jan-2020 to U1364170	-276.39
2020-02-05	USD Debit Interest for Jan-2020 to U1364167	8,189.34
2020-02-05	USD Credit Interest for Jan-2020 to U1364166	-516.41
2020-02-05	USD Credit Interest for Jan-2020 to U1364162	-349.29
2020-02-05	USD Credit Interest for Jan-2020 to U1364165	-8.08
2020-02-05	USD Debit Interest for Jan-2020 to U1364161	20,263.90
2020-02-05	USD Net Short Stock Interest for Jan-2020 to U1364161	0.79
Total		3,070.35

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	2,973.21
Interest Accrued	2,785.35
Accrual Reversal	-3,070.35
FX Translation	0.00
Ending Accrual Balance	2,688.21

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	869.59	-869.59	0.00	0.00
U1364162	-	-	0.00	0.00	0.00	0.00
U1364165	-	-	0.00	0.00	0.00	0.00
U1364166	-	-	0.47	-0.47	0.00	0.00
U1364170	-	-	7.41	-7.41	0.00	0.00
Total			877.47	-877.47	0.00	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election

Codes			
Code	Meaning	Code (Cont.)	Meaning (Cont.)
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
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FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
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G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
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INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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Notes/Legal Notes

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Fixed Income Notes

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Legal Notes

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Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
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6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
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12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

March 1, 2020 - March 31, 2020

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	February 29, 2020		March 31, 2020			Change	Change in NAV	
	Total	Long	Short	Total	Total			
Cash	77,749.55	80,673.50	0.00	80,673.50	2,923.95	Starting Value	80,437.77	
Interest Accruals	2,688.21	12,556.75	-7,929.27	4,627.48	1,939.27	Interest	2,923.95	
Soft Dollars	0.00	0.00	0.00	0.00	0.00	Change in Interest Accruals	1,939.27	
Total	80,437.77	93,230.26	-7,929.27	85,300.99	4,863.22	Ending Value	85,300.99	
Time Weighted Rate of Return						6.05%		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	77,749.55	80,673.50	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									2,923.95	
Total P/L for Statement Period									2,923.95	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	77,749.55	77,749.55	0.00
Broker Interest Paid and Received	2,923.95	2,923.95	0.00
Ending Cash	80,673.50	80,673.50	0.00
Ending Settled Cash	80,673.50	80,673.50	0.00

Interest

Date	Description	Amount
USD		
2020-03-04	USD Debit Interest for Feb-2020	-24,786.69
2020-03-04	USD Net Short Stock Interest for Feb-2020	-2.20
2020-03-04	USD Debit Interest for Feb-2020 to U1362028	12,539.30
2020-03-04	USD Credit Interest for Feb-2020 to U1362008	-183.32
2020-03-04	USD Credit Interest for Feb-2020 to U1364170	-68.71
2020-03-04	USD Debit Interest for Feb-2020 to U1364167	7,791.97
2020-03-04	USD Credit Interest for Feb-2020 to U1364166	-418.33
2020-03-04	USD Net Short Stock Interest for Feb-2020 to U1364166	2.20
2020-03-04	USD Credit Interest for Feb-2020 to U1364162	-336.48
2020-03-04	USD Credit Interest for Feb-2020 to U1364165	-7.83
2020-03-04	USD Credit Interest for Feb-2020 to U1364161	-349.25
2020-03-04	USD Debit Interest for Feb-2020 to U1364161	8,743.29
Total		2,923.95

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	2,688.21
Interest Accrued	4,863.22
Accrual Reversal	-2,923.95
Ending Accrual Balance	4,627.48

Commissions Charged in Service Accounts

Account	Date/Time	Description	Revenue	Expense	Net	Net In Base
Commissions						
USD						
U1364161	-	-	685.37	-685.37	0.00	0.00
U1364162	-	-	17.01	-17.01	0.00	0.00
U1364166	-	-	23.48	-23.48	0.00	0.00
Total			725.86	-725.86	0.00	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election

Codes			
Code	Meaning	Code (Cont.)	Meaning (Cont.)
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
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ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
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I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.

Notes/Legal Notes

12. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
13. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
14. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

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Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

April 1, 2020 - April 30, 2020

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	March 31, 2020		April 30, 2020			Change	Change in NAV		Total
	Total	Long	Short	Total	Starting Value		Ending Value		
Cash	80,673.50	85,575.12	0.00	85,575.12	4,901.62	85,300.99	93,482.37		
Interest Accruals	4,627.48	7,907.24	0.00	7,907.24	3,279.76	4,901.62	3,279.76		
Soft Dollars	0.00	0.00	0.00	0.00	0.00				
Total	85,300.99	93,482.37	0.00	93,482.37	8,181.38				
Time Weighted Rate of Return						9.59%			

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	80,673.50	85,575.12	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									4,901.62	
Total P/L for Statement Period									4,901.62	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	80,673.50	80,673.50	0.00
Broker Interest Paid and Received	4,901.62	4,901.62	0.00
Ending Cash	85,575.12	85,575.12	0.00
Ending Settled Cash	85,575.12	85,575.12	0.00

Interest

Date	Description	Amount
USD		
2020-04-03	USD Debit Interest for Mar-2020	-6,882.19
2020-04-03	USD Net Short Stock Interest for Mar-2020	-8.91
2020-04-03	USD Debit Interest for Mar-2020 to U1362028	7,887.04
2020-04-03	USD Credit Interest for Mar-2020 to U1362008	-76.56
2020-04-03	USD Credit Interest for Mar-2020 to U1364170	-5.93
2020-04-03	USD Debit Interest for Mar-2020 to U1364167	4,935.52
2020-04-03	USD Credit Interest for Mar-2020 to U1364166	-140.69
2020-04-03	USD Net Short Stock Interest for Mar-2020 to U1364166	8.91
2020-04-03	USD Credit Interest for Mar-2020 to U1364162	-111.33
2020-04-03	USD Credit Interest for Mar-2020 to U1364165	-2.53
2020-04-03	USD Credit Interest for Mar-2020 to U1364161	-701.71
Total		4,901.62

Interest Accruals

Base Currency Summary	
Starting Accrual Balance	4,627.48
Interest Accrued	8,181.38
Accrual Reversal	-4,901.62
Ending Accrual Balance	7,907.24

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
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Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
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Codes

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L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
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Notes/Legal Notes

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Legal Notes

Notes/Legal Notes

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7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

May 1, 2020 - May 31, 2020

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	MASTER
Account	U1358796
Account Type	Institution Master
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	April 30, 2020		May 31, 2020			Change	Change in NAV		Total
	Total	Long	Short	Total	Starting Value		Interest		
Cash	85,575.12	93,755.10	0.00	93,755.10	8,179.98	93,482.37	8,179.98		
Interest Accruals	7,907.24	7,641.04	0.00	7,641.04	-266.20		-266.20		
Soft Dollars	0.00	0.00	0.00	0.00	0.00				
Total	93,482.37	101,396.15	0.00	101,396.15	7,913.78			101,396.15	
Time Weighted Rate of Return						8.47%			

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	85,575.12	93,755.10	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									8,179.98	
Total P/L for Statement Period									8,179.98	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	85,575.12	85,575.12	0.00
Broker Interest Paid and Received	8,179.98	8,179.98	0.00
Ending Cash	93,755.10	93,755.10	0.00
Ending Settled Cash	93,755.10	93,755.10	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	7,907.24
Interest Accrued	7,913.78
Accrual Reversal	-8,179.98
FX Translation	0.00
Ending Accrual Balance	7,641.04

Interest

Date	Description	Amount
USD		
2020-05-05	USD Debit Interest for Apr-2020 to U1362028	5,089.23
2020-05-05	USD Debit Interest for Apr-2020 to U1364167	3,090.75
Total		8,179.98

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
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12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

June 1, 2018 - June 30, 2018

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	May 31, 2018		June 30, 2018			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	0.00	0.00	-7,346,265.03	-7,346,265.03	-7,346,265.03	Starting Value	0.00	
Interest Accruals	0.00	0.00	-1,935.76	-1,935.76	-1,935.76	Deposits & Withdrawals	-7,346,265.03	
Total	0.00	0.00	-7,348,200.79	-7,348,200.79	-7,348,200.79	Change in Interest Accruals	-1,935.76	
Time Weighted Rate of Return						0.03%	Ending Value	-7,348,200.79

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	0.00	-7,346,265.03	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	0.00	0.00	0.00
Account Transfers	-7,346,265.03	-7,346,265.03	0.00

Cash Report

	Total	Securities	Futures
Ending Cash	-7,346,265.03	-7,346,265.03	0.00
Ending Settled Cash	-7,346,265.03	-7,346,265.03	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	0.00
Interest Accrued	-1,935.76
Accrual Reversal	0.00
Ending Accrual Balance	-1,935.76

Deposits & Withdrawals

Date	Description	Amount
USD		
2018-06-25	Internal Transfer Out To Account U1358796 (STL transfer per client request)	-7,346,265.03
Total		-7,346,265.03

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
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- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

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- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
- Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
- All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.

Notes/Legal Notes

6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

July 1, 2018 - July 31, 2018

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	June 30, 2018		July 31, 2018			Change	Change in NAV		Total
		Total	Long	Short	Total				
Cash	-7,346,265.03		0.00	-7,349,166.63	-7,349,166.63	-2,901.60	Starting Value	-7,348,200.79	
Interest Accruals	-1,935.76		0.00	-14,492.28	-14,492.28	-12,556.52	Interest	-2,901.60	
Total	-7,348,200.79		0.00	-7,363,658.91	-7,363,658.91	-15,458.12	Change in Interest Accruals	-12,556.52	
Time Weighted Rate of Return						0.21%	Ending Value	-7,363,658.91	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,346,265.03	-7,349,166.63	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									-2,901.60	
Total P/L for Statement Period									-2,901.60	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,346,265.03	-7,346,265.03	0.00
Broker Interest Paid and Received	-2,901.60	-2,901.60	0.00
Ending Cash	-7,349,166.63	-7,349,166.63	0.00
Ending Settled Cash	-7,349,166.63	-7,349,166.63	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-1,935.76
Interest Accrued	-15,458.12
Accrual Reversal	2,901.60
FX Translation	0.00
Ending Accrual Balance	-14,492.28

Interest

Date	Description	Amount
USD		
2018-07-05	USD Debit Interest for Jun-2018 from U1358796	-2,901.60
Total		-2,901.60

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

August 1, 2018 - August 31, 2018

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	July 31, 2018		August 31, 2018			Change	Change in NAV		
	Total		Long	Short	Total			Total	
Cash	-7,349,166.63		0.00	-7,364,142.01	-7,364,142.01	-14,975.38	Starting Value	-7,363,658.91	
Interest Accruals	-14,492.28		0.00	-14,549.36	-14,549.36	-57.08	Interest	-14,975.38	
Total	-7,363,658.91		0.00	-7,378,691.37	-7,378,691.37	-15,032.46	Change in Interest Accruals	-57.08	
Time Weighted Rate of Return							0.20%	Ending Value	-7,378,691.37

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,349,166.63	-7,364,142.01	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received									-14,975.38	
Total P/L for Statement Period									-14,975.38	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,349,166.63	-7,349,166.63	0.00
Broker Interest Paid and Received	-14,975.38	-14,975.38	0.00
Ending Cash	-7,364,142.01	-7,364,142.01	0.00
Ending Settled Cash	-7,364,142.01	-7,364,142.01	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-14,492.28
Interest Accrued	-15,032.46
Accrual Reversal	14,975.38
FX Translation	0.00
Ending Accrual Balance	-14,549.36

Interest

Date	Description	Amount
USD		
2018-08-03	USD Debit Interest for Jul-2018 from U1358796	-14,975.38
Total		-14,975.38

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
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- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
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- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

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Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

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- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

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5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

September 1, 2018 - September 30, 2018

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	August 31, 2018	September 30, 2018			Change	Change in NAV	
	Total	Long	Short	Total			Total
Cash	-7,364,142.01	0.00	-7,379,175.39	-7,379,175.39	-15,033.38	Starting Value	-7,378,691.37
Interest Accruals	-14,549.36	0.00	-13,197.44	-13,197.44	1,351.92	Interest	-15,033.38
Total	-7,378,691.37	0.00	-7,392,372.83	-7,392,372.83	-13,681.46	Change in Interest Accruals	1,351.92
Time Weighted Rate of Return					0.19%	Ending Value	-7,392,372.83

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,364,142.01	-7,379,175.39	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received										-15,033.38
Total P/L for Statement Period										-15,033.38

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,364,142.01	-7,364,142.01	0.00
Broker Interest Paid and Received	-15,033.38	-15,033.38	0.00
Ending Cash	-7,379,175.39	-7,379,175.39	0.00
Ending Settled Cash	-7,379,175.39	-7,379,175.39	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-14,549.36
Interest Accrued	-13,681.46
Accrual Reversal	15,033.38
FX Translation	0.00
Ending Accrual Balance	-13,197.44

Interest

Date	Description	Amount
USD		
2018-09-06	USD Debit Interest for Aug-2018 from U1358796	-15,033.38
Total		-15,033.38

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

October 1, 2018 - October 31, 2018

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	September 30, 2018		October 31, 2018			Change	Change in NAV	
	Total		Long	Short	Total			Total
Cash	-7,379,175.39		0.00	-7,393,993.70	-7,393,993.70	-14,818.31	Starting Value	-7,392,372.83
Interest Accruals	-13,197.44		0.00	-16,282.44	-16,282.44	-3,085.00	Interest	-14,818.31
Total	-7,392,372.83		0.00	-7,410,276.14	-7,410,276.14	-17,903.31	Change in Interest Accruals	-3,085.00
Time Weighted Rate of Return						0.24%	Ending Value	-7,410,276.14

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,379,175.39	-7,393,993.70	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									-14,818.31	
Total P/L for Statement Period									-14,818.31	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,379,175.39	-7,379,175.39	0.00
Broker Interest Paid and Received	-14,818.31	-14,818.31	0.00
Ending Cash	-7,393,993.70	-7,393,993.70	0.00
Ending Settled Cash	-7,393,993.70	-7,393,993.70	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-13,197.44
Interest Accrued	-17,903.31
Accrual Reversal	14,818.31
Ending Accrual Balance	-16,282.44

Interest

Date	Description	Amount
USD		
2018-10-03	USD Debit Interest for Sep-2018 from U1358796	-14,818.31
Total		-14,818.31

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

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Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)
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Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
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- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
- Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.

Notes/Legal Notes

5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

November 1, 2018 - November 30, 2018

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	October 31, 2018		November 30, 2018			Change	Change in NAV	
	Total		Long	Short	Total			Total
Cash	-7,393,993.70	0.00	-7,410,821.56		-7,410,821.56	-16,827.86	Starting Value	-7,410,276.14
Interest Accruals	-16,282.44	0.00	-15,831.97		-15,831.97	450.47	Interest	-16,827.86
Total	-7,410,276.14	0.00	-7,426,653.53		-7,426,653.53	-16,377.39	Change in Interest Accruals	450.47
Time Weighted Rate of Return						0.22%	Ending Value	-7,426,653.53

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,393,993.70	-7,410,821.56	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received									-16,827.86	
Total P/L for Statement Period									-16,827.86	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,393,993.70	-7,393,993.70	0.00
Broker Interest Paid and Received	-16,827.86	-16,827.86	0.00
Ending Cash	-7,410,821.56	-7,410,821.56	0.00
Ending Settled Cash	-7,410,821.56	-7,410,821.56	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-16,282.44
Interest Accrued	-16,377.39
Accrual Reversal	16,827.86
Ending Accrual Balance	-15,831.97

Interest

Date	Description	Amount
USD		
2018-11-05	USD Debit Interest for Oct-2018 from U1358796	-16,827.86
Total		-16,827.86

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**
Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)
Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)
Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)
- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
- Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.

Notes/Legal Notes

5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

December 1, 2018 - December 31, 2018

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	November 30, 2018		December 31, 2018			Change	Change in NAV		
	Total		Long	Short	Total			Total	
Cash	-7,410,821.56		0.00	-7,427,200.12	-7,427,200.12	-16,378.56	Starting Value	-7,426,653.53	
Interest Accruals	-15,831.97		0.00	-16,860.24	-16,860.24	-1,028.27	Interest	-16,378.56	
Total	-7,426,653.53		0.00	-7,444,060.36	-7,444,060.36	-17,406.83	Change in Interest Accruals	-1,028.27	
Time Weighted Rate of Return							0.23%	Ending Value	-7,444,060.36

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,410,821.56	-7,427,200.12	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received									-16,378.56	
Total P/L for Statement Period									-16,378.56	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,410,821.56	-7,410,821.56	0.00
Broker Interest Paid and Received	-16,378.56	-16,378.56	0.00
Ending Cash	-7,427,200.12	-7,427,200.12	0.00
Ending Settled Cash	-7,427,200.12	-7,427,200.12	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-15,831.97
Interest Accrued	-17,406.83
Accrual Reversal	16,378.56
FX Translation	0.00
Ending Accrual Balance	-16,860.24

Interest

Date	Description	Amount
USD		
2018-12-05	USD Debit Interest for Nov-2018 from U1358796	-16,378.56
Total		-16,378.56

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes			
Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

January 1, 2019 - January 31, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	December 31, 2018		January 31, 2019			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	-7,427,200.12	0.00	-7,444,649.34	-7,444,649.34	-17,449.22	Starting Value	-7,444,060.36	
Interest Accruals	-16,860.24	0.00	-17,704.77	-17,704.77	-844.53	Interest	-17,449.22	
Total	-7,444,060.36	0.00	-7,462,354.11	-7,462,354.11	-18,293.75	Change in Interest Accruals	-844.53	
Time Weighted Rate of Return						0.25%	Ending Value	-7,462,354.11

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,427,200.12	-7,444,649.34	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received									-17,449.22	
Total P/L for Statement Period									-17,449.22	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,427,200.12	-7,427,200.12	0.00
Broker Interest Paid and Received	-17,449.22	-17,449.22	0.00
Ending Cash	-7,444,649.34	-7,444,649.34	0.00
Ending Settled Cash	-7,444,649.34	-7,444,649.34	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-16,860.24
Interest Accrued	-18,293.75
Accrual Reversal	17,449.22
FX Translation	0.00
Ending Accrual Balance	-17,704.77

Interest

Date	Description	Amount
USD		
2019-01-04	USD Debit Interest for Dec-2018 from U1358796	-17,449.22
Total		-17,449.22

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

February 1, 2019 - February 28, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	January 31, 2019		February 28, 2019			Change	Change in NAV		Total
		Total	Long	Short	Total				
Cash	-7,444,649.34		0.00	-7,462,944.40	-7,462,944.40	-18,295.06	Starting Value	-7,462,354.11	
Interest Accruals	-17,704.77		0.00	-15,969.34	-15,969.34	1,735.43	Interest	-18,295.06	
Total	-7,462,354.11		0.00	-7,478,913.74	-7,478,913.74	-16,559.63	Change in Interest Accruals	1,735.43	
Time Weighted Rate of Return							0.22%	Ending Value	-7,478,913.74

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,444,649.34	-7,462,944.40	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										
0.00										
Broker Interest Paid and Received									-18,295.06	
Total P/L for Statement Period									-18,295.06	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,444,649.34	-7,444,649.34	0.00
Broker Interest Paid and Received	-18,295.06	-18,295.06	0.00
Ending Cash	-7,462,944.40	-7,462,944.40	0.00
Ending Settled Cash	-7,462,944.40	-7,462,944.40	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-17,704.77
Interest Accrued	-16,559.63
Accrual Reversal	18,295.06
Ending Accrual Balance	-15,969.34

Interest

Date	Description	Amount
USD		
2019-02-05	USD Debit Interest for Jan-2019 from U1358796	-18,295.06
Total		-18,295.06

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
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- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

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- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
- Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.

Notes/Legal Notes

5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

March 1, 2019 - March 31, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	February 28, 2019		March 31, 2019			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	-7,462,944.40	0.00	-7,479,505.40	-7,479,505.40	-16,561.00	Starting Value	-7,478,913.74	
Interest Accruals	-15,969.34	0.00	-16,610.87	-16,610.87	-641.53	Interest	-16,561.00	
Total	-7,478,913.74	0.00	-7,496,116.27	-7,496,116.27	-17,202.53	Change in Interest Accruals	-641.53	
Time Weighted Rate of Return						0.23%	Ending Value	-7,496,116.27

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,462,944.40	-7,479,505.40	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received									-16,561.00	
Total P/L for Statement Period									-16,561.00	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,462,944.40	-7,462,944.40	0.00
Broker Interest Paid and Received	-16,561.00	-16,561.00	0.00
Ending Cash	-7,479,505.40	-7,479,505.40	0.00
Ending Settled Cash	-7,479,505.40	-7,479,505.40	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-15,969.34
Interest Accrued	-17,202.53
Accrual Reversal	16,561.00
FX Translation	0.00
Ending Accrual Balance	-16,610.87

Interest

Date	Description	Amount
USD		
2019-03-05	USD Debit Interest for Feb-2019 from U1358796	-16,561.00
Total		-16,561.00

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes			
Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

April 1, 2019 - April 30, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	March 31, 2019		April 30, 2019			Change	Change in NAV		Total
		Total	Long	Short	Total				
Cash	-7,479,505.40		0.00	-7,497,913.72	-7,497,913.72	-18,408.32	Starting Value	-7,496,116.27	
Interest Accruals	-16,610.87		0.00	-17,362.74	-17,362.74	-751.87	Interest	-18,408.32	
Total	-7,496,116.27		0.00	-7,515,276.46	-7,515,276.46	-19,160.19	Change in Interest Accruals	-751.87	
Time Weighted Rate of Return						0.26%	Ending Value	-7,515,276.46	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,479,505.40	-7,497,913.72	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received									-18,408.32	
Total P/L for Statement Period									-18,408.32	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,479,505.40	-7,479,505.40	0.00
Broker Interest Paid and Received	-18,408.32	-18,408.32	0.00
Ending Cash	-7,497,913.72	-7,497,913.72	0.00
Ending Settled Cash	-7,497,913.72	-7,497,913.72	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-16,610.87
Interest Accrued	-19,160.19
Accrual Reversal	18,408.32
FX Translation	0.00
Ending Accrual Balance	-17,362.74

Interest

Date	Description	Amount
USD		
2019-04-03	USD Debit Interest for Mar-2019 from U1358796	-18,408.32
Total		-18,408.32

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
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- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
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- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

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- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

May 1, 2019 - May 31, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	April 30, 2019		May 31, 2019			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	-7,497,913.72	0.00	-7,515,881.16	-7,515,881.16	-17,967.44	Starting Value	-7,515,276.46	
Interest Accruals	-17,362.74	0.00	-17,807.97	-17,807.97	-445.23	Interest	-17,967.44	
Total	-7,515,276.46	0.00	-7,533,689.13	-7,533,689.13	-18,412.67	Change in Interest Accruals	-445.23	
Time Weighted Rate of Return						0.25%	Ending Value	-7,533,689.13

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,497,913.72	-7,515,881.16	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received									-17,967.44	
Total P/L for Statement Period									-17,967.44	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,497,913.72	-7,497,913.72	0.00
Broker Interest Paid and Received	-17,967.44	-17,967.44	0.00
Ending Cash	-7,515,881.16	-7,515,881.16	0.00
Ending Settled Cash	-7,515,881.16	-7,515,881.16	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-17,362.74
Interest Accrued	-18,412.67
Accrual Reversal	17,967.44
FX Translation	0.00
Ending Accrual Balance	-17,807.97

Interest

Date	Description	Amount
USD		
2019-05-03	USD Debit Interest for Apr-2019 from U1358796	-17,967.44
Total		-17,967.44

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

June 1, 2019 - June 30, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	May 31, 2019		June 30, 2019			Change	Change in NAV		Total
	Total		Long	Short	Total				
Cash	-7,515,881.16		0.00	-7,534,284.76	-7,534,284.76	-18,403.60	Starting Value		-7,533,689.13
Interest Accruals	-17,807.97		0.00	-15,973.60	-15,973.60	1,834.37	Interest		-18,403.60
Total	-7,533,689.13		0.00	-7,550,258.36	-7,550,258.36	-16,569.23	Change in Interest Accruals		1,834.37
Time Weighted Rate of Return						0.22%	Ending Value		-7,550,258.36

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,515,881.16	-7,534,284.76	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	0.00
Total					0.00	0.00	0.00	0.00	0.00	0.00
Total (All Assets)										0.00
Broker Interest Paid and Received										-18,403.60
Total P/L for Statement Period										-18,403.60

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,515,881.16	-7,515,881.16	0.00
Broker Interest Paid and Received	-18,403.60	-18,403.60	0.00
Ending Cash	-7,534,284.76	-7,534,284.76	0.00
Ending Settled Cash	-7,534,284.76	-7,534,284.76	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-17,807.97
Interest Accrued	-16,569.23
Accrual Reversal	18,403.60
FX Translation	0.00
Ending Accrual Balance	-15,973.60

Interest

Date	Description	Amount
USD		
2019-06-05	USD Debit Interest for May-2019 from U1358796	-18,403.60
Total		-18,403.60

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes			
Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
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- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
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- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

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Legal Notes

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- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

July 1, 2019 - July 31, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	June 30, 2019		July 31, 2019			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	-7,534,284.76	0.00	-7,552,049.39	-7,552,049.39	-17,764.63	Starting Value	-7,550,258.36	
Interest Accruals	-15,973.60	0.00	-17,966.62	-17,966.62	-1,993.02	Interest	-17,764.63	
Total	-7,550,258.36	0.00	-7,570,016.01	-7,570,016.01	-19,757.65	Change in Interest Accruals	-1,993.02	
Time Weighted Rate of Return						0.26%	Ending Value	-7,570,016.01

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,534,284.76	-7,552,049.39	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)					0.00	0.00	0.00	0.00	0.00	
Broker Interest Paid and Received									-17,764.63	
Total P/L for Statement Period									-17,764.63	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,534,284.76	-7,534,284.76	0.00
Broker Interest Paid and Received	-17,764.63	-17,764.63	0.00
Ending Cash	-7,552,049.39	-7,552,049.39	0.00
Ending Settled Cash	-7,552,049.39	-7,552,049.39	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-15,973.60
Interest Accrued	-19,757.65
Accrual Reversal	17,764.63
FX Translation	0.00
Ending Accrual Balance	-17,966.62

Interest

Date	Description	Amount
USD		
2019-07-03	USD Debit Interest for Jun-2019 from U1358796	-17,764.63
Total		-17,764.63

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

August 1, 2019 - August 31, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	July 31, 2019		August 31, 2019			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	-7,552,049.39	0.00	-7,570,614.35	-7,570,614.35	-18,564.96	Starting Value	-7,570,016.01	
Interest Accruals	-17,966.62	0.00	-15,713.71	-15,713.71	2,252.91	Interest	-18,564.96	
Total	-7,570,016.01	0.00	-7,586,328.06	-7,586,328.06	-16,312.05	Change in Interest Accruals	2,252.91	
Time Weighted Rate of Return						0.22%	Ending Value	-7,586,328.06

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,552,049.39	-7,570,614.35	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received									-18,564.96	
Total P/L for Statement Period									-18,564.96	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,552,049.39	-7,552,049.39	0.00
Broker Interest Paid and Received	-18,564.96	-18,564.96	0.00
Ending Cash	-7,570,614.35	-7,570,614.35	0.00
Ending Settled Cash	-7,570,614.35	-7,570,614.35	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-17,966.62
Interest Accrued	-16,312.05
Accrual Reversal	18,564.96
FX Translation	0.00
Ending Accrual Balance	-15,713.71

Interest

Date	Description	Amount
USD		
2019-08-05	USD Debit Interest for Jul-2019 from U1358796	-18,564.96
Total		-18,564.96

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

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- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

September 1, 2019 - September 30, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	August 31, 2019		September 30, 2019			Change	Change in NAV		Total
		Total	Long	Short	Total				
Cash	-7,570,614.35		0.00	-7,587,413.98	-7,587,413.98	-16,799.63	Starting Value	-7,586,328.06	
Interest Accruals	-15,713.71		0.00	-15,272.54	-15,272.54	441.17	Interest	-16,799.63	
Total	-7,586,328.06		0.00	-7,602,686.52	-7,602,686.52	-16,358.46	Change in Interest Accruals	441.17	
Time Weighted Rate of Return						0.22%	Ending Value	-7,602,686.52	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,570,614.35	-7,587,413.98	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received									-16,799.63	
Total P/L for Statement Period									-16,799.63	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,570,614.35	-7,570,614.35	0.00
Broker Interest Paid and Received	-16,799.63	-16,799.63	0.00
Ending Cash	-7,587,413.98	-7,587,413.98	0.00
Ending Settled Cash	-7,587,413.98	-7,587,413.98	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-15,713.71
Interest Accrued	-16,358.46
Accrual Reversal	16,799.63
Ending Accrual Balance	-15,272.54

Interest

Date	Description	Amount
USD		
2019-09-05	USD Debit Interest for Aug-2019 from U1358796	-16,799.63
Total		-16,799.63

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
- Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.

Notes/Legal Notes

5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
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12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

October 1, 2019 - October 31, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	September 30, 2019		October 31, 2019			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	-7,587,413.98	0.00	-7,603,182.13	-7,603,182.13	-15,768.15	Starting Value	-7,602,686.52	
Interest Accruals	-15,272.54	0.00	-14,502.81	-14,502.81	769.73	Interest	-15,768.15	
Total	-7,602,686.52	0.00	-7,617,684.94	-7,617,684.94	-14,998.42	Change in Interest Accruals	769.73	
Time Weighted Rate of Return						0.20%	Ending Value	-7,617,684.94

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,587,413.98	-7,603,182.13	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received										-15,768.15
Total P/L for Statement Period										-15,768.15

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,587,413.98	-7,587,413.98	0.00
Broker Interest Paid and Received	-15,768.15	-15,768.15	0.00
Ending Cash	-7,603,182.13	-7,603,182.13	0.00
Ending Settled Cash	-7,603,182.13	-7,603,182.13	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-15,272.54
Interest Accrued	-14,998.42
Accrual Reversal	15,768.15
FX Translation	0.00
Ending Accrual Balance	-14,502.81

Interest

Date	Description	Amount
USD		
2019-10-03	USD Debit Interest for Sep-2019 from U1358796	-15,768.15
Total		-15,768.15

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

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- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

November 1, 2019 - November 30, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	October 31, 2019		November 30, 2019			Change	Change in NAV	
	Total		Long	Short	Total			Total
Cash	-7,603,182.13		0.00	-7,618,113.95	-7,618,113.95	-14,931.82	Starting Value	-7,617,684.94
Interest Accruals	-14,502.81		0.00	-11,869.87	-11,869.87	2,632.94	Interest	-14,931.82
Total	-7,617,684.94		0.00	-7,629,983.82	-7,629,983.82	-12,298.88	Change in Interest Accruals	2,632.94
Time Weighted Rate of Return						0.16%	Ending Value	-7,629,983.82

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,603,182.13	-7,618,113.95	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received									-14,931.82	
Total P/L for Statement Period									-14,931.82	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,603,182.13	-7,603,182.13	0.00
Broker Interest Paid and Received	-14,931.82	-14,931.82	0.00
Ending Cash	-7,618,113.95	-7,618,113.95	0.00
Ending Settled Cash	-7,618,113.95	-7,618,113.95	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-14,502.81
Interest Accrued	-12,298.88
Accrual Reversal	14,931.82
FX Translation	0.00
Ending Accrual Balance	-11,869.87

Interest

Date	Description	Amount
USD		
2019-11-05	USD Debit Interest for Oct-2019 from U1358796	-14,931.82
Total		-14,931.82

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

December 1, 2019 - December 31, 2019

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	November 30, 2019		December 31, 2019			Change	Change in NAV	
	Total		Long	Short	Total			Total
Cash	-7,618,113.95		0.00	-7,630,834.90	-7,630,834.90	-12,720.95	Starting Value	-7,629,983.82
Interest Accruals	-11,869.87		0.00	-12,726.79	-12,726.79	-856.92	Interest	-12,720.95
Total	-7,629,983.82		0.00	-7,643,561.69	-7,643,561.69	-13,577.87	Change in Interest Accruals	-856.92
Time Weighted Rate of Return						0.18%	Ending Value	-7,643,561.69

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,618,113.95	-7,630,834.90	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received									-12,720.95	
Total P/L for Statement Period									-12,720.95	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,618,113.95	-7,618,113.95	0.00
Broker Interest Paid and Received	-12,720.95	-12,720.95	0.00
Ending Cash	-7,630,834.90	-7,630,834.90	0.00
Ending Settled Cash	-7,630,834.90	-7,630,834.90	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-11,869.87
Interest Accrued	-13,577.87
Accrual Reversal	12,720.95
FX Translation	0.00
Ending Accrual Balance	-12,726.79

Interest

Date	Description	Amount
USD		
2019-12-04	USD Debit Interest for Nov-2019 from U1358796	-12,720.95
Total		-12,720.95

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
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- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
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- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
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- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

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Legal Notes

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- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SEOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

January 1, 2020 - January 31, 2020

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	December 31, 2019		January 31, 2020			Change	Change in NAV		Total
		Total	Long	Short	Total				
Cash	-7,630,834.90		0.00	-7,643,985.77	-7,643,985.77	-13,150.87	Starting Value	-7,643,561.69	
Interest Accruals	-12,726.79		0.00	-12,735.11	-12,735.11	-8.32	Interest	-13,150.87	
Total	-7,643,561.69		0.00	-7,656,720.88	-7,656,720.88	-13,159.19	Change in Interest Accruals	-8.32	
Time Weighted Rate of Return						0.17%	Ending Value	-7,656,720.88	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,630,834.90	-7,643,985.77	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received									-13,150.87	
Total P/L for Statement Period									-13,150.87	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,630,834.90	-7,630,834.90	0.00
Broker Interest Paid and Received	-13,150.87	-13,150.87	0.00
Ending Cash	-7,643,985.77	-7,643,985.77	0.00
Ending Settled Cash	-7,643,985.77	-7,643,985.77	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-12,726.79
Interest Accrued	-13,159.19
Accrual Reversal	13,150.87
FX Translation	0.00
Ending Accrual Balance	-12,735.11

Interest

Date	Description	Amount
USD		
2020-01-06	USD Debit Interest for Dec-2019 from U1358796	-13,150.87
Total		-13,150.87

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes			
Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

February 1, 2020 - February 29, 2020

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	January 31, 2020		February 29, 2020			Change	Change in NAV		Total
	Total		Long	Short	Total		Starting Value		
Cash	-7,643,985.77		0.00	-7,657,154.13	-7,657,154.13	-13,168.36	Starting Value	-7,656,720.88	
Interest Accruals	-12,735.11		0.00	-11,675.64	-11,675.64	1,059.47	Interest	-13,168.36	
Total	-7,656,720.88		0.00	-7,668,829.77	-7,668,829.77	-12,108.89	Change in Interest Accruals	1,059.47	
Time Weighted Rate of Return							0.16%	Ending Value	-7,668,829.77

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,643,985.77	-7,657,154.13	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received									-13,168.36	
Total P/L for Statement Period									-13,168.36	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,643,985.77	-7,643,985.77	0.00
Broker Interest Paid and Received	-13,168.36	-13,168.36	0.00
Ending Cash	-7,657,154.13	-7,657,154.13	0.00
Ending Settled Cash	-7,657,154.13	-7,657,154.13	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-12,735.11
Interest Accrued	-12,108.89
Accrual Reversal	13,168.36
Ending Accrual Balance	-11,675.64

Interest

Date	Description	Amount
USD		
2020-02-05	USD Debit Interest for Jan-2020 from U1358796	-13,168.36
Total		-13,168.36

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

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- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

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- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
- Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.

Notes/Legal Notes

5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

March 1, 2020 - March 31, 2020

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	February 29, 2020		March 31, 2020			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	-7,657,154.13	0.00	-7,669,693.43	-7,669,693.43	-12,539.30	Starting Value	-7,668,829.77	
Interest Accruals	-11,675.64	0.00	-7,716.69	-7,716.69	3,958.95	Interest	-12,539.30	
Total	-7,668,829.77	0.00	-7,677,410.12	-7,677,410.12	-8,580.35	Change in Interest Accruals	3,958.95	
Time Weighted Rate of Return						0.11%	Ending Value	-7,677,410.12

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,657,154.13	-7,669,693.43	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received									-12,539.30	
Total P/L for Statement Period									-12,539.30	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,657,154.13	-7,657,154.13	0.00
Broker Interest Paid and Received	-12,539.30	-12,539.30	0.00
Ending Cash	-7,669,693.43	-7,669,693.43	0.00
Ending Settled Cash	-7,669,693.43	-7,669,693.43	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-11,675.64
Interest Accrued	-8,580.35
Accrual Reversal	12,539.30
FX Translation	0.00
Ending Accrual Balance	-7,716.69

Interest

Date	Description	Amount
USD		
2020-03-04	USD Debit Interest for Feb-2020 from U1358796	-12,539.30
Total		-12,539.30

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

April 1, 2020 - April 30, 2020

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	March 31, 2020		April 30, 2020			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	-7,669,693.43	0.00	-7,677,580.47	-7,677,580.47	-7,887.04	Starting Value	-7,677,410.12	
Interest Accruals	-7,716.69	0.00	-4,919.55	-4,919.55	2,797.14	Interest	-7,887.04	
Total	-7,677,410.12	0.00	-7,682,500.02	-7,682,500.02	-5,089.90	Change in Interest Accruals	2,797.14	
Time Weighted Rate of Return						0.07%	Ending Value	-7,682,500.02

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,669,693.43	-7,677,580.47	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received										-7,887.04
Total P/L for Statement Period										-7,887.04

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,669,693.43	-7,669,693.43	0.00
Broker Interest Paid and Received	-7,887.04	-7,887.04	0.00
Ending Cash	-7,677,580.47	-7,677,580.47	0.00
Ending Settled Cash	-7,677,580.47	-7,677,580.47	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-7,716.69
Interest Accrued	-5,089.90
Accrual Reversal	7,887.04
FX Translation	0.00
Ending Accrual Balance	-4,919.55

Interest

Date	Description	Amount
USD		
2020-04-03	USD Debit Interest for Mar-2020 from U1358796	-7,887.04
Total		-7,887.04

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
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- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
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- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

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- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
9. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
10. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the Clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ARBN: 091191141, AFSL: 245574, Participant on Chi-X Australia)("IB") is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN 25079993534, AFSL: 244380, Participant on the ASX)("THA") who executes such orders. Your ASX and Chi-x Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
11. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of The Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECHK, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
12. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member



Activity Statement

May 1, 2020 - May 31, 2020

[? Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	Kinetic Funds I, LLC
Account Alias	KCL DEBT SERVICE
Account	U1362028
Master Name	Kinetic Funds I, LLC
Account Type	Institution Client
Customer Type	Limited Liability Company
Account Capabilities	Portfolio Margin
Base Currency	USD

Net Asset Value

	April 30, 2020		May 31, 2020			Change	Change in NAV	
	Total	Long	Short	Total	Total		Total	
Cash	-7,677,580.47	0.00	-7,682,669.70	-7,682,669.70	-5,089.23	Starting Value	-7,682,500.02	
Interest Accruals	-4,919.55	0.00	-4,753.68	-4,753.68	165.87	Interest	-5,089.23	
Total	-7,682,500.02	0.00	-7,687,423.38	-7,687,423.38	-4,923.36	Change in Interest Accruals	165.87	
Time Weighted Rate of Return						0.06%	Ending Value	-7,687,423.38

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other	Total	
Forex										
USD	-7,677,580.47	-7,682,669.70	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	
Total					0.00	0.00	0.00	0.00	0.00	
Total (All Assets)										0.00
Broker Interest Paid and Received									-5,089.23	
Total P/L for Statement Period									-5,089.23	

Cash Report

	Total	Securities	Futures
Base Currency Summary			

Cash Report

	Total	Securities	Futures
Starting Cash	-7,677,580.47	-7,677,580.47	0.00
Broker Interest Paid and Received	-5,089.23	-5,089.23	0.00
Ending Cash	-7,682,669.70	-7,682,669.70	0.00
Ending Settled Cash	-7,682,669.70	-7,682,669.70	0.00

Interest Accruals

Base Currency Summary

Starting Accrual Balance	-4,919.55
Interest Accrued	-4,923.36
Accrual Reversal	5,089.23
FX Translation	0.00
Ending Accrual Balance	-4,753.68

Interest

Date	Description	Amount
USD		
2020-05-05	USD Debit Interest for Apr-2020 from U1358796	-5,089.23
Total		-5,089.23

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
Al	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as principal for the fractional share portion of this trade	RP	IB acted as riskless principal for the fractional share portion of this trade
FPA	IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade	RPA	IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade
G	Trade in Guaranteed Account Segment	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
- The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
- All Market Data and Research services are provided through Global Financial Information Services (GmbH).
- Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Fixed Income Notes

- Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

- Please promptly report any inaccuracy or discrepancy in this statement, or in your account. Contact the IB Customer Service Department in writing using the form available on the IB website. You may also contact IB by phone, but if you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).

Interactive Brokers LLC, www.interactivebrokers.com, 877-442-2757 (U.S.)

Interactive Brokers (UK) (Ltd), www.interactivebrokers.co.uk, 00800-42-276537 (Intl)

Interactive Brokers Canada Inc., www.interactivebrokers.ca, 877-745-4222 (Can.)

- Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Euroclear Bank S.A./N.V.; Skandinaviska Enskilda Banken AB (including select branches).
- IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.

Notes/Legal Notes

4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
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