

UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF FLORIDA
TAMPA DIVISION

CASE NO.: 8:20-cv-00394-MSS-SPF

SECURITIES AND EXCHANGE COMMISSION,)
)
Plaintiff,)
)
v.)
)
KINETIC INVESTMENT GROUP, LLC and)
MICHAEL SCOTT WILLIAMS,)
)
Defendants, and)
)
KINETIC FUNDS I, LLC,)
KCL SERVICES, LLC d/b/a LENDACY,)
SCIPIO, LLC,)
LF42, LLC,)
EL MORRO FINANCIAL GROUP, LLC, and)
KIH, INC. f/k/a KINETIC INTERNATIONAL, LLC,)
)
Relief Defendants.)
_____)

CERTIFICATE OF INTERESTED PERSONS
AND CORPORATE DISCLOSURE STATEMENT

We hereby disclose the following pursuant to this Court’s interested persons order:

1. the name of each person, attorney, association of persons, firm, law firm, partnership, and corporation that has or may have an interest in the outcome of this action including subsidiaries, conglomerates, affiliates, parent corporations, publicly-traded companies that own 10% or more of a party’s stock, and all other identifiable legal entities related to a party:
 - Plaintiff, Securities and Exchange Commission (“SEC”)
 - Eric I. Bustillo, Regional Director, SEC
 - Glenn S. Gordon, Associate Regional Director, SEC
 - Andrew O. Schiff, Regional Trial Counsel, SEC
 - Eric R. Busto, Assistant Regional Director, SEC

- **Christine Nestor, Senior Trial Counsel, SEC**
- **Stephanie N. Moot, Trial Counsel, SEC**
- **Barbara Viniegra, Senior Counsel, SEC**
- **John T. Houchin, Senior Counsel, SEC**
- **Crystal C. Ivory, Senior Staff Accountant, SEC**
- **Fernando Torres, Assistant Regional Director, SEC**
- **Kinetic Investment Group, LLC, Defendant**
- **Michael Scott Williams, Defendant**
- **Kinetic Funds I, LLC, Relief Defendant**
- **KCL Services, LLC d/b/a Lendacy, Relief Defendant**
- **Scipio, LLC, Relief Defendant**
- **LF42, LLC, Relief Defendant**
- **El Morro Financial Group, LLC, Relief Defendant**
- **KIH, Inc. f/k/a Kinetic International, LLC, Relief Defendant**
- **Greenberg Traurig, P.A., counsel for Defendant Williams**
- **Gregory W. Kehoe, Esq., counsel for Defendant Williams**
- **Steven M. Malina, Esq., counsel for Defendant Williams**
- **Joseph H. Picone, Esq., counsel for Defendant Williams**
- **Danielle S. Kemp, Esq., counsel for Defendant Williams**
- **Mark A. Kornfeld, Esq., Court-Appointed Receiver**
- **Quarles & Brady LLP, counsel for Receiver**
- **Jordan D. Maglich, Esq., counsel for Receiver**
- **Peters, LaPlaca, and Fuste, counsel for Receiver**
- **Damian LaPlaca, Esq., counsel for Receiver**
- **The Hon. Jose A. Fuste, counsel for Receiver**
- **YIP & Associates, Forensic Accountants for Receiver**
- **Maria Yip, Forensic Accountant for Receiver**
- **Hal Levenberg, Forensic Accountant for Receiver**
- **E-Hounds, IT Firm for Receiver**
- **SupportPR LLC, IT Firm for Receiver**
- **Darrell's Locksmith, Locksmith for Receiver**
- **Abracadabra Attention, Locksmith for Receiver**
- **International Intelligence Group, LLC, Investigative Personnel for Receiver**
- **Hector Gonzalez, Investigative Personnel for Receiver**
- **PDR-CPA + Advisors, Inc.**
- **William E. Price, CPA, Tax advice and services for Receiver**
- **K. Tec Systems, Inc., Website development for Receiver**

2. the name of every other entity whose publicly-traded stock, equity, or debt may be substantially affected by the outcome of the proceedings:

Plaintiff knows of no such entity at this time.

3. The name of every other entity which is likely to be an active participant in the proceedings, including the debtor and members of the creditors' committee (or twenty largest unsecured creditors):

This is not a bankruptcy case and we are currently unaware of any such individual or entity.

4. The name of each victim (individual or corporate), including every person who may be entitled to restitution:

The Commission does not seek restitution as a remedy, but as monetary relief seeks disgorgement of ill-gotten gains with prejudgment interest and civil money penalties. A list of persons and entities believed to have invested with Defendant Kinetic and who may potentially make a claim with the Receiver is attached hereto as Exhibit A.

We hereby certify that, except as disclosed above, we are unaware of any actual or potential conflict of interest involving the District Judge and Magistrate Judge assigned to this case, and will immediately notify the Court in writing upon learning of any such conflict.

May 18, 2020

Respectfully submitted,

By: /s/ Christine Nestor & Stephanie N. Moot

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CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on May 18, 2020, I electronically filed the foregoing document with the Clerk of the Court using CM/ECF. I also certify that the foregoing document is being served this day on all counsel of record via transmission of Notices of Electronic Filing generated by CM/ECF.

s/Christine Nestor
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Counsel for Receiver, Mark A. Kornfeld

INVESTOR LIST – Ex. A

ACCA – Administracion de Compensaciones Por Accidentes de Automobiles

AEELA – Asociacion de Empleados de Estado Libre Asociado de PR

Alistair Haddow

CFSE: Corporacion del Fondo de Seguro del Estado

Ehret, Inc.

Antonio Luis Ferre Retirement Plan

FMB 1, LLC

Angelo Diaz-Gonzalez

Brenda Santos-Rivera

Bruce Foster

Michael Sorochinsky

Equity Housing Solutions - Lenny Werbin

Dianne Casey

Larry Casey

Edward Lee Ball

Fogarty Trust

Jonathan Fogarty

Frank Scott Corbett, MD

Fred Lang

Mary Lang

Glenn Wiecek

John Symmes

Jonnie Dwyer

Julie Maurer

Michael Sinks

Michelle Silva

Pareyn, LLC – Patricia Reynolds

Samuel Padilla Rosa

Puerto Rico Community Foundation

Plan de Pensiones Ministerial, Inc.

Rod Silverman

Rodney Eaves

Rotterdam USA, LLC

Sacred Heart University

SMPT, LLC

Sandra Kadotani

Todd Berardelli

William Bradley Call

Michael Williams